

SEEKING A
HUMAN SPACEFLIGHT PROGRAM
WORTHY OF A GREAT NATION







*"We choose...to do [these] things,
not because they are easy, but because they are hard..."*

*John F. Kennedy
September 12, 1962*

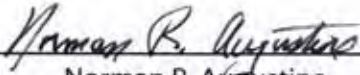
Table of Contents

Preface.....	7
Executive Summary.....	9
Chapter 1.0 Introduction.....	19
Chapter 2.0 U.S. Human Spaceflight: Historical Review	27
Chapter 3.0 Goals and Future Destinations for Exploration	33
3.1 Goals for Exploration	33
3.2 Overview of Destinations and Approach.....	33
3.3 Mars First.....	35
3.4 Moon First	37
3.5 The Flexible Path to Mars.....	40
3.6 Summary of Strategies for Exploration Beyond Low-Earth Orbit.....	43
Chapter 4.0 Current Human Spaceflight Programs	47
4.1 The Space Shuttle	47
4.2 The International Space Station	51
4.3 The Constellation Program.....	57
Chapter 5.0 Launch to Low-Earth Orbit and Beyond	63
5.1 Evaluation Methodology for Launch Vehicles	63
5.2 Heavy Lift to Low-Earth Orbit and Beyond.....	64
5.3 Crew Launch to Low-Earth Orbit	68
5.4 Additional Issues in Launcher Selection	72
Chapter 6.0 Program Options and Evaluation.....	77
6.1 Evaluation Criteria.....	77
6.2 Key Decisions and Integrated Options	79
6.3 Integrated Options Constrained to the FY 2010 Budget.....	84
6.4 Moon First Integrated Options Fit to the Less-Constrained Budget.....	85
6.5 Flexible Path Integrated Options Fit to the Less-Constrained Budget	91
6.6 Comparisons Across Integrated Options	94
Chapter 7.0 Critical Technologies for Sustainable Exploration	99
7.1 Fundamental Unknowns	99
7.2 Propellant Storage and Transfer in Space.....	100
7.3 <i>In Situ</i> Propellant Production and Transport.....	101
7.4 Mars Orbit to Surface Transportation.....	102
7.5 Advanced Space Propulsion	102
7.6 Technology Summary	103
Chapter 8.0 Partnerships.....	105
8.1 International Partnerships	105
8.2 U.S. Intra-Government Partnerships.....	107
Chapter 9.0 Concluding Observations.....	111
9.1 Establishing Goals	111
9.2 Matching Resources and Goals	111
9.3 NASA Management Challenges	112
9.4 Systems Engineering	113
9.5 Procuring Systems	113
9.6 Managing the Balance of Human and Robotic Spaceflight.....	114
9.7 Concluding Summary	115

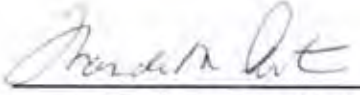
Appendices	
A.	Committee Member Biographies..... 117
B.	Committee Staff..... 123
C.	Review of U.S. Human Space Flight Plans Statement of Task 127
D.	Charter of the Review of U.S. Human Space Flight Plans Committee 131
E.	List of Full Committee Meetings and Locations 135
F.	Briefers and Committee Contacts..... 137
G.	Communications and Public Engagement 141
H.	Bibliography 145
Glossary	Acronyms and Abbreviations 153

Back Cover: The Space Shuttle as it moves through the fog on its way down the 3 1/2-mile crawlerway enroute to Launch Pad 39A. Source: NASA

Review of U.S.
HUMAN SPACEFLIGHT
Plans Committee



Norman R. Augustine
Chairman



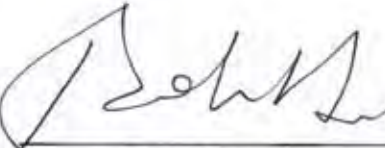
Wanda M. Austin, Ph. D.



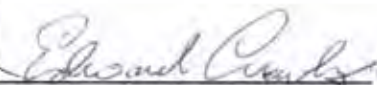
Christopher Chyba, Ph. D.



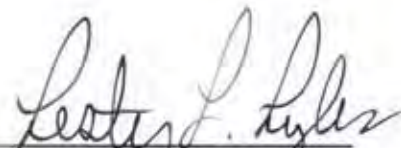
Charles F. Kennel, Ph. D.



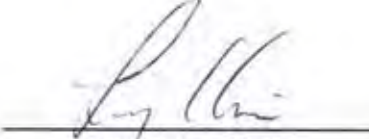
Bohdan I. Bejmuk



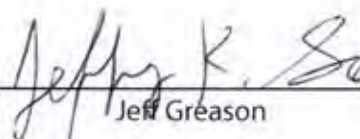
Edward F. Crawley, Sc. D.



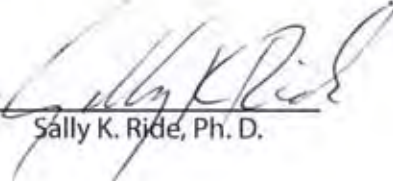
General (ret.) Lester L. Lyles



Leroy Chiao, Ph. D.



Jeff Greason



Sally K. Ride, Ph. D.

PREFACE

Over the next 10 years, NASA is scheduled to devote \$99 billion to the nation's human spaceflight program. In recognition of the magnitude of these planned expenditures, coupled with questions about the status of the current human spaceflight program, the White House Office of Science and Technology Policy, as part of the due diligence of a new administration, called for an independent review of the present and planned effort. Two conditions framed this request: all ongoing human spaceflight work by NASA and its contractors was to continue uninterrupted during the review process; and the review team's findings were to be available 90 days from the Committee's formal establishment and a formal report be published thereafter, in recognition of the demands of the federal budget preparation cycle.

The Committee established to conduct the review comprised 10 members with diverse professional backgrounds, including scientists, engineers, astronauts, educators, executives of established and new aerospace firms, former presidential appointees, and a retired Air Force General. The Committee was charged with conducting an independent review of the current program of record and providing alternatives to that program (as opposed to making a specific recommendation) that would ensure that "the nation is pursuing the best trajectory for the future of human spaceflight—one that is safe, innovative, affordable and sustainable."

Initially, the directive to the Committee was that it conduct its inquiry with the assumption that operation of the Space Shuttle would terminate in 2010 and that the 10-year fund-

ing profile in the FY 2010 President's budget would not be exceeded. In subsequent discussions between the Committee chairman and members of the White House staff, it was agreed that at least two program options would be presented that comply with the above constraints; however, if those options failed to fully satisfy the stated study objectives, additional options could be identified by the Committee. No other bounds were placed on the Committee's work.

The Committee wishes to acknowledge the highly professional and responsive support provided to it by the staff of NASA, as well as the staff of the Aerospace Corporation, which provided independent analysis in support of the review. Aerospace worked under the direction of the Committee, and all findings in this report are those of the Committee. Individuals to whom the Committee is particularly indebted for sharing their views are listed in Appendix B.

The Committee members appreciate the trust that has been placed in them to conduct an impartial review that could have a major impact on the nation's human spaceflight program, human lives and America's image in the world. We view this as a very great responsibility.

*October 2009
Washington, DC*



EXECUTIVE SUMMARY



The U.S. human spaceflight program appears to be on an unsustainable trajectory. It is perpetuating the perilous practice of pursuing goals that do not match allocated resources. Space operations are among the most demanding and unforgiving pursuits ever undertaken by humans. It really is rocket science. Space operations become all the more difficult when means do not match aspirations. Such is the case today.

The nation is facing important decisions on the future of human spaceflight. Will we leave the close proximity of low-Earth orbit, where astronauts have circled since 1972, and explore the solar system, charting a path for the eventual expansion of human civilization into space? If so, how will we ensure that our exploration delivers the greatest benefit to the nation? Can we explore with reasonable assurances of human safety? Can the nation marshal the resources to embark on the mission?

Whatever space program is ultimately selected, it must be matched with the resources needed for its execution. How can we marshal the necessary resources? There are actually more options available today than in 1961, when President Kennedy challenged the nation to “commit itself to the goal, before this decade is out, of landing a man on the Moon and returning him safely to the Earth.”

First, space exploration has become a global enterprise. Many nations have aspirations in space, and the combined annual budgets of their space programs are comparable to NASA’s. If the United States is willing to lead a global program of exploration, sharing both the burden and benefit of space exploration in a meaningful way, significant accomplishments could follow. Actively engaging international partners in a manner adapted to today’s multi-polar world could strengthen geopolitical relationships, leverage global financial and technical resources, and enhance the exploration enterprise.

Second, there is now a burgeoning commercial space industry. If we craft a space architecture to provide opportunities to this industry, there is the potential—not without risk—that the costs to the government would be reduced. Finally, we are also more experienced than in 1961, and able to build on that experience as we design an exploration program. If, after designing cleverly, building alliances with partners, and engaging commercial providers, the nation cannot afford to fund the effort to pursue the goals it would like to embrace, it should accept the disappointment of setting lesser goals.

Can we explore with reasonable assurances of human safety? Human space travel has many benefits, but it is an inherently dangerous endeavor. Human safety can never be absolutely assured, but throughout this report, safety is treated as a *sine qua non*. It is not discussed in extensive detail because any concepts falling short in human safety have simply been eliminated from consideration.

How will we explore to deliver the greatest benefit to the nation? Planning for a human spaceflight program should begin with a choice about its goals—rather than a choice of possible destinations. Destinations should derive from goals, and alternative architectures may be weighed against those goals. There is now a strong consensus in the United States that the next step in human spaceflight is to travel beyond low-Earth orbit. This should carry important benefits to society, including: driving technological innovation; developing commercial industries and important national capabilities; and contributing to our expertise in further exploration. Human exploration *can* contribute appropriately to the expansion of scientific knowledge, particularly in areas such as field geology, and it is in the interest of both science and human spaceflight that a credible and well-rationalized strategy of coordination between them be developed. Crucially, human spaceflight objectives should broadly align with key national objectives.

These more tangible benefits exist within a larger context. Exploration provides an opportunity to demonstrate space leadership while deeply engaging international partners; to inspire the next generation of scientists and engineers; and to shape human perceptions of our place in the universe. The Committee concludes that the ultimate goal of human exploration is to chart a path for human expansion into the solar system. This is an ambitious goal, but one worthy of U.S. leadership in concert with a broad range of international partners.

The Committee’s task was to review the U.S. plans for human spaceflight and to offer possible alternatives. In doing so, it assessed the programs within the current human spaceflight portfolio; considered capabilities and technologies a future program might require; and considered the roles of commercial industry and our international partners in this enterprise. From these deliberations, the Committee developed five integrated alternatives for the U.S. human spaceflight program, including an executable version of the current program. The considerations and the five alternatives are summarized in the pages that follow.

KEY QUESTIONS TO GUIDE THE PLAN FOR HUMAN SPACEFLIGHT

The Committee identified the following questions that, if answered, would form the basis of a plan for U.S. human spaceflight:

1. What should be the future of the Space Shuttle?
2. What should be the future of the International Space Station (ISS)?
3. On what should the next heavy-lift launch vehicle be based?
4. How should crews be carried to low-Earth orbit?
5. What is the most practicable strategy for exploration beyond low-Earth orbit?



The Committee considers the framing and answering of these questions individually and consistently to be at least as important as their combinations in the integrated options for a human spaceflight program, which are discussed below. Some 3,000 alternatives can be derived from the various possible answers to these questions; these were narrowed to the five representative families of integrated options that are offered in this report. In these five families, the Committee examined the interactions of the decisions, particularly with regard to cost and schedule. Other reasonable and consistent combinations of the choices are possible (each with its own cost and schedule implications), and these could also be considered as alternatives.

CURRENT PROGRAMS

Before addressing options for the future human exploration program, it is appropriate to discuss the current programs: the Space Shuttle, the International Space Station and Constellation, as well as the looming problem of “the gap”—the time that will elapse between the scheduled completion of the Space Shuttle program and the advent of a new U.S. capability to lift humans into space.

Space Shuttle

What should be the future of the Space Shuttle? The current plan is to retire it at the end of FY 2010, with its final flight scheduled for the last month of that fiscal year. Although the current administration has relaxed the requirement to complete the last mission before the end of FY 2010, there are no funds in the FY 2011 budget for continuing Shuttle operations.

In considering the future of the Shuttle, the Committee assessed the realism of the current schedule; examined issues related to the Shuttle workforce, reliability and cost; and weighed the risks and possible benefits of a Shuttle extension. The Committee noted that the projected flight rate is nearly twice that of the actual flight rate since return to flight in 2005 after the Columbia accident two years earlier. Recognizing that undue schedule and budget pressure can subtly impose a negative influence on safety, the Committee finds that a more realistic schedule is prudent. With the remaining flights likely to stretch into the second quarter of FY 2011, the Committee considers it important to budget for Shuttle operations through that time.

Although a thorough analysis of Shuttle safety was not part of its charter, the Committee did examine the Shuttle’s safety record and reliability, as well as the results of other reviews of these topics. New human-rated launch vehicles will likely be more reliable once they reach maturity, but in the meantime, the Shuttle is in the enviable position of being through its “infant mortality” phase. Its flight experience and demonstrated reliability should not be discounted.

Once the Shuttle is retired, there will be a gap in the capability of the United States itself to launch humans into space. That gap will extend until the next U.S. human-rated launch system becomes available. The Committee estimates that, under the current plan, this gap will be at least seven years. There has not been this long a gap in U.S. human launch capability since the U.S. human space program began.

Most of the integrated options presented below would retire the Shuttle after a prudent fly-out of the current manifest, indicating that the Committee found the interim reliance on international crew services acceptable. However, one option does provide for an extension of the Shuttle at a minimum safe flight rate to preserve U.S. capability to launch astronauts into space. If that option is selected, there should be a thorough review of Shuttle recertification and overall Shuttle reliability to ensure that the risk associated with that extension would be acceptable. The results of the recertification should be reviewed by an independent committee, with the purpose of ensuring that NASA has met the intent behind the relevant recommendation of the Columbia Accident Investigation Board.

International Space Station

In considering the future of the International Space Station, the Committee asked two basic questions: What is the outlook between now and 2015? Should the ISS be extended beyond 2015?

The Committee is concerned that the ISS, and particularly its utilization, may be at risk after Shuttle retirement. The ISS was designed, assembled and operated with the capabilities of the Space Shuttle in mind. The present approach to its utilization is based on Shuttle-era experience. After Shuttle retirement, the ISS will rely on a combination of new international vehicles and as-yet-unproven U.S. commercial vehicles for cargo transport. Because the planned commercial resupply capability will be crucial to both ISS operations and utilization, it may be prudent to strengthen the incentives to the commercial providers to meet the schedule milestones.

Now that the ISS is nearly completed and is staffed by a full crew of six, its future success will depend on how well it is used. Up to now, the focus has been on assembling the ISS, and this has come at the expense of exploiting its capabilities. Utilization should have first priority in the years ahead.

The Committee finds that the return on investment from the ISS to both the United States and the international partners would be significantly enhanced by an extension of its life to 2020. It seems unwise to de-orbit the Station after 25 years of planning and assembly and only five years of operational life. A decision *not* to extend its operation would significantly impair the U.S. ability to develop and lead future international spaceflight partnerships. Further, the return on investment from the ISS would be significantly increased if it were funded at a level allowing it to achieve its full potential: as the

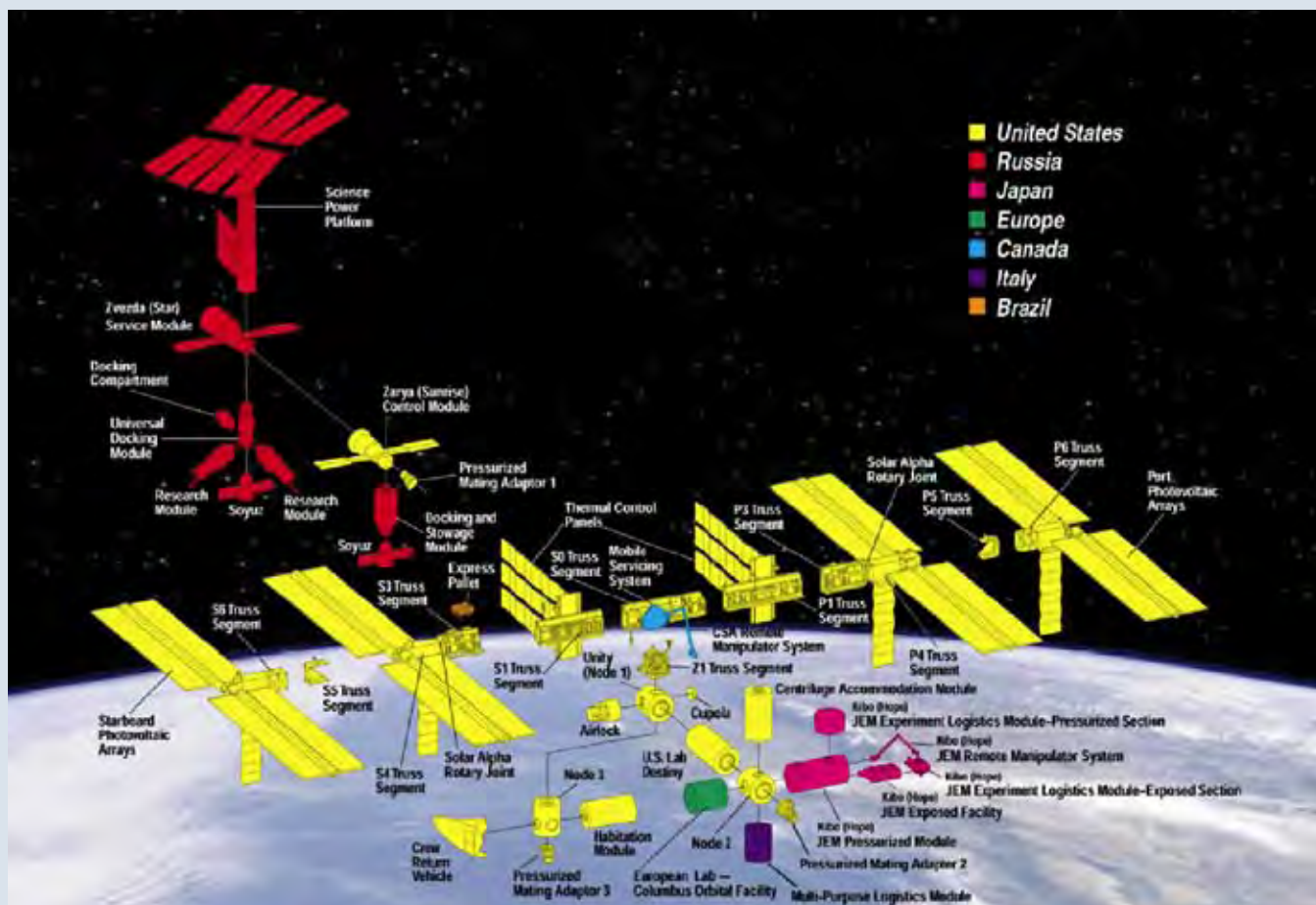


Figure i. Diagram of the International Space Station showing elements provided by each of the international partners. Source: NASA

nation's newest National Laboratory, as an enhanced testbed for technologies and operational techniques that support exploration, and as a management framework that can support expanded international collaboration.

The strong and tested working relationship among international partners is perhaps the most important outcome of the ISS program. The partnership expresses a “first among equals” U.S. leadership style adapted to today’s multi-polar world. That leadership could extend to exploration, as the ISS partners could engage at an early stage if aspects of exploration beyond low-Earth orbit were included in the goals of the partnership agreement. (See Figure i.)

The Constellation Program

The Constellation Program includes the Ares I launch vehicle, capable of launching astronauts to low-Earth orbit; the Ares V heavy-lift launch vehicle, to send astronauts and equipment to the Moon; the Orion capsule, to carry astronauts to low-Earth orbit and beyond; and the Altair lunar lander and lunar surface systems astronauts will need to explore the lunar surface. As the Committee assessed the current status and possible future of the Constellation Program,

it reviewed the technical, budgetary, and schedule challenges that the program faces today.

Given the funding upon which it was based, the Constellation Program chose a reasonable architecture for human exploration. However, even when it was announced, its budget depended on funds becoming available from the retirement of the Space Shuttle in 2010 and the decommissioning of ISS in early 2016. Since then, as a result of technical and budgetary issues, the development schedules of Ares I and Orion have slipped, and work on Ares V and Altair has been delayed.

Most major vehicle-development programs face technical challenges as a normal part of the process, and Constellation is no exception. While significant, these are engineering problems that the Committee expects can be solved. But these solutions may add to the program’s cost and delay its schedule.

The original 2005 schedule showed Ares I and Orion available to support the ISS in 2012, two years after scheduled Shuttle retirement. The current schedule now shows that date as 2015. An independent assessment of the technical,



budgetary and schedule risk to the Constellation Program performed for the Committee indicates that an additional delay of at least two years is likely. This means that Ares I and Orion will not reach the ISS before the Station's currently planned termination, and the length of the gap in U.S. ability to launch astronauts into space will be at least seven years.

The Committee also examined the design and development of Orion. Many concepts are possible for crew-exploration vehicles, and NASA clearly needs a new spacecraft for travel beyond low-Earth orbit. The Committee found no compelling evidence that the current design will not be acceptable for its wide variety of tasks in the exploration program. However, the Committee is concerned about Orion's recurring costs. The capsule is considerably larger and more massive than previous capsules (e.g., the Apollo capsule), and there is some indication that a smaller and lighter four-person Orion could reduce operational costs. However, a redesign of this magnitude would likely result in more than a year of additional development time and a significant increase in development cost, so such a redesign should be considered carefully before being implemented.

CAPABILITY FOR LAUNCH TO LOW-EARTH ORBIT AND EXPLORATION BEYOND

Heavy-Lift Launch to Low-Earth Orbit and Beyond

No one knows the mass or dimensions of the largest hardware that will be required for future exploration missions, but it will likely be significantly larger than 25 metric tons (mt) in launch mass to low-Earth orbit, which is the capability of current launchers. As the size of the launcher increases, the result is fewer launches and less operational complexity in terms of assembly and/or refueling in space. In short, the net availability of launch capability increases. Combined with considerations of launch availability and on-orbit operations, the Committee finds that exploration would benefit from the availability of a heavy-lift vehicle. In addition, heavy-lift would enable the launching of large scientific observatories and more capable deep-space missions. It may also provide benefit in national security applications. The question this raises is: On what system should the next heavy-lift launch vehicle be based?

Potential approaches to developing heavy-lift vehicles are based on NASA heritage (Shuttle and Apollo) and (EELV) Evolved Expendable Launch Vehicle heritage. (See Figure ii.) Each has distinct advantages and disadvantages. In the Ares-V-plus-Ares-I system planned by the Constellation Program, the Ares I launches the Orion and docks in low-Earth orbit with the Altair lander launched on the Ares V. This configuration has the advantage of projected very high ascent crew safety, but it delays the development of the Ares V heavy-lift vehicle until after the Ares I is developed.

In a different, related architecture, the Orion and Altair are launched on two separate "Lite" versions of the Ares V, providing for more robust mission mass and volume margins. Building a single NASA vehicle could reduce carrying and operations costs and accelerate heavy-lift development. Of these two Ares system alternatives, the Committee finds the Ares V Lite used in the dual mode for lunar missions to be the preferred reference case.

The Shuttle-derived family consists of in-line and side-mount vehicles substantially derived from the Shuttle, thereby providing greater workforce continuity. The development cost of the more Shuttle-derived system would be lower, but it would be less capable than the Ares V family and have higher recurring costs. The lower lift capability could eventually be offset by developing on-orbit refueling.

The EELV-heritage systems have the least lift capacity, requiring almost twice as many launches as the Ares family to attain equal performance. If on-orbit refueling were developed and used, the number of launches could be reduced, but operational complexity would increase. However, the EELV approach would also represent a new way of doing business for NASA, which would have the benefit of potentially lowering development and operational costs. This would come at the expense of ending a substantial portion of the internal NASA capability to develop and operate launchers. It would also require that NASA and the Department of Defense jointly develop the new system.

All of the options would benefit from the development of in-space refueling, and the smaller rockets would benefit most of all. A potential government-guaranteed market to provide fuel in low-Earth orbit would create a strong stimulus to the commercial launch industry.

The Committee cautions against the tradition of designing for ultimate performance at the expense of reliability, operational efficiency, and life-cycle cost.

Crew Access to Low-Earth Orbit

How should U.S. astronauts be transported to low-Earth orbit? There are two basic approaches: a government-

Family			Launch Mass to LEO
NASA Heritage	Ares Family	Ares V + Ares I	160 mt + 25 mt
		Ares V Lite	140 mt
	Shuttle Derived Family		100 -110 mt
EELV Heritage Family			75 mt

Figure ii. Characteristics of heavy-lift launch vehicles, indicating the EELV and NASA heritage families. Source: Review of U.S. Human Spaceflight Plans Committee



operated system and a commercial transport service. The current Constellation Program plan is to use the government-operated Ares I launch vehicle and the Orion crew capsule. However, the Committee found that, because of technical and budget issues, the Ares I schedule no longer supports ISS needs.

Ares I was designed to a high safety standard to provide astronauts with access to low-Earth orbit at lower risk and a considerably higher level of safety than is available today. To achieve this, it uses a high-reliability rocket and a crew capsule with a launch-escape system. But other combinations of high-reliability rockets and capsules with escape systems could also provide that safety. The Committee was unconvinced that enough is known about any of the potential high-reliability launcher-plus-capsule systems to distinguish their levels of safety in a meaningful way.

The United States needs a means of launching astronauts to low-Earth orbit, but it does not necessarily have to be provided by the government. As we move from the complex, reusable Shuttle back to a simpler, smaller capsule, it is appropriate to consider turning this transport service over to the commercial sector. This approach is not without technical and programmatic risks, but it creates the possibility of lower operating costs for the system and potentially accelerates the availability of U.S. access to low-Earth orbit by about a year, to 2016. If this option is chosen, the Committee suggests establishing a new competition for this service, in which both large and small companies could participate.

Lowering the cost of space exploration

The cost of exploration is dominated by the costs of launch to low-Earth orbit and of in-space systems. It seems improbable that significant reductions in launch costs will be realized in the short term until launch rates increase substantially—perhaps through expanded commercial activity in space. How

can the nation stimulate such activity? In the 1920s, the federal government awarded a series of guaranteed contracts for carrying airmail, stimulating the growth of the airline industry. The Committee concludes that an exploration architecture employing a similar policy of guaranteed contracts has the potential to stimulate a vigorous and competitive commercial space industry. Such commercial ventures could include the supply of cargo to the ISS (planning for which is already under way by NASA and industry – see Figure iii), transport of crew to orbit and transport of fuel to orbit. Establishing these commercial opportunities could increase launch volume and potentially lower costs to NASA and all other launch services customers. This would have the additional benefit of focusing NASA on a more challenging role, permitting it to concentrate its efforts where its inherent capability resides: in devel-

2008 AUTHORIZATION ACT

(a) In General- In order to stimulate commercial use of space, help maximize the utility and productivity of the International Space Station, and enable a commercial means of providing crew transfer and crew rescue services for the International Space Station, NASA shall--

(1) make use of United States commercially provided International Space Station crew transfer and crew rescue services to the maximum extent practicable, if those commercial services have demonstrated the capability to meet NASA-specified ascent, entry, and International Space Station proximity operations safety requirements;

(2) limit, to the maximum extent practicable, the use of the Crew Exploration Vehicle to missions carrying astronauts beyond low Earth orbit once commercial crew transfer and crew rescue services that meet safety requirements become operational;

(3) facilitate, to the maximum extent practicable, the transfer of NASA-developed technologies to potential United States commercial crew transfer and rescue service providers, consistent with United States law; and

(4) issue a notice of intent, not later than 180 days after the date of enactment of this Act, to enter into a funded, competitively awarded Space Act Agreement with 2 or more commercial entities for a Phase 1 Commercial Orbital Transportation Services crewed vehicle demonstration program."

2008 APPROPRIATIONS ACT

"...encourage(d) NASA to consider exercising its option for the Commercial Cargo Capability (COTS) Capability D (crew transport) as soon as possible..."

NATIONAL SECURITY PRESIDENTIAL DIRECTIVE - 49

"...departments and agencies shall use commercial space capabilities and services to the maximum practical extent."

Figure iii. Congressional guidance in FY 2008 NASA Authorization and Appropriation Acts and other national policies concerning commercial use of space and commercial crew capabilities. Source: U.S. Government



oping cutting-edge technologies and concepts, defining programs, and overseeing the development and operation of exploration systems.

In the 1920's the federal government also supported the growth of air transportation by investing in technology. The Committee strongly believes it is time for NASA to reassume its crucial role of developing new technologies for space. Today, the alternatives available for exploration systems are severely limited because of the lack of a strategic investment in technology development in past decades. NASA now has an opportunity to generate a technology roadmap that aligns with an exploration mission that will last for decades. If appropriately funded, a technology development program would re-engage minds at American universities, in industry, and within NASA. The investments should be designed to increase the capabilities and reduce the costs of future exploration. This will benefit human and robotic exploration, the commercial space community, and other U.S. government users alike.

FUTURE DESTINATIONS FOR EXPLORATION

What is the strategy for exploration beyond low-Earth orbit? Humans could embark on many paths to explore the inner solar system, most particularly the following:

- Mars First, with a Mars landing, perhaps after a brief test of equipment and procedures on the Moon.
- Moon First, with lunar surface exploration focused on developing the capability to explore Mars.
- A Flexible Path to inner solar system locations, such as lunar orbit, Lagrange points, near-Earth objects and the moons of Mars, followed by exploration of the lunar surface and/or Martian surface.

A human landing followed by an extended human presence on Mars stands prominently above all other opportunities for exploration. Mars is unquestionably the most scientifically interesting destination in the inner solar system, with a planetary history much like Earth's. It possesses resources that can be used for life support and propellants. If humans are ever to live for long periods on another planetary surface, it is likely to be on Mars. But Mars is not an easy place to visit with existing technology and without a substantial investment of

	Budget	Shuttle Life	ISS Life	Heavy Launch	Crew to LEO
Constrained Options					
Option 1: Program of Record (constrained)	FY10 Budget	2011	2015	Ares V	Ares I + Orion
Option 2: ISS + Lunar (constrained)	FY10 Budget	2011	2020	Ares V Lite	Commercial
Moon First Options					
Option 3: Baseline - Program of Record	Less constrained	2011	2015	Ares V	Ares I + Orion
Option 4A: Moon First - Ares Lite	Less constrained	2011	2020	Ares V Lite	Commercial
Option 4B: Moon First - Extend Shuttle	Less constrained	2015	2020	Directly Shuttle Derived + refueling	Commercial
Flexible Path Options					
Option 5A: Flexible Path - Ares Lite	Less constrained	2011	2020	Ares V Lite	Commercial
Option 5B: Flexible Path - EELV Heritage	Less constrained	2011	2020	75mt EELV + refueling	Commercial
Option 5C: Flexible Path - Shuttle Derived	Less constrained	2011	2020	Directly Shuttle Derived + refueling	Commercial

Figure iv. A summary of the Integrated Options evaluated by the Committee. Source: Review of U.S. Human Spaceflight Plans Committee



resources. The Committee finds that Mars is the ultimate destination for human exploration of the inner solar system, but it is not the *best* first destination.

What about the Moon first, then Mars? By first exploring the Moon, we could develop the operational skills and technology for landing on, launching from and working on a planetary surface. In the process, we could acquire an understanding of human adaptation to another world that would one day allow us to go to Mars. There are two main strategies for exploring the Moon. Both begin with a few short sorties to various sites to scout the region and validate lunar landing and ascent systems. In one strategy, the next step would be to build a lunar base. Over many missions, a small colony of habitats would be assembled, and explorers would begin to live there for many months, conducting scientific studies and prospecting for resources to use as fuel. In the other strategy, sorties would continue to different sites, spending weeks and then months at each one. More equipment would have to be brought to the lunar surface on each trip, but more diverse sites would be explored and in greater detail.

There is a third possible path for human exploration beyond low-Earth orbit, which the Committee calls the Flexible Path. On this path, humans would visit sites never visited before and extend our knowledge of how to operate in space—while traveling greater and greater distances from Earth. Successive missions would visit lunar orbit; the Lagrange points (special points in space that are important sites for scientific observations and the future space transportation infrastructure); and near-Earth objects (asteroids and spent comets that cross the Earth's path); and orbit around Mars. Most interestingly, humans could rendezvous with a moon of Mars, then coordinate with or control robots on the Martian surface, taking advantage of the relatively short communication times. At least initially, astronauts would not travel into the deep gravity wells of the lunar and Martian surface, deferring the cost of developing human landing and surface systems.

The Flexible Path represents a different type of exploration strategy. We would learn how to live and work in space, to visit small bodies, and to work with robotic probes on the planetary surface. It would provide the public and other stakeholders with a series of interesting “firsts” to keep them engaged and supportive. Most important, because the path is flexible, it would allow for many different options as exploration progresses, including a return to the Moon's surface or a continuation directly to the surface of Mars.

The Committee finds that both Moon First and Flexible Path are viable exploration strategies. It also finds that they are not necessarily mutually exclusive; before traveling to Mars, we might be well served to both extend our presence in free space and gain experience working on the lunar surface.

INTEGRATED PROGRAM OPTIONS

The Committee has identified five principal alternatives for the human spaceflight program. They include one baseline case, which the Committee considers to be an executable version of the current program of record, funded to achieve its stated exploration goals, as well as four alternatives. These options and several derivatives are summarized in Figure iv.

The Committee was asked to provide two options that fit within the FY 2010 budget profile. This funding is essentially flat or decreasing through 2014, then increases at 1.4 percent per year thereafter, less than the 2.4 percent per year used by the Committee to estimate cost inflation. The first two options are constrained to the existing budget.

Option 1. Program of Record as Assessed by the Committee, Constrained to the FY 2010 budget.

This option is the program of record, with only two changes the Committee deems necessary: providing funds for the Shuttle into FY 2011 and including sufficient funds to de-orbit the ISS in 2016. When constrained to this budget profile, Ares I and Orion are not available until after the ISS has been de-orbited. The heavy-lift vehicle, Ares V, is not available until the late 2020s, and there are insufficient funds to develop the lunar lander and lunar surface systems until well into the 2030s, if ever.

Option 2. ISS and Lunar Exploration, Constrained to FY 2010 Budget.

This option extends the ISS to 2020, and begins a program of lunar exploration using a derivative of Ares V, referred to here as the Ares V Lite. The option assumes completion of the Shuttle manifest in FY 2011, and it includes a technology development program, a program to develop commercial services to transport crew to low-Earth orbit, and funds for enhanced utilization of the ISS. This option does not deliver heavy-lift capability until the late 2020s and does not have funds to develop the systems needed to land on or explore the Moon in the next two decades.

The remaining three alternatives fit a different budget profile—one that the Committee judged more appropriate for an exploration program designed to carry humans beyond low-Earth orbit. This budget increases to \$3 billion above the FY 2010 guidance by FY 2014, then grows with inflation at what the Committee assumes to be 2.4 percent per year.

Option 3. Baseline Case—Implementable Program of Record. This is an executable version of the Program of Record.

It consists of the content and sequence of that program—de-orbiting the ISS in 2016, developing Orion, Ares I and Ares V, and beginning exploration of the Moon using the Altair lander and lunar surface systems. The Committee made only two additions it felt essential: budgeting for the completion of remaining flights on the Shuttle manifest in 2011 and including additional funds for the de-



orbit of the ISS. The Committee's assessment is that, under this funding profile, the option delivers Ares I and Orion in FY 2017, with human lunar return in the mid-2020s.

Option 4. Moon First. This option preserves the Moon as the first destination for human exploration beyond low-Earth orbit. It also extends the ISS to 2020, funds technology advancement, and uses commercial vehicles to carry crew to low-Earth orbit. There are two significantly different variants to this option. Both develop the Orion, the Altair lander and lunar surface systems as in the Baseline Case.

Variant 4A is the Ares V Lite variant. This option retires the Shuttle in FY 2011 and develops the Ares V Lite heavy-lift launcher for lunar exploration. *Variant 4B* is the Shuttle extension variant. It offers the only foreseeable way to eliminate the gap in U.S. human-launch capability: by extending the Shuttle to 2015 at a minimum safe-flight rate. It also takes advantage of synergy with the Shuttle by developing a heavy-lift vehicle that is more directly Shuttle-derived than the Ares family of vehicles. Both variants of Option 4 permit human lunar return by the mid-2020s.

Option 5. Flexible Path. This option follows the Flexible Path as an exploration strategy. It operates the Shuttle into FY 2011, extends the ISS until 2020, funds technology advancement and develops commercial services to transport crew to low-Earth orbit. There are three variants within this option. They all use the Orion crew exploration vehicle, together with new in-space habitats and propulsions systems. The variants differ only in the heavy-lift vehicle used.

Variant 5A is the Ares V Lite variant. It develops the Ares V Lite, the most capable of the heavy-lift vehicles in this option. *Variant 5B* employs an EELV-heritage commercial heavy-lift launcher and assumes a different (and significantly reduced) role for NASA. It has an advantage of potentially lower operational costs, but requires significant restructuring of NASA. *Variant 5C* uses a Shuttle-derived, heavy-lift vehicle, taking maximum advantage of existing infrastructure, facilities and production capabilities.

All variants of Option 5 begin exploration along the flexible path in the early 2020s, with lunar fly-bys, visits to Lagrange points and near-Earth objects and Mars fly-bys occurring at a rate of about one major event per year, and possible rendezvous with Mars's moons or human lunar return by the mid- to late-2020s.

The Committee has found two executable options that comply with the FY 2010 budget profile. However, neither allows for a viable exploration program. In fact, the Committee finds that no plan compatible with the FY 2010 budget profile permits human exploration to continue in any meaningful way.

The Committee further finds that it is possible to conduct a viable exploration program with a budget rising to about \$3 billion annually in real purchasing power above the FY 2010 budget profile. At this budget level, both the Moon First and the Flexible Path strategies begin human exploration on a reasonable but not aggressive timetable. The Committee believes an exploration program that will be a source of pride for the nation requires resources at such a level.

ORGANIZATIONAL AND PROGRAMMATIC ISSUES

How might NASA organize to explore? The NASA Administrator needs to be given the authority to manage NASA's resources, including its workforce and facilities. It is noted that even the best-managed human spaceflight programs will encounter developmental problems. Such activities must be adequately funded, including reserves to account for the unforeseen and unforeseeable. Good management is especially difficult when funds cannot be moved from one human spaceflight budget line to another—and where additional funds can ordinarily be obtained only after a two-year delay (if at all). NASA would become a more effective organization if it were given the flexibility possible under the law to establish and manage its programs.

Finally, significant space achievements require continuity of support over many years. Program changes should be made based on future costs and future benefits and then only for compelling reasons. NASA and its human spaceflight program are in need of stability in both resources and direction. This report of course offers options that represent changes to the present program—along with the pros and cons of those possible changes. It is necessarily left to the decision-maker to determine whether these changes rise to the threshold of “compelling.”

SUMMARY OF PRINCIPAL FINDINGS

The Committee summarizes its principal findings below. Additional findings are included in the body of the report.

The right mission and the right size: NASA's budget should match its mission and goals. Further, NASA should be given the ability to shape its organization and infrastructure accordingly, while maintaining facilities deemed to be of national importance.

International partnerships: The U.S. can lead a bold new international effort in the human exploration of space. If international partners are actively engaged, including on the “critical path” to success, there could be substantial benefits to foreign relations and more overall resources could become available to the human spaceflight program.

Short-term Space Shuttle planning: The remaining Shuttle manifest should be flown in a safe and prudent man-



ner without undue schedule pressure. This manifest will likely extend operation into the second quarter of FY 2011. It is important to budget for this likelihood.

The human-spaceflight gap: Under current conditions, the gap in U.S. ability to launch astronauts into space will stretch to at least seven years. The Committee did not identify any credible approach employing new capabilities that could shorten the gap to less than six years. The only way to significantly close the gap is to extend the life of the Shuttle Program.

Extending the International Space Station: The return on investment to both the United States and our international partners would be significantly enhanced by an extension of the life of the ISS. A decision not to extend its operation would significantly impair U.S. ability to develop and lead future international spaceflight partnerships.

Heavy lift: A heavy-lift launch capability to low-Earth orbit, combined with the ability to inject heavy payloads away from the Earth, is beneficial to exploration. It will also be useful to the national security space and scientific communities. The Committee reviewed: the Ares family of launchers; Shuttle-derived vehicles; and launchers derived from the Evolved Expendable Launch Vehicle family. Each approach has advantages and disadvantages, trading capability, life-cycle costs, maturity, operational complexity and the “way of doing business” within the program and NASA.

Commercial launch of crew to low-Earth orbit: Commercial services to deliver crew to low-Earth orbit are within reach. While this presents some risk, it could provide an earlier capability at lower initial and life-cycle costs than government could achieve. A new competition with adequate incentives to perform this service should be open to all U.S. aerospace companies. This would allow NASA to fo-

cus on more challenging roles, including human exploration beyond low-Earth orbit based on the continued development of the current or modified Orion spacecraft.

Technology development for exploration and commercial space: Investment in a well-designed and adequately funded space technology program is critical to enable progress in exploration. Exploration strategies can proceed more readily and economically if the requisite technology has been developed in advance. This investment will also benefit robotic exploration, the U.S. commercial space industry, the academic community and other U.S. government users.

Pathways to Mars: Mars is the ultimate destination for human exploration of the inner solar system; but it is not the best first destination. Visiting the “Moon First” and following the “Flexible Path” are both viable exploration strategies. The two are not necessarily mutually exclusive; before traveling to Mars, we could extend our presence in free space and gain experience working on the lunar surface.

Options for the human spaceflight program: The Committee developed five alternatives for the Human Spaceflight Program. It found:

- Human exploration beyond low-Earth orbit is not viable under the FY 2010 budget guideline.
- Meaningful human exploration is possible under a less-constrained budget, increasing annual expenditures by approximately \$3 billion in real purchasing power above the FY 2010 guidance.
- Funding at the increased level would allow either an exploration program to explore the Moon First or one that follows the Flexible Path. Either could produce significant results in a reasonable timeframe.

Introduction

The Executive Office of the President established the Review of U.S. Human Spaceflight Plans Committee to develop options “in support of planning for U.S. human spaceflight activities beyond the retirement of the Space Shuttle.” The Committee was asked to review the program of record and offer prospective alternatives, not to recommend a specific future course for the human spaceflight program. The Committee consisted of 10 individuals versed in the history, challenges and existing policies and plans for human spaceflight, members representing a broad and diverse set of views on spaceflight’s possible future. The Committee’s deliberations in its seven public sessions were informed by dozens of briefings, several site visits, and hundreds of documents received directly or through its website.

The current U.S. human spaceflight program appears to be on an unsustainable trajectory. It is perpetuating the perilous practice of pursuing goals that are often admirable, but which do not match available resources. President Ken-

nedy stated, “We choose to . . . do [these] things, not because they are easy, but because they are hard. . .” And, indeed, space operations are among the most complex and demanding activities ever undertaken by humans. It really is rocket science. Space operations become all the more difficult when means do not match aspirations. Such is the case today. The human spaceflight program, in the opinion of this Committee, is at a tipping point where either additional funds must be provided or the exploration program first instituted by President Kennedy must be abandoned at least for the time being.

America continues to enjoy a clear global leadership role in space capabilities. NASA’s accomplishments are legion. Foremost among these is the landing of 12 astronauts on the Moon and returning them all safely to Earth. At that time, optimism was such that a study chaired by then-Vice President Agnew provided options to place humans on Mars by the mid-1980s—less than two decades after the initial lunar landing. (See Figure 1-1.)

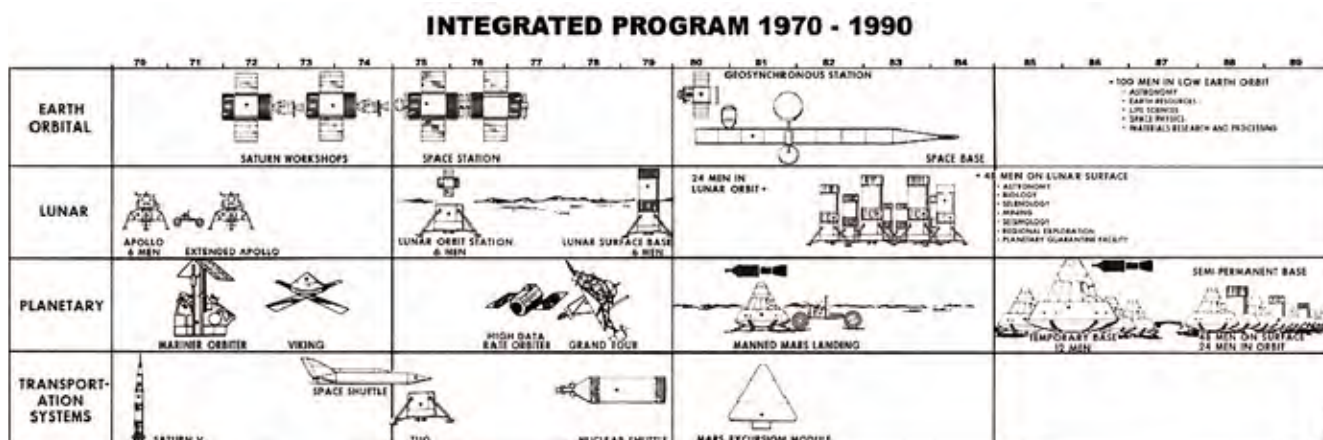


Figure 1-1. The integrated program that never was. The human spaceflight program that was expected to follow the initial Apollo lunar missions. Only a space shuttle and space station have been developed so far. Source: NASA



Figure 1-2. Astronaut Gene Cernan as photographed by astronaut Jack Schmitt on the sixth and final Apollo exploration of the lunar surface in 1972. Source: NASA (Apollo 17)

But that was 40 years ago. The last person to stand on the Moon returned to Earth 37 years ago. (See Figure 1-2.) Since the end of the Apollo Program, no American has traveled more than 386 miles from the surface of the Earth. Some 70 percent of Americans living today had not yet been born at the time of Apollo 11.

Today, the nation faces important decisions about the future of human spaceflight. Will we again leave the close proximity of low-Earth orbit and explore the solar system, charting a path for the eventual expansion of human civilization into space? If so, how will we ensure that our exploration delivers the greatest benefit to the nation? Can we explore with reasonable assurance of human safety? And can the nation marshal the resources to embark on the mission? Although there remain significant potential barriers to prolonged deep-space operations, which deserve greater attention than they are currently receiving (e.g., adaptation of humans to the micro-gravity and radiation environments of space away from the protective features of the Earth), the principal barrier to space operations continues to be its high cost compared with the resources that have been available.

Space exploration, initially a competitive pursuit, has become a global enterprise. Many other nations have aspirations in space, and the combined annual budgets of their space programs are comparable to NASA's. If the U.S. is willing to lead a global program of exploration, sharing both the burdens and benefits of space exploration in a meaningful way, significant benefits could follow. Actively engaging international partners in a manner adapted to today's multipolar world could strengthen geopolitical relationships, leverage global financial and technological resources, and enhance the exploration enterprise.

In addition, there is now a burgeoning commercial space in-

dustry. Given the appropriate incentives, this industry might help overcome a long-standing problem. The cost of admission to a variety of space activities strongly depends on the cost of reaching low-Earth orbit. These costs become even greater when, as is the circumstance today, large sums are paid to develop new launch systems but those systems are used only infrequently. It seems improbable that order-of-magnitude reductions in launch costs will be realized until launch rates increase substantially. But this is a "chicken-and-egg" problem. The early airlines faced a similar barrier, which was finally resolved when the federal government awarded a series of guaranteed contracts for carrying the mail. A corresponding action may be required if space is ever to become broadly accessible. If we craft a space architecture to provide opportunities to industry, creating an assured initial market, there is the potential—not without risk—that the eventual costs to the government could be reduced substantially.

Significantly, we are more experienced than we were in 1961, and we are able to build on that experience as we design an exploration program. If, after designing cleverly, building alliances with partners, and engaging commercial providers, the nation cannot afford to fund the effort to pursue the goals it would like to embrace, it should accept the disappointment of setting lesser goals. Whatever space program is ultimately selected, it must be matched with the resources needed for its execution. Here lies NASA's greatest peril of the past, present, and—absent decisive action—future. These challenging initiatives must be adequately funded, including reserves to account for the unforeseen and unforeseeable. (See Figure 1-3.)

	Real Year Dollars (Billions)	2009 Constant Dollars (Billions) Using GDP Deflator
Mercury (1959-1963)	0.3	1.6
Gemini (1962-1967)	1.3	7.2
Apollo (1961-1973)	24.6	129.5
Shuttle (1971-2009)	112.8	172.5
ISS (1994-2009)	31.5	35.2
Constellation (2006-2020)	108.2	98.4

Notes:

1. Mercury, Gemini, Apollo, Shuttle, and ISS costs are actual costs derived from historical budget documents.
2. Constellation costs are estimates that are supplied by the Constellation Program Office and based on an unconstrained budget that cumulates in a single Human Lunar return mission in 2020.

Figure 1-3. Human Spaceflight Programs Costs in Real Year and Constant Year 2009 Dollars. Source: NASA

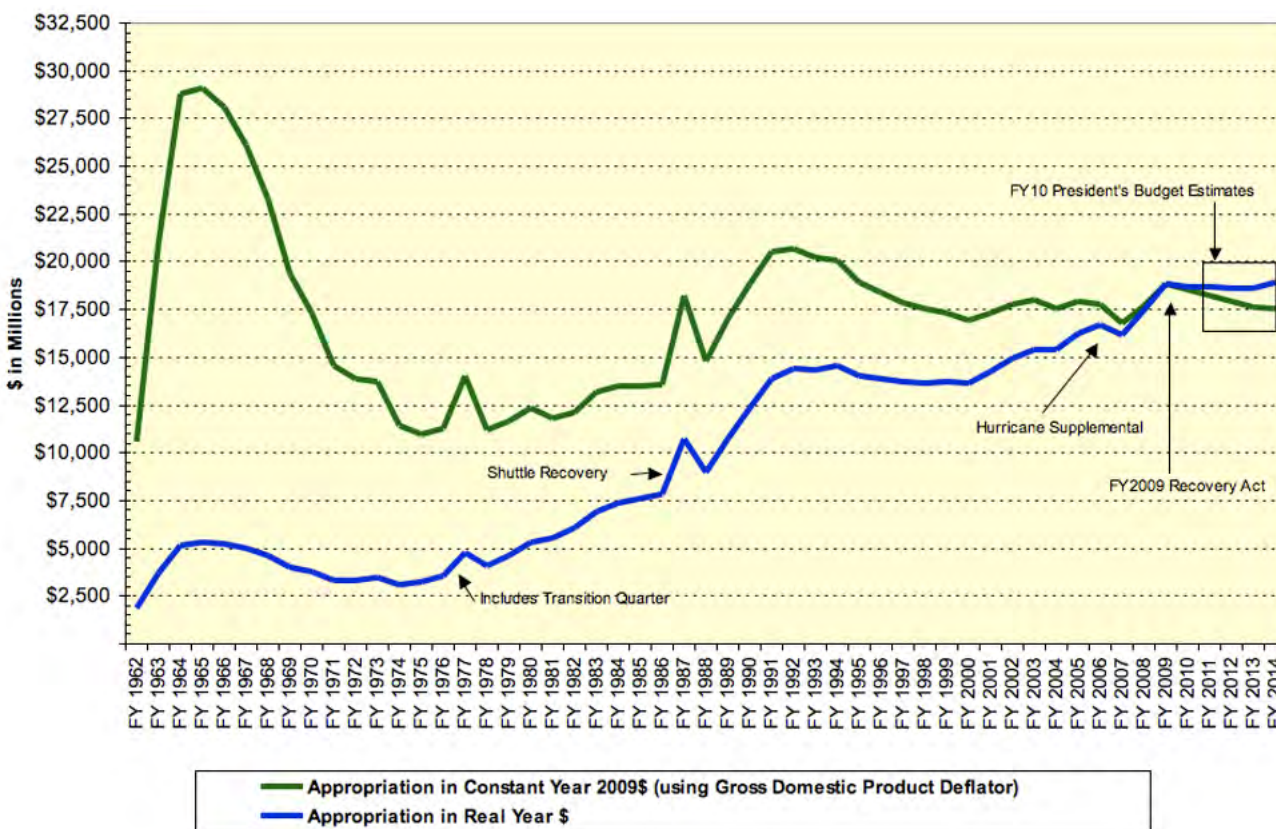


Figure I-4. NASA Appropriation History in Real Year and Constant Year 2009 Dollars. Source: OMB Historical Budget Tables

Can we explore with reasonable assurance of human safety? Human space travel has many benefits, but it is an inherently dangerous endeavor. Past gains in launch systems reliability and safety have been realized at a painfully slow pace. Predictive models have generally proven unsatisfactory in accurately forecasting absolute reliability—many actual failures have been attributable to causes not included in most reliability models (e.g., process errors, design flaws, and, less frequently, operational errors). A great deal has been learned in building more reliable space systems, and this is not to suggest otherwise; rather, it is to confirm that this is an area deserving continuing attention. Human safety can never be absolutely assured, but throughout this report, safety is treated as the *sine qua non*. Concepts falling short in human safety have simply been eliminated from consideration. For example, no options proceeding *directly* to Mars have been offered as alternatives, because the Committee believes the state of technology, the understanding of risks, and the available operational experience are sufficiently immature—irrespective of the budgetary limitations—to commit to such an endeavor.

How will we explore to deliver the greatest benefit to the nation? Planning for a human spaceflight program should begin with a choice of goals—rather than a choice of destinations. Destinations should derive from goals, and alternative architectures may be weighed against those goals. There is now a strong consensus in the United States that the next step in human spaceflight should be to travel beyond low-Earth orbit. This promises to provide important

benefits to society, including driving technological innovation; developing commercial industries and important national capabilities; and contributing to our expertise in further exploration. Human exploration *can* contribute appropriately to the expansion of scientific knowledge, especially field geology, and it is in the interest of both science and human spaceflight that a credible and well-rationalized strategy of coordination between the two endeavors be developed. Robotic spacecraft will play an important role as a precursor to human spaceflight activi-

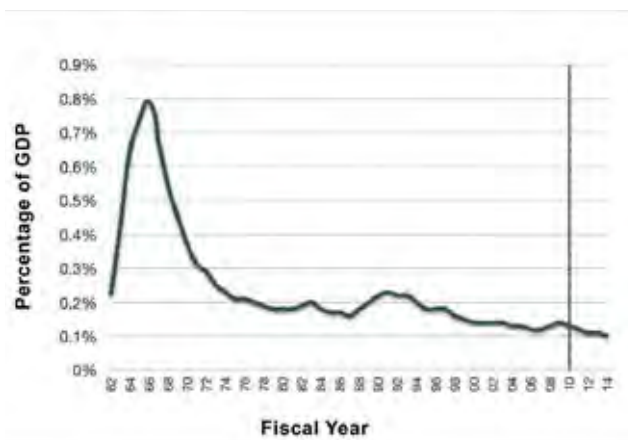


Figure I-5. As a percent of Gross Domestic Product the NASA budget has more or less continuously diminished since the peak of the Apollo program. Source: OMB Historical Budget Tables

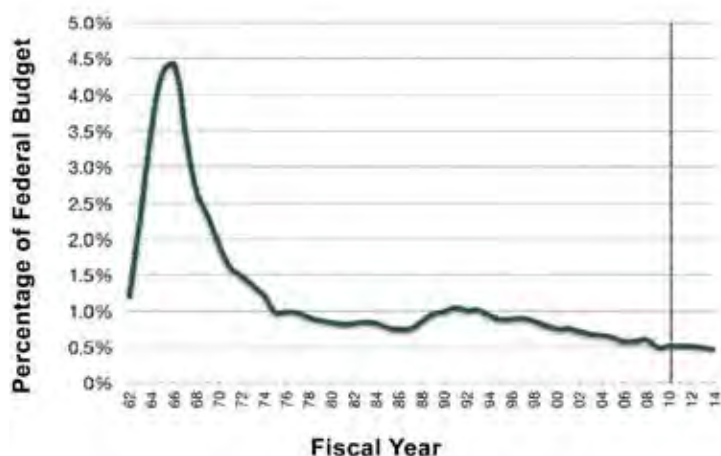


Figure 1-6. The overall NASA budget as a fraction of the federal budget has declined from 4.5 percent at the peak of the Apollo program to approximately 0.5 percent today. Source: OMB Historical Budget Tables

ties. The Committee concluded that the ultimate goal of human exploration is to chart a path for human expansion into the solar system. This is an ambitious goal, but one worthy of U.S. leadership in concert with a broad range of international partners.

With regard to the human spaceflight program itself, the Committee has been deluged with strongly and genuinely held, frequently conflicting, beliefs as to the program's proper composition. For example, the following statements appeared in six different communications that happened to come across the Committee Chairman's desk within minutes of each other:

- "As an American, having NASA field a retro-reenactment of the Apollo program to get back to the moon a half-century after we sent people there the first time is humiliating."
- "From a safety and continuity standpoint the next step in space must be a return to the moon."
- "I am an aerospace engineering master's candidate. [My classmates'] options are working for monolithic bureaucracies where their creativity will be crushed by program cancellations, cost overruns and risk aversion... It is no surprise that many of them choose to work in finance..."
- "We remember the past well and remind ourselves often of long gone civilizations whose innovations in science, technology and learning yielded knowledge that served as beacons of brilliance, but who lost the spark and faded."

- "...going back to the moon takes us into an intellectual and political cul de sac..."
- "The audacity to go to the moon was perhaps the 20th century's greatest illustration of America's optimism. Present generations of Americans need to capture some of that audacity."

A primary issue in formulating a human spaceflight plan is its affordability. In the way of background, Figures 1-4, 1-5 and 1-6 present the overall NASA budget trend over time in absolute terms and in relationship to the GDP and the federal budget, respectively. The trend in funding the human spaceflight portion of NASA's portfolio is shown in Figure 1-7. Today, the human spaceflight program costs each citizen about seven cents a day.

So what should America's human spaceflight program look like? Before answering that question, we must face an underlying reality. We are where we are. The Committee thus identified five questions that could form the basis of a plan for U.S. human spaceflight:

- What should be the future of the Space Shuttle?
- What should be the future of the International Space Station?
- On what should the next heavy-lift launch vehicle be based?
- How should crew be carried to low-Earth orbit?
- What is the most practicable strategy for exploration beyond low-Earth orbit?

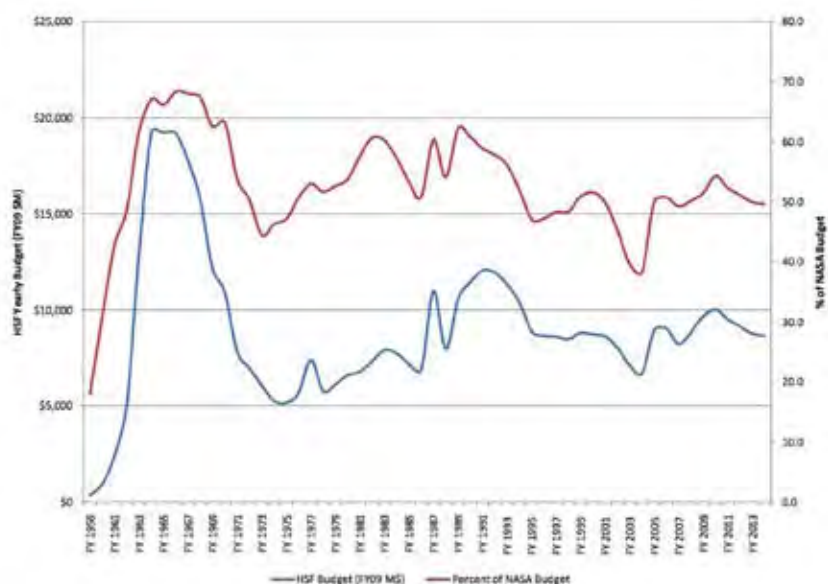


Figure 1-7. Human spaceflight yearly annual budget in FY 2009 dollars (left scale) and as a percentage of total NASA budget (right scale.) Source: NASA

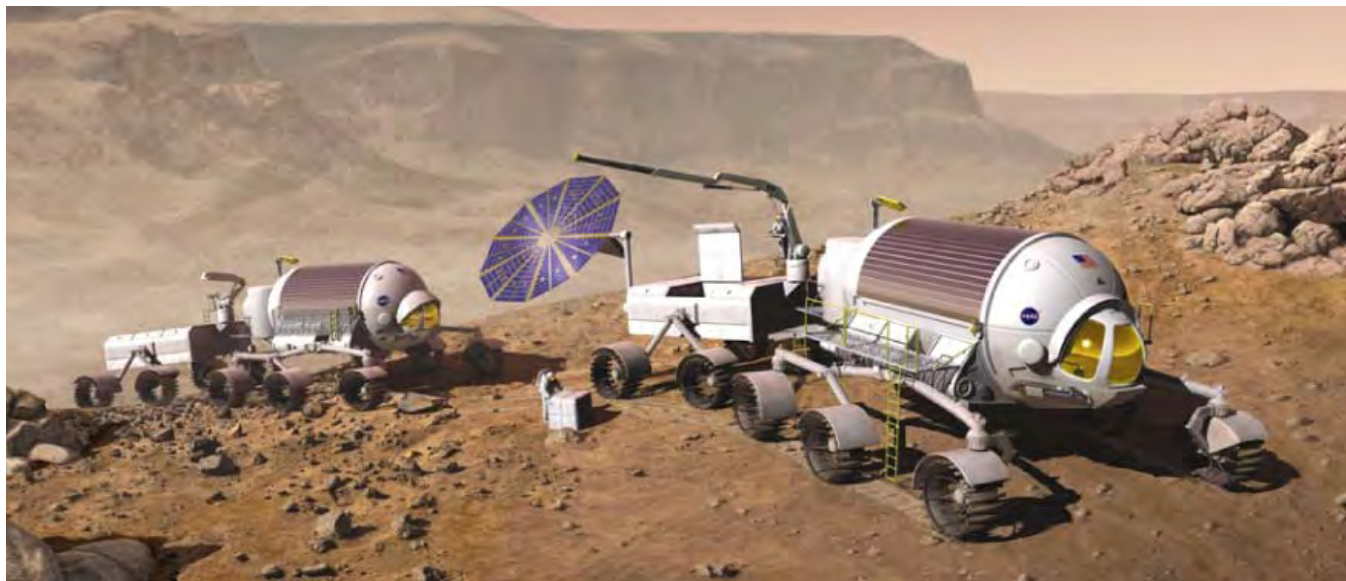


Figure 1-8. Artist's concept of Mars mission activity. Source: NASA

The Committee considers the framing of these questions, in a consistent way, to be at least as important as their combinations in the integrated options for a human spaceflight plan. The Committee assessed the programs within the current human spaceflight portfolio, considered capabilities and technologies that a future program might require, and examined the roles of commercial industry and our international partners in this enterprise.

A human landing and extended human presence on Mars stand prominently above all other opportunities for exploration. (See Figure 1-8.) Mars is unquestionably the most scientifically interesting destination in the inner solar system. It possesses resources which can be used for life support and propellants. If humans are ever to live for long periods with intention of extended settlement on another planetary surface, it is likely to be on Mars. But Mars is not an easy place to visit with existing technology and without a substantial investment in resources. The Committee concluded that Mars is the ultimate destination for human exploration of the inner solar system; but as already noted, it is not the best first destination.

The Committee thus addressed several possible strategies for exploration beyond low-Earth orbit. We could choose to explore the Moon first, with lunar surface exploration focused on developing the capability to explore Mars. Or we could choose to follow a flexible path to successively distant or challenging destinations, such as lunar orbit, Lagrange points, near-Earth objects, or the moons of Mars, which could lead to the possible exploration of the lunar surface and/or Martian surface.

As a result of its deliberations, the Committee developed five integrated options for the U.S. human spaceflight program that the Committee deems representative: one baseline case, founded upon the Constellation program, and

four alternatives. Two of the options are constrained to the FY 2010 budget profile. The remaining three options, including the baseline, fit a less-constrained budget. It was possible to define some 3,000 potential options from the set of parameters considered—hence the options presented here should be thought of as representative families. Various program additions and deletions among these families are also plausible, with appropriate budget adjustments—including a proper accounting of the many interdependent facets of these integrated options. Several of these derivatives are discussed in this report.

The Committee considers it important for any exploration strategy to offer a spectrum of choices that provides periodic milestone accomplishments as well as a continuum of investment cost options. Unfortunately, for all options examined, the “entry cost” for human exploration is indeed significant—and for the more inspiring options there does not seem to be a “cost continuum.” Put another way, there is a sizeable difference in the cost of programs between those operating in low-Earth orbit and those exploring beyond low-Earth orbit.

Clearly, a more penetrating analysis into any choice will be required before fully embarking upon it. However, the Committee believes it has fairly represented the most plausible courses. It bases this assessment in part on the extraordinary supporting effort provided by NASA personnel—an effort that was forthright, competent, and, in the NASA spirit, “can-do.” The Committee also benefited significantly from prior independent reviews of NASA activities. In addition, the Committee contracted with the Aerospace Corporation to provide independent assessments. During the Committee’s deliberations, it was informed by day-long public meetings in Houston, TX, Huntsville, AL, and Cocoa Beach, FL, as well as five days of meetings in Washington, DC. In addition, its subcommittees held

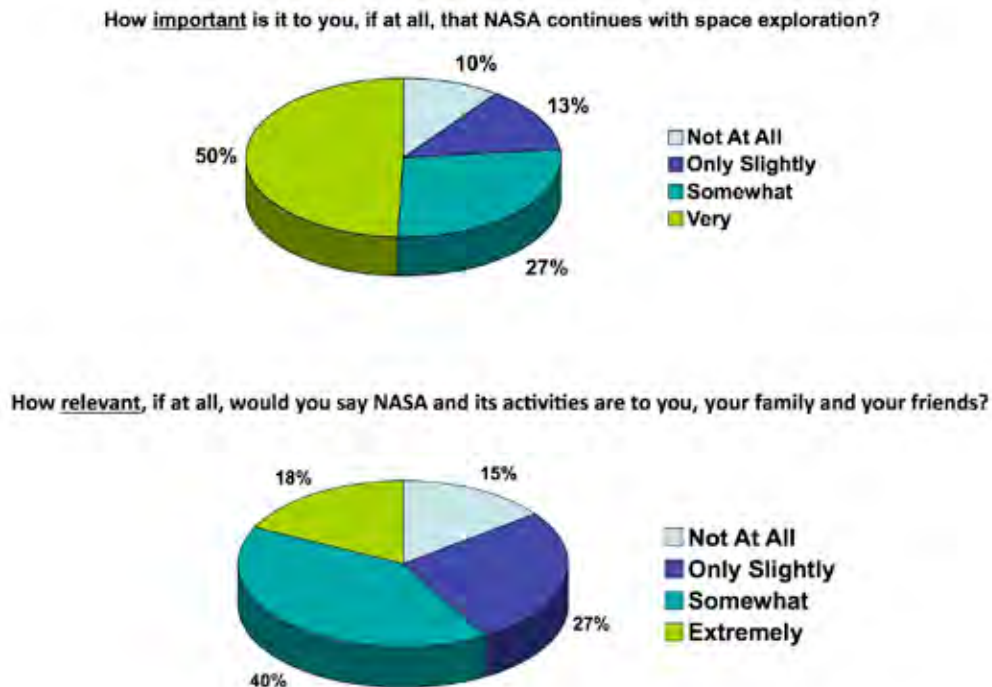


Figure 1-9. Space exploration (human/robotic) continues to be valued by Americans – 77% think it is very or somewhat important, while 58% think it extremely or somewhat relevant. Source: NASA - 2009 Market Research Insights and Implications - August 6, 2009

meetings in Denver, CO; Decatur, AL; Huntsville, AL; Michoud, LA; Hawthorne, CA; El Segundo, CA; and Dulles, VA. The group conducted numerous teleconference and videoconference preparatory sessions and communicated frequently by e-mail (over 1,700 e-mails in the Chairman's case).

Seeking to benefit from the views of the public, the Committee: established a website and Facebook site; used Twitter; conducted all decisional meetings in public session (meetings that were also carried on NASA TV); provided opportunity for public comment at five of the formal meetings; testified before committees of both the House and Senate; and held seven press conferences. Participation by the public was extensive—and the Committee made use of that input. It is heartening to note that the public still strongly supports the overall efforts of NASA. (See Figure 1-9.)

Finally, it is reasonable to ask whether a review over several months is sufficient to offer the options presented here. Certainly, the issues at hand demand a broad

and detailed understanding of the human spaceflight program—ranging from an awareness of the impact of galactic cosmic rays on the human body to the fact that the hook-height at NASA's Michoud Assembly Facility will only allow the manufacture of a stage with a diameter of 33 feet.

Each of the Committee members had accrued extensive experience with spaceflight issues long before the beginning of this review. For example, the members cumulatively have amassed 245 days in orbit, 6 flights into space, 293 years working on space matters, 175 years in science, 144 years in engineering, 143 years in engineering management, 61 years in space operations, 77 years in government, 35 years in the military, and 160 years in the private sector. (The totals reflect the overlap of some of these categories.)

The Committee believes that the options presented here, if matched with appropriate funds, provide a reasonable foundation for selecting a human spaceflight program worthy of a great nation.

U.S. Human Spaceflight: Historical Review

In human spaceflight, as in other endeavors, a review of the past can help provide perspective in planning for the future. This chapter, noting the findings of some earlier space-program assessments, seeks to provide such perspective.

In 1961, President John F. Kennedy forcefully and publicly focused the nation's nascent space program on a single goal: U.S. astronauts would set foot on the surface of the Moon before the end of the decade, and return safely. More feasible than a mission to Mars, and with better prospect of preceding the Soviets than seeking to develop an orbiting space station, the lunar landing would ensure U.S. standing as the leader in the world's most prominent exploration competition. Kennedy's challenge to NASA and the nation was an audacious one, given that at the time he made it in 1961, no American had even reached Earth orbit.

President Kennedy markedly accelerated the U.S. space program, but he did not initiate it. NASA was established in 1958. President Dwight Eisenhower supported human missions to low-Earth orbit and beyond, but he emphasized fiscal restraint in the effort. According to George Low, at the time NASA Chief of Manned Spaceflight, a desirable lunar program should have project costs kept in balance with expected returns and within the foreseeable NASA budget. By the 1960s, the drive to meet the end-of-decade goal superseded those restraints.

The outcome of the so-called Space Race was not a foregone conclusion. Among numerous Soviet achievements, it was a cosmonaut who was the first human to orbit the Earth, and another to first conduct extra-vehicular activ-



Figure 2-1. Front page of *The New York Times* from October 5, 1957 and July 21, 1969.

Source: *The New York Times*

ity. The U.S. Mercury and Gemini programs achieved their objectives of developing and flight-testing the kinds of equipment and procedures that would be needed in a lunar mission. But in 1967, with less than three years remaining before the deadline set by President Kennedy, fire broke out in the pure-oxygen environment of the Apollo 1 command module during a ground test, resulting in the death of the three astronauts on board. Despite the delay from the accident and its aftermath, on July 20, 1969, Neil Armstrong and Buzz Aldrin of Apollo 11 became the first humans to set foot on a celestial body beyond our own, while Mike Collins orbited above, preparing for the return to Earth. Ten more astronauts, on five more Apollo missions, would reach the lunar surface.

Big goals are energizing, for individuals and for national efforts. (See Figure 2-1) But the problem with focusing on a single all-consuming objective is the letdown that can ensue after the objective is achieved. Public interest appeared to wane during the course of succeeding lunar missions. NASA had ambitious set of plans to follow Apollo. Space stations would orbit the Earth. A more permanent lunar presence would be established by 1982. Proposed hardware included a space tug and a nuclear-powered shuttle. The first crewed landing on Mars would take place by the mid-1980s. By 1990, there would be 100 humans in low-Earth orbit, 48 on the Moon and 72 on Mars and its moons. Most of that never came to pass. Since 1972, the year of the last Apollo lunar mission, no human has ventured farther from the Earth's surface than 386 miles.

President Richard Nixon did not end the space program, but he did much to scale it back. The trajectory of the NASA budget shifted downward. The Nixon administration was responding not only to the perceived decline in public support for far-reaching human space exploration, but also to the economic decline at the time. When a task group established by the administration presented options that included a lunar return and a program aimed at Mars, the President confined the nation's crew-carrying space ventures instead to low-Earth orbit.

The keystone of the redefined initiative was the Space Shuttle, the reusable departure from the expendable transport systems used until that time, capable of launching as a rocket and landing as an aircraft. The economic case for the Shuttle was that it would provide dependable, high-frequency access to orbit, with relatively low cost. Government payloads, both civil and military, would be delivered aboard the orbiter, as well as commercial satellites. On some missions, the vehicle would serve as an orbiting laboratory. Despite its unprecedented technical complexity, the Shuttle's development budget was constrained, eliminating design options such as a fully reusable, two-stage configuration.

The first Space Shuttle reached orbit in April 1981, a little less than a decade after President Nixon announced the program in 1972. Launch frequency never approached original expectations, and the cost per mission turned out to be far greater than what was forecast. The plan to launch on nearly a weekly basis was cut to 24 flights per year, and even that proved unattainable. By January of 1986, five orbiters had flown four test flights and 20 operational missions.

On the morning of January 28, 1986, Space Shuttle *Challenger* was destroyed in an explosion 73 seconds after launch. The accident claimed the lives of all seven crew members. The Presidential Commission that investigated the accident, chaired by William Rogers, called for measures to correct critical design flaws in the Shuttle, as well as to correct management shortcomings it identified at NASA. The Commission advocated reasonable expectations for the Shuttle Program, urging that the space agency "establish a flight rate that is consistent with its resources."

After the Shuttle had been flying a variety of missions for a number of years, its primary purpose evolved to constructing

and supporting space stations. Both the U.S. and the Soviet Union began operating orbiting platforms for research and other functions in the early 1970s. In his 1984 State of the Union Address, President Ronald Reagan announced plans to construct what became known as Space Station *Freedom*. James Beggs, NASA Administrator at the time, called this permanent orbiting facility "the next logical step" in space exploration. For President Reagan, the international project served as an element of foreign policy, helping to reinforce ties with allied nations. Later, after the end of the Soviet Union but before any joint space station was built, the station concept was promoted as a means to help foster cooperation with a former adversary.

In fact, *Freedom* was never built. The decade following the Reagan announcement saw a long series of design studies, redesigns and cost reassessments. Eventually, the original initiative, which included the U.S., Europe, Japan, and Canada, was expanded by joining forces with Russia to build the International Space Station. Before construction began on the International Space Station, the U.S. was building experience with the Russians, flying Shuttle missions to the Mir space station and flying NASA astronauts on Soyuz vehicles to long-duration Mir missions.

What tasks should a space station perform? Long-term, what should the United States be seeking to accomplish in space? In 1985, the sense in Congress was that it was not getting an adequate response from NASA and the White House, so, through legislation, it directed the establishment of an independent commission to examine these questions. Former NASA Administrator Thomas Paine chaired the National Commission on Space, which developed a half-century roadmap for the U.S. civil space program. Among numerous recommendations, the Commission counseled against focusing efforts on a single objective, on the Apollo model, with nothing to follow. It stressed program continuity, so there would not be another gap like the one between the end of Apollo and the beginning of the Shuttle. And it departed from the policy that had prevailed over the previous decade, limiting operational focus to low-Earth orbit. Humans were to return to the Moon by 2005 and reach Mars by 2015. The impact of the Paine Commission Report was diminished by timing: The *Challenger* accident occurred during the course of the Commission's inquiry.

In 1989, on the 20th anniversary of the Apollo 11 Moon landing, President George H. W. Bush announced the Space Exploration Initiative, which supported a number of the objectives spelled out in the earlier Paine Report, such as missions to the Moon and Mars. In the same speech, the President asked Vice President Dan Quayle to lead a National Space Council, which would determine the requirements to fulfill the Initiative. NASA Administrator Richard Truly, in turn, established a task force to support that inquiry. Among the findings of this "90-Day Study," the projected total cost of the proposed lunar and Mars projects, over 34 years, would be an estimated \$541 billion (in 1991 dollars). In 1990, Congress zeroed the budget of the Space Exploration Initiative.

President Bush, Vice President Quayle and the Space Council called for a fresh assessment of the long-term prospects of NASA and the U.S. civil space program. To provide that assessment, an advisory committee was established, chaired by Norman R. Augustine, which raised numerous issues, starting with the lack of a national consensus on space program goals. Within NASA, the committee found an overextended agency, with shortcomings in budget, project development, personnel practices and other areas of management, and the committee cited the need for a heavy-lift launch vehicle and a space program balanced between human and robotic flight. The committee said the U.S. civil space program is “overly dependent upon the Space Shuttle for access to space.” The committee also stated that “the statistical evidence indicates that we are likely to lose another Space Shuttle in the next several years.” Among its prescriptions for improvement, the committee presented a new approach for long-range planning of space-exploration projects, in which programs would be “tailored to respond to the availability of funding, rather than adhering to a rigid schedule” that failed to recognize the impact of funding changes.

Twelve years later, on February 1, 2003, the nation did lose another Shuttle. *Columbia*, the first orbiter to reach space 22 years earlier, was destroyed during reentry, with the loss of all seven members of its crew. The Columbia Accident Investigation Board documented the physical cause of the accident, but also cited organizational and communications failures within NASA that allowed the critical damage to occur and go unaddressed. The report went on to cite “a lack, over the past three decades, of any national mandate providing NASA a compelling mission requiring human presence in space . . .”

Throughout NASA’s history, while human spaceflight efforts garnered the most national attention, the agency continued to launch satellites, deep-space probes and rovers of ever-greater sophistication. The success of robotic missions such as the *Voyager* spacecraft to the outer planets fostered debate over the relative value of robotic versus human space exploration. In 1999, NASA Administrator Dan Goldin chartered a small internal task force—the Decadal Planning Team, which later evolved into a larger, agency-wide team known as the NASA Exploration Team—to investigate the best ways to coordinate human and robotic missions. These teams followed a series of architecture studies over the previous decade, such as the report of General Thomas Stafford and the Synthesis Group, all aimed at charting a renewed course of space exploration.

The work of the two NASA teams helped provide the basis for a new policy established by President George W. Bush in 2004, the Vision for Space Exploration. In announcing the Vision, the President acknowledged the numerous tangible benefits of space missions, in areas such as communications and weather forecasting, but the central purpose he stressed—as reflected in the name of the policy—was exploration, continuing the American tradition of discovery in uncharted territory. The new initiative echoed the earlier Eisenhower policy: fly well beyond Earth’s realm, but do it on a fiscally sustainable basis.

Leading the agenda set out in the Vision was completion of the ISS by 2010. One reason cited was to meet the nation’s obligations to its international partners; another was to investigate the effects on human biology of extended exposure to the space environment, thereby helping to develop the means to sustain astronauts on subsequent, long-duration missions. At least initially, the Vision did not stress the role of the ISS as a laboratory for other kinds of research. Completion of the ISS depended on returning the Space Shuttle to flight once safety concerns raised in the *Columbia* accident investigation were sufficiently addressed. Exploration beyond low-Earth orbit, under the Vision plan, was focused on the Moon—starting with robotic missions no later than 2008, followed by human return to the lunar surface by 2020. Once a human presence is well established on the Moon, the President said, “we will then be ready to take the next steps of space exploration: human missions to Mars and to worlds beyond.”

At the time he announced the Vision, President Bush also appointed a commission, chaired by E. C. “Pete” Aldridge, Jr., to develop recommendations for implementing the plan. Among its recommendations, the commission said that NASA should “aggressively use its contractual authority to reach broadly into the commercial and nonprofit communities to bring the best ideas, technologies, and management tools into the accomplishment of exploration goals.” Through its Commercial Orbital Transportation Services (COTS) program, NASA solicited proposals for private-sector transport of cargo and possibly crew to the International Space Station. Three awards were made, one of which was subsequently cancelled by NASA for failure to meet milestones.

In announcing the Vision, President Bush noted that “America has not developed a new vehicle to advance human exploration in space in nearly a quarter century.” Proposals for a next-generation space vehicle had long been considered, but with the loss of *Columbia*, and with a mandate from the new Presidential policy to focus on the completion of the ISS, and then retire the Space Shuttle, NASA affirmatively began preparing for near-term retirement of the Shuttle. A newly constituted Exploration Systems Mission Directorate led the task of developing the Shuttle’s successor. Initially, NASA chose a broad concept-maturation, risk reduction, and technology-investment approach to developing exploration systems.

In 2005, after Dr. Michael Griffin became Administrator, NASA undertook the Exploration Systems Architecture Study (ESAS) to select vehicles and systems in keeping with the Vision. The team evaluated hundreds of potential configurations. A leading objective was to minimize the gap between the last Shuttle flight and the first flight of the new vehicle. A date of 2012 was set for that first flight. Another criterion, spelled out in the 2005 Authorization Act for NASA, was to make use, as much as possible, of assets and infrastructure carried over from the Shuttle Program. Since the new system—actually a family of vehicles—would likely have a decades-long service life, it was to have the capability of not only reaching low-Earth orbit, but also extending to the Moon and beyond. The lunar objective would

be to do more than replicate what Apollo had accomplished long before. The architecture would support larger crews and longer missions, capable of reaching any location on the Moon and returning. The results of the vehicle-system selection process evolved into what is now known as the Constellation Program, consisting of Ares launch vehicles, Orion crew capsule, the Altair lunar lander, and lunar surface systems.

Today, budget questions continue to dominate the human spaceflight debate. In the 37 years since humans last ventured beyond low-Earth orbit, and five years after announcement of the Vision for Space Exploration, consensus is still lacking about what is feasible and affordable in the future course of U.S. human spaceflight.

Goals and Future Destinations for Exploration

■ 3.1 GOALS FOR EXPLORATION

We explore to reach goals, not destinations. It is in the definition of our goals that decision-making for human spaceflight should begin. With goals established, questions about destinations, exploration strategies and transportation architectures can follow in a logical order. While there are certainly some aspects of the transportation system that are common to all exploration missions (e.g. crew access and heavy lift to low-Earth orbit), there is a danger of choosing destinations and architectures first. This runs the risk of getting stuck at a destination without a clear understanding of why it was chosen, which in turn can lead to uncertainty about when it is time to move on.

Since 1972, the destination for U.S. human exploration of space has been confined to low-Earth orbit. Following the loss of *Columbia*, a strong national consensus emerged that we should move beyond low-Earth orbit once again, and explore the inner solar system. The question arises, “What is the point of doing so?” The answers to this question help to identify the goals of human spaceflight. While it was not specifically within the Statement of Task of the Committee to advise on the rationale for a human spaceflight program, the Committee felt compelled to at least review the likely goals as a foundation for its further deliberations.

Human spaceflight produces important tangible benefits to society. Human spaceflight is a technologically intensive activity, and during its execution new technologies are derived that have benefit to other government and commercial users of space, and to products that touch Americans daily. Access to and development of space is critical to our national welfare, and a well-crafted human exploration program can help to develop competitive commercial industries and important national capabilities. We explore our first destinations in part to learn how better to explore more challenging sites in the fu-

ture. Human and robotic explorations both contribute to the expansion of scientific knowledge. Human explorers are most effective when exploring complex destinations, and particularly in endeavors such as field geology.

Human exploration also addresses larger goals. We live in an increasingly multi-polar world, and human space exploration is one domain in which the United States is still the acknowledged leader. Human exploration provides an opportunity to demonstrate space leadership while deeply engaging international partners. Chapter 8 will discuss the potential of partnerships in exploration.

Human exploration of space can engage the public in new ways, inspiring the next generation of scientists and engineers, and contributing to the development of the future workforce in science, technology, engineering and mathematics (STEM). By viewing other planets as well as our own from deep space, exploration helps to shape human perceptions of our place in the universe.

There was a strong consensus within the Committee that human exploration also should advance us as a civilization towards our ultimate goal: charting a path for human expansion into the solar system. It is too early to know how and when humans will first learn to live on another planet, but we should be guided by that long-term goal.

In developing alternatives for human spaceflight plans, the Committee was guided by these tangible, less-tangible and long-term goals. In Chapter 6, the Committee returns to these goals as the basis for developing evaluation measures against which the options will be evaluated.

■ 3.2 OVERVIEW OF DESTINATIONS AND APPROACH

The Moon has been the nation’s principal focus of human space exploration beyond low-Earth orbit since President Kennedy set it as a national goal in 1961. But there are

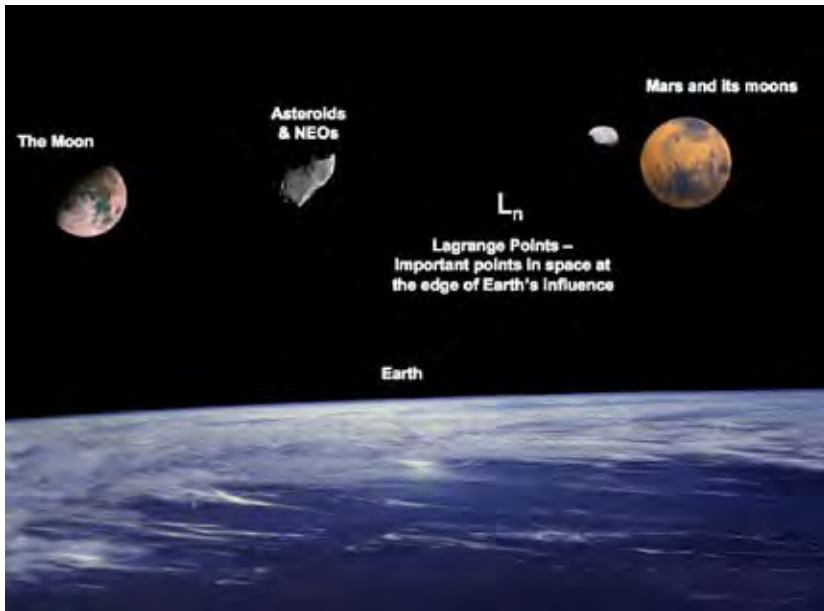


Figure 3.2-1. Potential destinations for the U.S. human spaceflight program. Source: Review of U.S. Human Spaceflight Plans Committee

many places humans could explore in the inner solar system, each with benefit to the public, as well as opportunities for scientific discoveries, technology development and steady progress in human exploration capabilities. Among these destinations are our own Moon, as well as Mars and its moons. (See Figure 3.2-1.) Other potential destinations include the near-Earth objects, asteroids and spent comets that pass near the Earth. There are also important locations in free space that are of interest, including the Earth's Lagrange points. These are sites at the edge of the Earth's influence, which will be important future points for observation toward the Earth and away from it. For example, the James Webb Space Telescope, the successor to the Hubble Space Telescope, will be placed at a Lagrange point. The Lagrange points might also be the nodes of a future space transportation highway through the inner solar system.

There is a progression in time and difficulty in reaching these destinations. Lunar orbit, the Lagrange points, near-Earth objects, and a Mars fly-by are the easiest in terms of energy required. It actually requires less energy to fly by Mars than to land on and return from the surface of the Moon. Next in terms of energy requirements is the lunar surface, followed by Mars orbit. The surface of Mars requires the most energy to reach. An analysis of the duration to reach these destinations yields a slightly different order. The Moon is days away, the Lagrange points weeks, the near-Earth objects months, a Mars fly-by a year, and a Mars landing is the longest—about

900 days for a round trip using the most likely approach. Among practical criteria to apply in selecting destinations are questions such as: How difficult is the destination to reach? How long will it take? How dangerous will the mission be? How expensive and sustainable will it be?

The key framing question for this chapter is: What is the most practicable strategy for exploration beyond low-Earth orbit? Options include:

- Mars First, with a Mars landing after a brief test flight program of equipment and procedures on the Moon.
- Moon First, with surface exploration focused on developing capability for Mars.
- Flexible Path to Mars via the inner solar system objects and locations, with no immediate plan for surface exploration, then followed by exploration of the lunar and/or Martian surface.

In assessing these choices, the Committee examined a number of scenarios, performing new analyses and reviewing existing studies from the Constellation Program and other NASA architecture studies dating back to Apollo. The Committee listened carefully to alternate views presented by a number of other organizations, including the Mars Society and the Planetary Society.

With the help of a NASA team, the Committee examined the technical, programmatic and goal-fulfillment aspects of the destinations in two major cycles. The first cycle considered six destination/pathway scenarios, including three variants of lunar exploration, two variants of Mars exploration, and the "Flexible Path." (One scenario was the baseline Con-

	Diameter (miles)	Average Distance from the sun (miles)	Min/Max Surface Temperature (deg F)	Day	Year (days)	Equatorial Surface Gravity	% Oxygen in atmosphere
Earth	7,920	92,955,021	-129/136	24 hours	365.25	100% of Earth	21%
Mars	4,220	141,633,260	-207/81	24 hours, 40 min	686.98	38% of Earth	0.13%

Figure 3.3.1-1. Comparison of major features of the Earth and Mars. Source: Review of U.S. Human Spaceflight Plans Committee

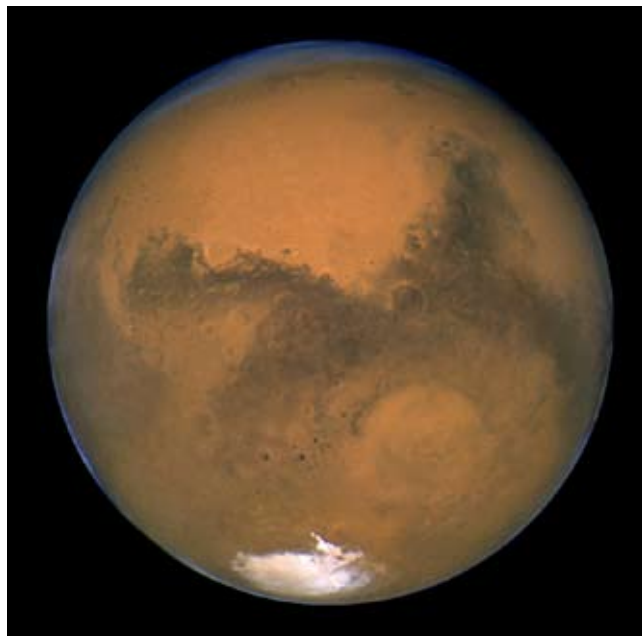


Figure 3.3.1-2. Mars – A possible first destination for exploration.
Source: NASA Hubble Space Telescope

stellation Program approach.) For each of these six variants, benefits and timelines were developed, along with the necessary hardware elements and approximate costs. A second cycle included more detailed analysis, such as coupling to launch vehicles and upper stages, and more detailed benefit and cost analysis. In the end, the six initial scenarios collapsed into the three destination options described below.

Later, Chapter 6 provides a more complete description of each scenario, combining in each case the choice of destination with the choice of launch system to low-Earth orbit.

■ 3.3 MARS FIRST

3.3.1 Overview. A human landing that leads to an extended human presence on the Martian surface stands prominently above all other opportunities for human space exploration. Mars is somewhat smaller than Earth, has about three-eighths its surface gravity, a thin atmosphere consisting mostly of carbon dioxide, and water. (See Figure 3.3.1-1.) It therefore possesses potential resources that can be used for life support and propellant. If humans are ever to live for long durations on another planetary surface and move toward permanent expansion of human civilization beyond the Earth, it is likely to be on Mars (Figure 3.3.1-2.) Mars is unquestionably the most scientifically interesting destination in the inner solar system. Mars has a planetary history similar to that of the Earth. It had a period of volcanic

activity. At one time, water ran freely on its surface. Its atmosphere evolved over time, much as ours did. And there is the distinct potential that life could have begun to evolve on Mars. Learning about Mars would teach us a great deal about the Earth. Furthermore, the scientific community that studies Mars generally agrees that its exploration could be significantly enhanced by direct participation of astronaut explorers. The Committee finds that Mars is the ultimate destination for human exploration of the inner solar system.

3.3.2 Scenario Descriptions. Two scenarios have been developed to examine the human exploration of Mars. In the first, the surface of Mars would be the initial and only destination, and all resources would be focused on reaching it as soon as possible. In the second, systems would be designed for Mars missions, but would be first verified on several test flights to the Moon. The latter would require some hardware modification, but would test the systems at a planetary body near the Earth before committing to a multi-year mission to Mars. In the end, the Committee decided to use the variant with a brief test flight program of equipment and procedures on the Moon as the reference Mars First option.

The Mars First scenario represents a comprehensive human exploration strategy, and requires a focused technology development program as well as an integrated test plan to reduce risk while gaining confidence and experience with the Mars exploration systems. Exploration of Mars would be performed in extended stays on the surface, with each mission going to a different landing site on Mars. Human exploration would be complemented by robotic exploration of Mars. Synergies would be exploited, but would not fundamentally drive the program.

This scenario was analyzed based on the existing 2007 NASA Human Exploration of Mars Design Reference Architecture 5.0 (NASA-SP-2009-566 and NASA-SP-2009-566-ADD). This architecture is shown in Figure 3.3.2-1. It assumed the

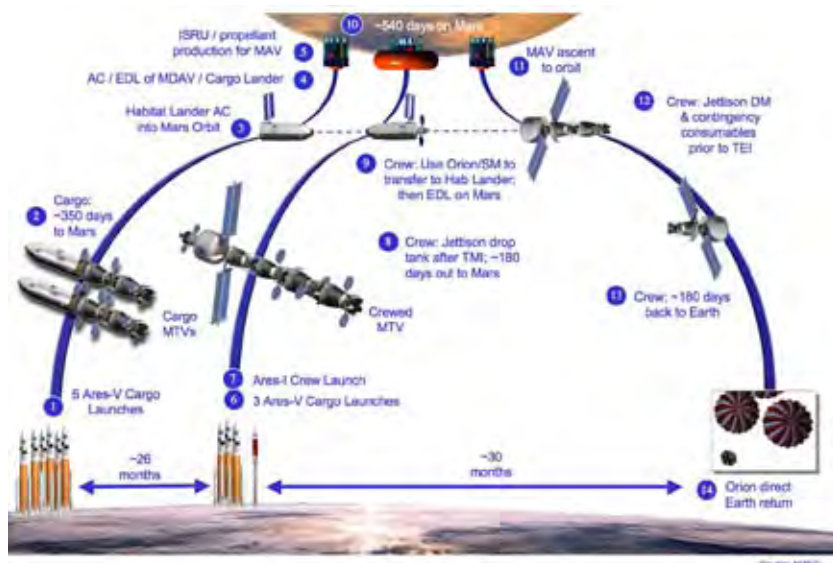


Figure 3.3.2-1. Architecture of the Mars First strategy, indicating the three missions launched toward Mars necessary to support the landing of a crew of six astronauts. Source: NASA

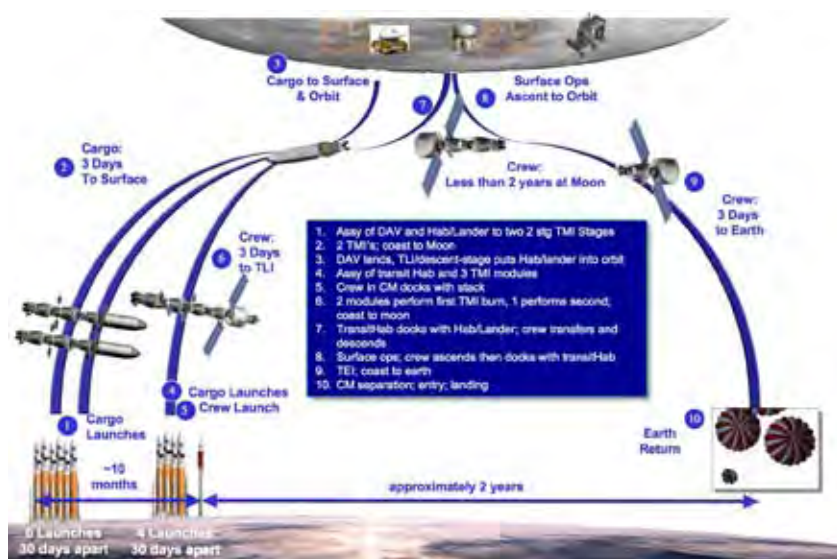


Figure 3.3.2-2. Architecture of the Mars First system being tested on the Moon. Source: NASA

use of eight or more Ares V launchers plus an Ares I crew launch for each Mars opportunity. Both nuclear thermal rockets and chemical (LOX/LH₂) in-space propulsion systems were examined. Under this scenario, 26 months before the launch of the crew, a mission is launched carrying the ascent vehicle to the Martian surface, and a second mission injects a crew descent and habitation vehicle into Mars orbit. The crew then makes a faster trip, and reaches rendezvous in Mars orbit with the descent vehicle, lands on the surface of Mars, spends 540 days on the surface, and then returns to Mars orbit in the ascent vehicle. There the crew rendezvous with the crew vehicle and returns home to Earth. On both the trip to and from Mars, the crew is exposed for 180 to 200 days to the weightlessness and full solar and galactic cosmic radiation of free space (i.e., away from any planet). The total round trip to Mars and back lasts about 900 days.

The Moon would be used as a site for integrated testing of the Mars systems. The systems landed on the Moon—landers, habitat, rovers and other surface systems—would be those designed for the Mars missions, or as similar as possible while suitable for the lunar surface, as shown in Figure 3.3.2-2. Not all systems necessary for Mars can be tested on the Moon (e.g., entry systems and in-situ atmospheric resource utilization). However, most of the Mars systems can be tested on the Moon, making the Moon not only a conceptual testbed, but also an actual testbed for Mars systems.

3.3.3 Milestones, Destinations and Capabilities. A notional development plan and flight road map for the Mars First scenario is presented in Figure 3.3.3-1. The strategy shows the progressive expansion and extension of human capabilities, starting with a demonstration of an extended-dura-

tion habitat at the ISS, expanding to unpiloted missions to near-Earth objects to demonstrate the performance of heavy lift and in-space transportation systems. At the same time, sub-scale robotic missions at Mars would demonstrate key Mars exploration technologies needed to land large payloads at a precise location. The lunar dress rehearsal would take place as the Mars hardware is completed and prepared for integrated systems testing. The transportation system, cargo and crew would be launched toward the Moon over a 10-month period. Once the crew and cargo are in place on the surface, operations will take place over a two-year period, with crew rotation occurring every six months. While going to the Moon would primarily be for the purpose of testing Mars systems, astronauts would explore the lunar surface as well. When all systems have been tested, cargo-only and then piloted missions to Mars would occur on successive opportunities, about 27 months apart.

3.3.4 Assessment. While Mars is the ultimate destination for the near-term human exploration of space, it is not an easy place to visit with existing technology and experience. No human has ever traveled more than three days from Earth, and none beyond 386 miles away for almost 40 years. No American has been in space much more than 180 days at a time, or exposed to the full radiation of free space for more than about a week. Mars requires a trip in space of almost 900 days. We do not have flight-demonstrated technology to confidently approach and land large spacecraft on the Mars surface. Mars is distant enough from the Sun that it is a weak energy source, and space-based surface nuclear power is probably needed. Under current plans, as many as 12 Ares V vehicles would be needed to launch each biannual set of missions. It seems likely that some form of advanced propulsion may also be needed to make travel

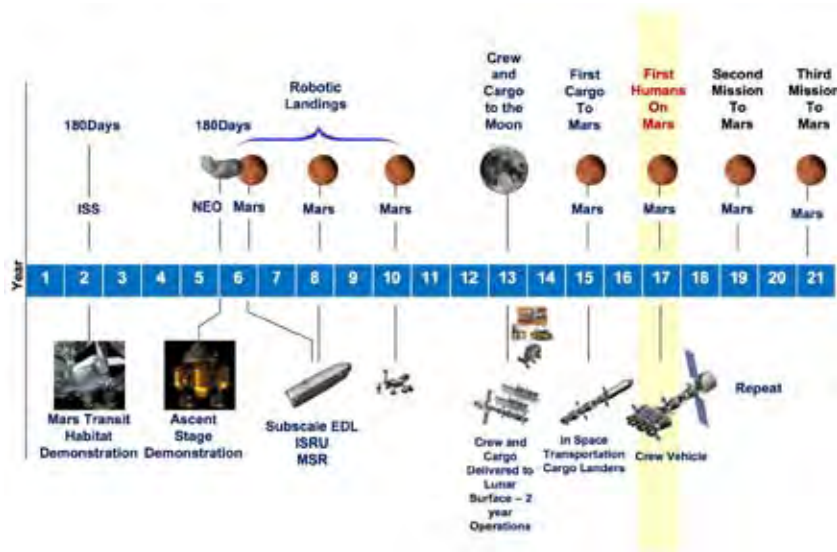


Figure 3.3.3-1. Timeline of milestones, destinations and capabilities of the Mars First strategy. Source: NASA



Figure 3.4.1-1. The Earth's Moon-- the initial destination of the Moon First Strategy. Source: NASA

feasible. A focused technology program almost a decade long would be required before system design could begin.

The preliminary estimates of the cost of Mars missions are far higher than for other scenarios, all in an era when budgets are becoming highly constrained. If astronauts were to travel to Mars under these circumstances, it would require most of the human spaceflight budget for nearly two decades or more, and produce few intermediate results. When we finally reached Mars, we might be hard pressed to maintain the financial resources needed for repeated missions after the first landings, recreating the pattern of Apollo. For these reasons, the Committee found that Mars is the ultimate destination for human exploration of the inner solar system, but is not a viable first destination beyond low-Earth orbit.

FINDINGS ON HUMAN MISSIONS TO MARS

Mars as the Ultimate Destination: Mars is the ultimate destination for human exploration of the inner solar system. It is the planet most similar to Earth, and the one on which permanent extension of human civilization, aided by significant in-situ resources, is most feasible. Its planetary history is close enough to that of the Earth to be of enormous scientific value, and the exploration of Mars could be significantly enhanced by direct participation of human explorers.

Mars as the First Destination ("Mars First"): Mars is not a viable first destination beyond low-Earth orbit at this time. With existing technology and even a substantially increased budget, the attainment of even symbolic missions would demand decades of investment and carry considerable safety risk to humans. It is important to develop better technology and gain more experience in both free space and surface exploration prior to committing to a specific plan for human exploration of the surface of Mars.

■ 3.4 MOON FIRST

3.4.1 Overview. If Mars is not the first destination beyond low-Earth orbit, the Moon is an obvious alternative. (See Figure 3.4.1-1.) Going there would enable the development of the operational skills and technology for landing on, launching from and working on a planetary surface, as well as providing a basis for understanding human adaptation to another planet that would one day allow us to go to Mars. Systems would be designed for the Moon, but would be as extensible as practicable for use on Mars. At a minimum, they would demonstrate technologies and operational concepts that would be incorporated into eventual Mars systems.

There are potential resources on the Moon that one day could be launched from the Moon to fuel depots at the Earth-Moon Lagrange points, which could then be used by exploration missions beyond the Earth-Moon system. The scientific exploration of the Moon is not, in and of itself, a rationale for human exploration, but our scientific knowledge of the Moon is incomplete. Our previous missions to the Moon, both human and robotic, encompassed a geographically limited number of sites for a limited time, with little surface range. Much remains to be learned.

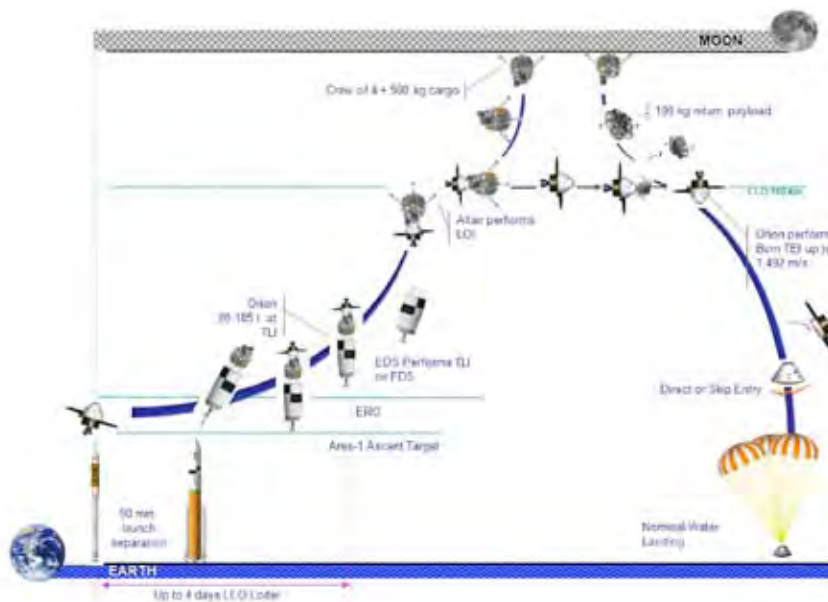


Figure 3.4.2-1. The architecture of the Moon First scenario, using Ares I and Ares V launchers. Source: NASA

3.4.2 Scenario Description. In exploring the Moon, there are two main strategies. Both begin with a handful of approximately week-long sorties to various sites to scout, explore regions of different geography, and validate the lunar landing and ascent systems. The *Lunar Base* strategy would begin with the building of a base, probably at the lunar south pole, where the Sun is visible much of the time (as it is at the Earth's south pole in the austral summer). Over many missions, a small colony of habitats would be assembled, much like the base at the South Pole of the Earth, and explorers would begin to live there for up to 180 days. Larger rovers would begin to explore hundreds of miles from the base. Activities would include science exploration and prospecting for resources of hydrogen-rich deposits that could be used as fuel.

Exploring with a few short-duration sorties of just hundreds of miles from the base would actually only allow exploration of a very small fraction of the surface of the Moon in any depth. The alternate strategy for the Moon is to continue a series of increasingly longer sorties to different sites, spending weeks and then months at each one. The primary feature of this *Lunar Global* exploration strategy is that surface operations are flexible and adaptable, so that as discoveries on the lunar surface are made, future missions can be planned that adjust stay times (from 14 to 180 days) and mobility range capabilities in response to those discoveries. There is even the potential that mobile elements could be relocated from one site to another between human visits.

The lunar exploration options were informed by the Constellation Program plans. These plans trace to the 2005 Exploration Systems Architecture Study (ESAS) that was established to define an architecture that would comply with guidance from the 2004 Vision for Space Exploration and the 2003 Columbia Accident Investigation Board (CAIB) report. Since ESAS, the human spaceflight program continued technical trades, culminating in the 2008 Lunar Capabilities Concept Review, an architecture-level review that brought together the performance, cost, risk and schedule of the transportation architecture and verified that representative lunar surface mission goals could be accomplished.

The Constellation Program's "1.5 launch" Earth Orbit Rendezvous-Lunar Orbit Rendezvous transportation architecture is shown in Figure 3.4.2-1. The flight elements are launched on two separate vehicles: the Ares I for launch of the Orion spacecraft and crew to low-Earth orbit, and the larger Ares V for launch of the Altair lunar lander and the Earth Departure Stage (EDS). The Orion docks with the Altair/EDS in low-Earth orbit, and the EDS performs the

trans-lunar injection burn to send the crew to the Moon. After a four-day coast to the Moon, the Altair descent module engine performs the lunar orbit insertion maneuver, the crew transfers to the Altair, and lands on the surface of the Moon. Following the surface stay, the Altair transports the crew back into orbit for rendezvous with the Orion, which returns to Earth.

The Committee developed the following transportation options to support the lunar scenarios:

- Constellation "1.5 launch" architecture – one Ares I with Orion, plus one Ares V with the Altair lander. This combination is Integrated Option 3 in Chapter 6.
- Ares V Lite "dual" architecture – two Ares V Lites, one with the Orion, and one with the Altair lander. This combination is Integrated Option 4A in Chapter 6.
- A more directly Shuttle-derived launcher, which requires three launches for a crew mission plus one commercial launch of crew to low-Earth orbit. This combination is Integrated Option 4B in Chapter 6.

3.4.3 Milestones, Destinations and Capabilities.

The milestones and destinations of the Lunar Base and Lunar Global alternatives differ slightly. Both begin with a set of sorties to various locations on the lunar surface that enable up to four crew members to explore a single site anywhere on the Moon for up to seven days. This type of mission is accomplished independently of pre-positioned lunar surface infrastructure such as habitats or power systems. The lunar sorties allow for exploration of high-interest science sites, scouting of future lunar outpost locations, or other technology development ob-

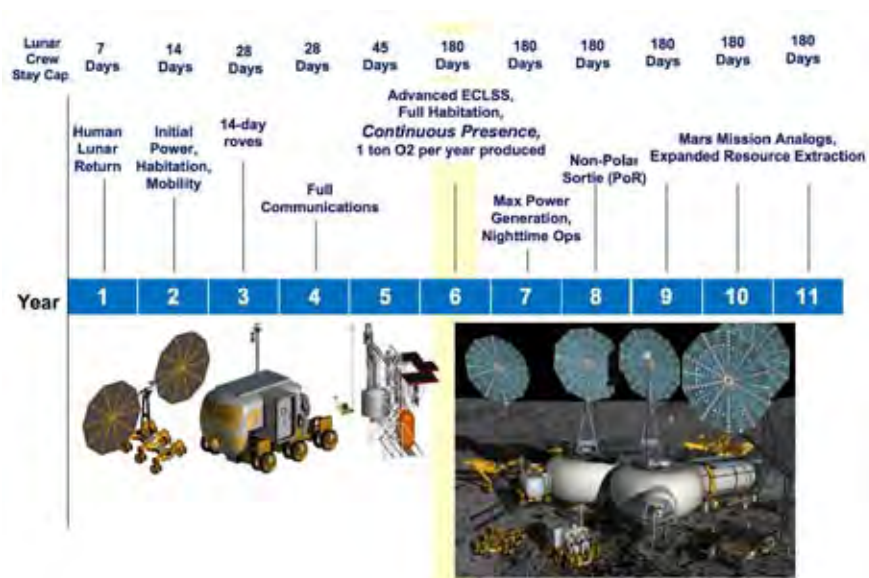


Figure 3.4.3-1. Timeline of milestones, destinations and capabilities of the Lunar Base variant of the Moon First strategy. Source: NASA

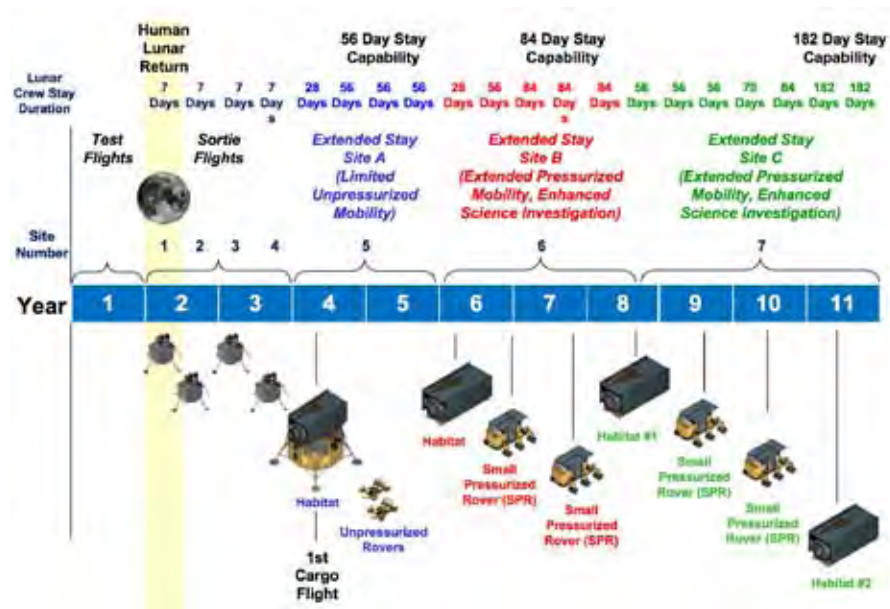


Figure 3.4.3-2. Timeline of milestones, destinations and capabilities of Lunar Global variant of the Moon First strategy. Source: NASA

jectives. The Lunar Sortie mission may include surface mobility assets and science packages, which the crew can operate on daily extra-vehicular activities (spacewalks).

The Lunar Base alternative proceeds then to the construction of a lunar outpost. An example of such a scenario is shown in Figure 3.4.3-1. It develops extensive surface roving capabilities, semi-permanent occupancy by a crew of four, and resource extraction and utilization capability. In later years, it permits the development of additional infrastructure, allowing for science exploration with additional sorties and longer-range roving, and developing operational concepts and experience for Mars exploration.

In contrast, the Lunar Global alternative proceeds from seven-day sorties to longer-duration visits to two to four sites of particular interest, as shown in Figure 3.4.3-2. The first long-duration site would have about a 56 day visit, spending the lunar night on the surface for the first time, and exploring with unpressurized rovers. Subsequent sites would be visited for longer durations, and with more capable exploration infrastructure, they would eventually reach the same 180-day stay as with the Lunar Base. After some number of extended sorties, lunar exploration could be ended, continued in extended sortie mode, or transitioned to a base approach.

3.4.4 Assessment. The Moon is a viable first destination for human exploration beyond low-Earth orbit. Lunar exploration would allow the development of the capability to land on and explore a planetary surface, while still remaining only about three days away from Earth. There are scientific objectives that could be met while visiting the Moon, including studying the evolution of the Moon and using the surface of the Moon as a record for studying the evolution of the solar system. The Moon could compete with other locations as a site for observatories and reduced-gravity surface

science. It is worth noting, however, that prior to the announcement of the Vision for Space Exploration in 2004, only one site on the Moon (the south pole Aitken Basin) was on the high-priority locations for robotic exploration of the inner solar system. There is useful science to be performed on the Moon, but science is not the driver of human lunar exploration.

The exploration of the Moon should be focused to the greatest extent possible on developing the technologies and concepts that will be important in further exploration of Mars: surface descent, landing and ascent; habitation and surface exploration; and resource utilization. The Committee explored two strategies for exploring the Moon. The Lunar Base and Lunar Global strategies each have strengths and weaknesses. The Lunar Base allows more efficient utilization of the material brought to the surface, and more accumulated crew time on the

surface, important to Mars preparation. The Lunar Global approach visits more sites in depth, and more closely simulates the exploration strategy likely to be used on Mars. The Committee finds that the Lunar Base and the Lunar Global exploration strategies have similar costs, and both provide value in exploring the Moon and preparing for the exploration of Mars.

If explored with either of these Moon First strategies, the Moon would help develop some of the technologies and operations concepts needed for Mars exploration, and it would develop some of the transportation infrastructure. However, the Moon does not serve as a perfect analog for Mars. While some of the technology and concepts would be applicable to Mars, most of the major system components (landers, habitats, rovers, etc.), if designed and optimized for the Moon, would have to be redesigned and re-validated for Mars. Other components of the Mars exploration system (atmospheric entry and in-situ resource use, for example) have no analog on the Moon. A long-duration exploration of the Moon is a step towards Mars, but not a giant step, and not the only possible step.

FINDING: THE MOON AS A FIRST DESTINATION (“MOON FIRST”)

The Moon is a viable first destination for exploration beyond low-Earth orbit. It initially focuses next steps on entering and departing deep gravity wells, and developing human operations on the surface of a celestial body, which should be developed in a manner that leads to the eventual exploration of Mars. The Moon is nearby, allowing relatively rapid return to Earth in the event of emergencies, and communication transit times are minimal. It also has interesting scientific and resource issues that can be pursued through human exploration.

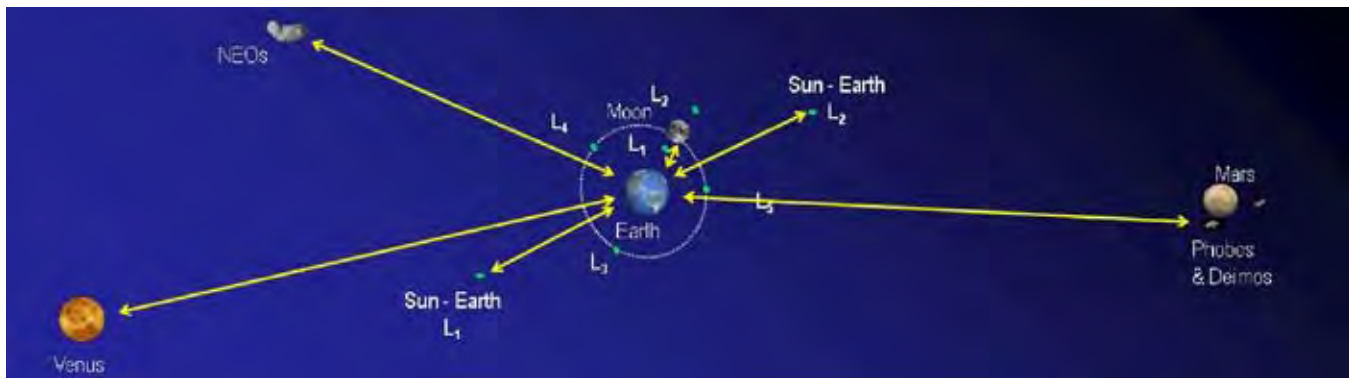


Figure 3.5.1-1. There are a variety of destinations that can be targeted using the Flexible Path exploration strategy. Source: NASA

■ 3.5 THE FLEXIBLE PATH TO MARS

3.5.1 Overview. In addition to Mars First and Moon First, there is a third possibility for initial exploration beyond low-Earth orbit: visiting a series of locations and objects in the inner solar system, which the Committee calls the Flexible Path. (See Figure 3.5.1-1.) The goal is to take steps toward Mars, learning to live and work in free space and near planets, under the conditions humans will meet on the way to Mars. We must learn to operate in free space for hundreds of days, beyond the protective radiation belts of the Earth, before we can confidently commit to exploring Mars. Human exploration along the Flexible Path would also support science, create new industrial opportunities, and engage the public through progressively more challenging milestone accomplishments.

On this path, sites would be visited that humans have never reached before. Astronauts would learn to service spacecraft beyond low-Earth orbit, much as crews successfully serviced the Hubble Space Telescope in low-Earth orbit. Humans could visit small bodies in space, such as near-



Figure 3.5.1-2. Asteroid Ida – representative of near-Earth objects which are possible destinations along the Flexible Path. Source: NASA Galileo Satellite

Earth objects (asteroids and spent comets that cross the Earth's path, some of which could someday collide with the Earth - Figure 3.5.1-2) and the scientifically interesting moons of Mars, return samples, and understand their structure and composition. When humans would come close to the Moon or Mars, they could deploy probes and coordinate with or control robotic assets on the surface. They could even bring home samples from Mars that were launched from the surface by robotic spacecraft. In this way we could achieve the scientific "first" of a Mars sample return.

These destinations require the smallest energy expenditure beyond low-Earth orbit, but are of increasing distance and duration from Earth. The missions could include a full dress-rehearsal for a Mars mission, consisting of traveling to Mars orbit and returning hundreds of days later. The essential concept is that humans would first visit points in space and rendezvous with small bodies and orbit larger ones, without initially descending into the deep gravity wells of Mars or the Moon.

The Flexible Path is a road toward Mars, with intermediate destinations. At several points along the way, the off-ramp from the Flexible Path to a Moon exploration program could be taken. Alternatively, if new discoveries drew us to Mars, the lunar stop could be bypassed, leading directly to a Mars landing.

3.5.2 Scenario Description. The Flexible Path constitutes a steadily advancing, measured, and publicly notable human exploration of space beyond Earth orbit that would build our capability to explore, enable scientific and economic return, and engage the public. The focus of the Flexible Path is to gain ever-increasing operational experience in space, growing in duration from a few weeks to several years in length, and moving from close proximity to the Earth to as far away as Mars.

Humans need to build the capability to explore other planets, and to operate far from the Earth. On the Flexible Path, critical scientific and technological components of human spaceflight would be addressed through incrementally more aggressive exploration missions. Determining the human

physiological and operational impacts of (and the countermeasures to) long-term radiation environment (including galactic cosmic rays) and extended exposure to zero-gravity is necessary for a sustained human-exploration capability. The missions would build preparedness to explore by performing increasingly more complex in-space operations and by testing new elements.

The Flexible Path targets planetary scientific return focused on multiple locations in the inner solar system. The goal focuses human exploration on producing exciting new science at each step of the way. The emphasis would be on obtaining multi-kilogram samples from a variety of solar system bodies through tele-robotic exploration in concert with the human missions. In the case of the Moon and Mars, humans would remain in orbit. They would deploy probes, teleoperate surface robotic vehicles, and potentially rendezvous with sample returns from the surface. In the case of smaller objects, humans would explore the surfaces directly and return samples. Robotic missions would play a visible and complementary role to human exploration through precursor missions and scientific missions that deliver instruments.

A sustained exploration program by the United States requires continuous public engagement, inspiration and benefit. The Flexible Path missions are designed in part to cultivate and maintain public support and interest in human

spaceflight by taking on useful, demonstrably new, high-profile missions. They start with unprecedented space missions offering dramatic perspectives of humankind's home planet as a member of the inner solar system, including near-Earth objects, the Moon and Mars.

A set of missions along the Flexible Path might include early visits to lunar orbit, stops at the Earth's Lagrange points, near-Earth objects and visits in the vicinity of Mars. A more detailed sequence might include:

- Orbiting the Moon to learn how to operate robots on a planet from orbit (days in duration).
- Visiting the Earth-Moon and Earth-Sun Lagrange points (special points at the edge of Earth's influence), which are likely sites for science spacecraft servicing and potentially important for interplanetary travel. Earth-Moon Lagrange points are about 85 percent of the way to the Moon from the Earth. Earth-Sun Lagrange points are about four times as far from the Earth as the Moon (weeks to months in mission duration).
- Visiting several near-Earth objects (asteroids or burned-out comets whose path cross the Earth), to return samples and practice operation near a small body and potentially practice in-situ resource extraction (months in mission duration).

Destination	Public Engagement	Science	Human Research	Exploration Preparation
Lunar Flyby/Orbit	Return to Moon, "any time we want"	Demo of human robotic operation	10 days beyond radiation belts	Beyond LEO shakedown
Earth Moon L1	"On-ramp to the interplanetary highway"	Ability to service Earth Sun L2 spacecraft at Earth Moon L1	21 days beyond the belts	Operations at potential fuel depot
Earth Sun L2	First human in "deep space" or "Earth escape"	Ability to service Earth Sun L2 spacecraft at Earth Sun L2	32 days beyond the belts	Potential servicing, test airlock
Earth Sun L1	First human "in the solar wind"	Potential for Earth/Sun science	90 days beyond the belts	Potential servicing, test in-space habitation
NEO's	"Helping protect the planet"	Geophysics, Astrobiology, Sample return	150-220 day, similar to Mars transit	Encounters with small bodies, sample handling, resource utilization
Mars Flyby	First human "to Mars"	Human robotic operations, sample return?	440 days, similar to Mars out and return	Robotic operations, test of planetary cyclers concepts
Mars Orbit	Humans "working at Mars and touching bits of Mars"	Mars surface sample return	780 days, full trip to Mars	Joint robotic/human exploration and surface operations, sample testing,
Mars Moons	Humans "landing on another moon"	Mars moons' sample return	780 days, full rehearsal Mars exploration	Joint robotic/human surface and small body exploration

Figure 3.5.2-1. Benefits of various destinations along the Flexible Path. Source: Review of U.S. Human Spaceflight Plans Committee

- A fly-by of Mars, demonstrating distant operation and coordination with robotic probes on the planetary surface and during rendezvous (years in mission duration).
- A trip to Mars orbit, rendezvousing with and returning samples from Mars's moons (Deimos and Phobos), and potentially from Mars's surface (years in mission duration).

A more detailed explanation of the activities at each destination is shown in Figure 3.5.2-1.

Key assumptions for the Flexible Path scenarios include the notion that viable and relevant exploration missions can be completed with a single crew launch and a single in-space propulsion stage. As additional deep-space capabilities, such as in-space habitats, air locks and propulsion stages, become operational, the scope of the missions increases. Flexible Path missions assume the development of certain enabling technologies. First among these is a cryogenic in-space propulsion stage able to have a near-zero boil-off of propellant over almost 200 days, equipped with a high performance in-space re-startable engine. Additional enabling technologies are in-space cryogenic fluid transfer, improved regenerative life-support systems, technologies for deep space crew-system operational autonomy, and tele-robotic systems to be operated by the crew in deep space.

The Flexible Path branch that proceeds to the lunar surface involves a lander smaller than the Altair lander. For the costed option, it is assumed that NASA would provide the ascent stage, but a commercially acquired descent stage is envisioned that could be developed based on the same in-space re-startable engine discussed above. Several complementary robotic missions are coupled and require some technical development—in particular, the Mars Sample Return mission would use the heavy lift in-space propulsion stage to send multiple sampler missions in a precursor Mars Entry Descent and Landing (EDL) aeroshell to validate technologies for eventual human landings on Mars.

For the missions assessed in this analysis, an Orion capsule was assumed to be capable of carrying up to four crew members and operating in space for over a year. However for missions longer than about a month, an additional in-space habitat sustains the crew. All of the Earth entry, descent, and landings were to fall within the nominal design requirements for the Orion. The three transportation architectures (see Chapter 5) considered for Flexible Path missions are:

- **Ares V Lite** – an Ares V Lite launches with crew aboard the Orion capsule. This combination is Option 5A in Chapter 6.

- An EELV-heritage super-heavy launcher, which requires two launches for earlier missions, and three launches for later missions. A commercial service transports the crew to orbit, where they transfer to the Orion. This combination is Option 5B in Chapter 6.
- A Shuttle-derived launcher, which also requires two launches for earlier missions, three launches for later missions, and commercial transport of the crew to low-Earth orbit. This combination is Option 5C in Chapter 6.

3.5.3 Milestones, Destinations and Capabili-

ties. The milestones and capabilities for the Flexible Path are best visualized in Figure 3.5.3-1, which shows the flexibility in the strategy. While the Flexible Path missions can be conducted in almost any sequence, many of the missions build upon the experience gained from prior ones. All assume a first flight to lunar orbit, and then to the Lagrange points, and then to near-Earth objects. The various alternatives are then more apparent. The subsequent flow would be to continue into the exploration of Mars, first as a fly-by, then to Mars orbit, and finally a Mars landing. Off-ramps to the Moon could occur at several spots. Mars is the ultimate destination.

A possible sequence that places missions in an order that successively expands capabilities and reduces risk is shown in Figure 3.5.3-2. This sequence follows the path to near-Earth objects, and then performs a Mars fly-by. The main path takes the off-ramp to lunar exploration. This alternative with the lunar off-ramp appears in Chapter 6 among the costed options. Another alternative continues Mars exploration with a mission to Mars's moons. At this point the next obvious step is to develop the systems and technologies to land humans on Mars.

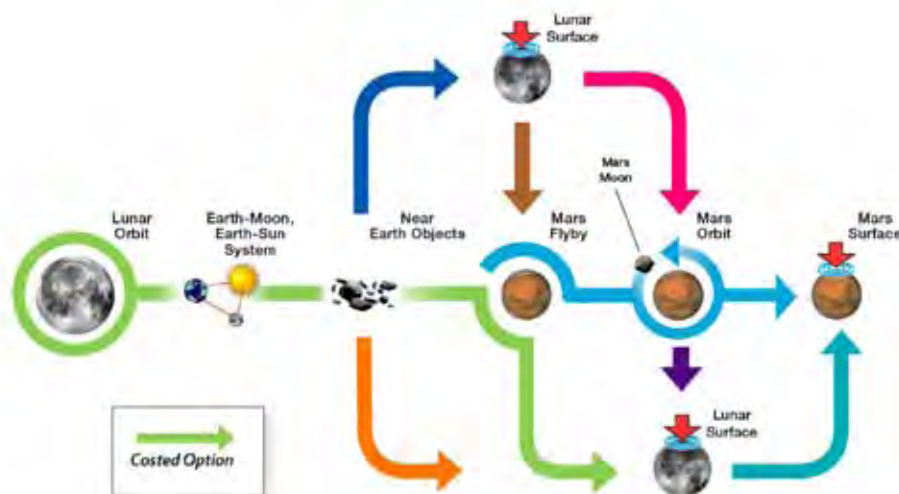


Figure 3.5.3-1. Options for exploration within Flexible Path strategy showing the main path toward Mars with alternatives to the Moon. Source: Review of U.S. Human Spaceflight Plans Committee

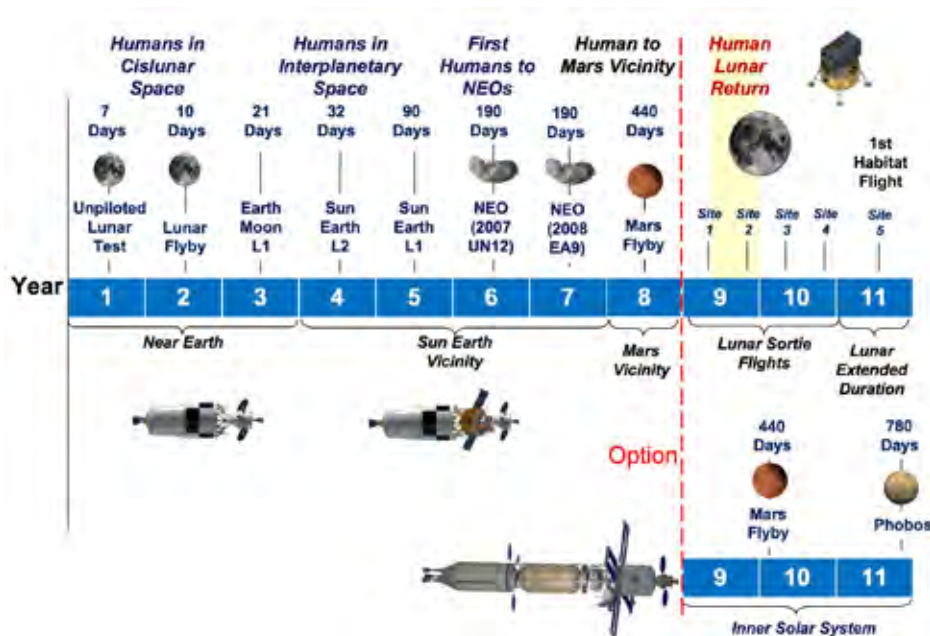


Figure 3.5.3-2. Timeline of milestones, destinations and capabilities of the Flexible Path strategy. Source: NASA

3.5.4 Assessment. The Flexible Path is a viable strategy for the first human exploration of space beyond low-Earth orbit. Humans could learn how to live and work in space, gaining confidence and experience traveling progressively farther from the Earth on longer voyages. This would prepare for future exploration of Mars by allowing us to understand the long-term physical and emotional stress of human travel far from the Earth. It would also validate in-space propulsion and habitat concepts that would be used in going to Mars.

The missions would go to places humans have never been to, escaping from the Earth/Moon system, visiting near-Earth objects, flying by Mars, thereby continuously engaging public interest. Explorers would initially avoid traveling to the bottom of the relatively deep gravity wells of the surface of the Moon and Mars, but would learn to work with robotic probes on the planetary surface. This would allow us to develop new capabilities and technologies for exploring space, but ones that have Earth-focused applications as well. It would also allow us to defer the costs of more expensive landing and surface systems. From the perspective of science, it would demonstrate the ability to service observatories in space beyond low-Earth orbit, as well as return samples from near-Earth objects and (potentially) from Mars.

This flexibility would enable us to choose different destinations, or to proceed with the exploration of the surface of the Moon or Mars. This allows us to react to discoveries that robots or explorers make (such as indications of life on Mars) or eventualities that are thrust upon us (such as a threat from a near-Earth object). It

would lead to a better understanding of near-Earth objects, through evaluations of their utility as sites for mining of in-situ resources, and analyses of their structure, should we ever need to deflect one away from the Earth.

Exploration along the Flexible Path would not likely complete our preparation for the exploration of Mars. At some point we would likely need to gain more experience landing and working on an extra-terrestrial planetary surface. This could be done on the Moon with specialized lunar systems, or with systems designed for Mars (as discussed above in the Mars First option). Alternatively, we could practice autonomous landings of large systems on Mars, in coordination with science programs.

FINDING: DESTINATIONS ON THE FLEXIBLE PATH TO MARS

The destinations on the Flexible Path (lunar orbit, Lagrange points, near-Earth objects, Mars fly-bys, Mars orbits, and Mars moons, with potential operation of robotic missions on the lunar and Martian surfaces) comprise a viable and interesting first set of destinations for exploration beyond low-Earth orbit. They are progressively more distant, focusing our next steps on allowing the development and understanding of human operations in free space for the increasingly longer durations necessary to explore Mars. Important scientific, space operational, and Earth-protection benefits would be obtained on this path.

■ 3.6 SUMMARY OF STRATEGIES FOR EXPLORATION BEYOND LOW-EARTH ORBIT

This chapter addresses the question: What is the most practicable strategy for exploration beyond low-Earth orbit: Mars First, Moon First, or the Flexible Path to Mars?

The Committee found that, although Mars is the ultimate destination for human exploration in the inner solar system, it is not a viable first destination. We do not now have the technology or experience to explore Mars safely and sustainably.

Both the Moon First and Flexible Path are viable strategies. Exploring the Moon would prepare us for exploration of Mars by allowing us to learn to live and work on a remote surface, yet one that is only three days from Earth.

The Flexible Path would prepare us for exploration of Mars by developing confidence that we can live and work in free space, and allowing us to learn to explore planets and bodies in a new way, potentially in coordination with robotic probes.

The Moon First and Flexible Path destinations are not mutually exclusive; before traveling to Mars, we will probably both extend our presence in free space and work on the lunar surface. For example, if we had had explorers on the Moon for a decade, but never more than three days from Earth, would we easily commit to a mission that took our astronauts away for three *years*? This seems unlikely. Likewise, if we had worked in space for a decade, would we commit to landing on a planet 180 days away without practice? This seems equally unlikely.

Before we explore Mars, we will likely do some of both the Flexible Path and lunar exploration—the primary decision is *one of sequence*. This will be largely guided by budgetary, programmatic, and program sustainability considerations. These will be discussed in Chapter 6. Whatever destination(s) are selected, it would be desirable to have a spectrum of program choices that offers periodic milestone accomplishments visible to and appreciated by the public.

Before leaving the topic of strategies for exploration, it is important to reflect back on the goals for human spaceflight. The strategies have certainly focused on preparing for a ven-

ture to Mars, and therefore the potential expansion of human civilization into the solar system. Many opportunities could be identified in either pathway for deep involvement of international partners, as will be suggested in Chapter 8. Science returns, technology and economic development, and exploration preparation will follow from either strategy.

The primary question is: Will the public be engaged? Gone is the era when Americans remembered the names of astronauts, or even the date of the next space launch. We cannot take for granted that space excites young people; rather, we must make sure that we build a program that will excite them. In its plan for exploration, NASA must find new ways to engage the public, particularly young people, in a venture of participatory exploration, one that will be exciting to them. This should not be an afterthought—it must be an integral part of the program.

FINDING: PATHWAYS TO MARS

Mars is the ultimate destination for human exploration of the inner solar system; but it is not the best *first* destination. Both visiting the Moon First and following the Flexible Path are viable exploration strategies. The two are not necessarily mutually exclusive; before traveling to Mars, we might be well served to both extend our presence in free space and gain experience working on the lunar surface.

Current Human Spaceflight Programs

The current U.S. human spaceflight programs are the operational Space Shuttle Program and the U.S. portion of the International Space Station (ISS). The next human spaceflight effort, the Constellation Program, is in development.

■ 4.1 THE SPACE SHUTTLE

The Committee has addressed five questions that, if answered, would form the basis of a plan for U.S. human spaceflight. First among those questions is: what should be the future of the Space Shuttle?

The current plan is to retire the Shuttle at the end of FY 2010. Six flights are remaining on the manifest, with the final flight scheduled for September 2010. Once the Shuttle is retired, there will be a gap in America's capability to independently launch people into space. That gap will extend until the next U.S. human-rated launch system becomes available.

In analyzing the future of the Shuttle, the Committee considered whether the current flight schedule is realistic. It also weighed the risks and possible benefits of various Shuttle extension options. This section provides a brief background on the Space Shuttle, a discussion of important issues, and a description of the scenarios considered for inclusion in the integrated options presented in this report.

4.1.1 Background.

The Space Shuttle, introduced in 1981, is fundamentally different from all previous U.S. launch systems. (See Figure 4.1.1-1.) It lifts astronauts to orbit in a spaceplane, not a capsule, and it lands on a runway, not with a splash in the ocean. The spaceplane has a cargo bay to carry satellites and experiments with it into space and back to Earth, and it can be flown again and again.

The Shuttle has been the workhorse of the U.S. human spaceflight program since its first launch. In its 28 years

of operations, it has flown 128 times, 126 of those successfully. Two tragic accidents mar its record. Space Shuttle missions have evolved considerably in focus, capability and complexity over that period. They have progressed from early flight tests to operations, which included satellite deployments, tests of a robotic arm, and early scientific experiments. Immediately after the Space Shuttle *Challenger* accident in 1986, the launch of satellites shift-



Figure 4.1.1-1. The principal components of the Space Shuttle.
Source: NASA

ed from the Shuttle to expendable launch vehicles, and Shuttle missions evolved into more sophisticated science and operational missions, including Spacelab flights, repair and servicing of the Hubble Space Telescope, and the Shuttle Radar Topography Mission.

In the late 1990s, the focus of Shuttle missions transitioned to the assembly, logistics support, and maintenance of the International Space Station. The Space Shuttle *Columbia* accident in early 2003 interrupted that work, grounding the Shuttle for nearly two and a half years while NASA addressed the technical, procedural and organizational problems identified during the accident investigation. When the Shuttle returned to flight, its missions concentrated almost entirely on completing assembly of the Space Station. The President's 2004 Vision for Space Exploration directed NASA to: "Focus use of the Space Shuttle to complete assembly of the International Space Station; and retire the Space Shuttle as soon as assembly of the International Space Station is completed, planned for the end of this decade."

Subsequently, several Shuttle flights planned to support the International Space Station assembly and utilization were cancelled, and NASA was directed to complete the remaining Shuttle flights by the end of FY 2010. At the time, the Constellation Program's replacement for the Shuttle was projected to be ready in 2012, leaving a two-year "gap" in the nation's ability to launch humans into low-Earth orbit.

As of the end of FY 2009, the Shuttle has flown successfully 15 times since returning to flight in 2005. Missions are now far more intricate and complex than earlier Shuttle flights, and they illustrate significant growth in the ability to operate in space. While early missions were routinely four to seven days, and rarely included a spacewalk, missions today are often two weeks long, and have included as many as five complex and well-orchestrated spacewalks. As of September

ber 2009, six flights remain in the Shuttle manifest, with the last flight scheduled for September 2010. There is currently modest funding in the FY 2011 budget to cover Shuttle retirement costs, but none for flight operations.

4.1.2 Issues.

In considering the future of the Space Shuttle, the Committee paid particular attention to safety, schedule, workforce, and the program's fixed costs.

Schedule. To assess the viability of the current Shuttle schedule, the Committee compared the actual post-*Columbia* flight rate (July 2005 through STS-128, the last flight in FY 2009) with the projected flight rate for the remainder of the current manifest. In the post-*Columbia* period through the end of FY 2009, there was an average of 100 days between flights. In contrast, the current manifest shows an average of only 64 days between the remaining six flights. While it is not impossible to achieve this latter flight rate, the projected rate is not consistent with recent or prior experience. Further, Space Shuttle managers have indicated that there is little or no margin in the remaining schedule. Experience suggests that it is very likely the currently manifested flights will extend into the second quarter of FY 2011.

The Committee also took note that the Columbia Accident Investigation Board (CAIB) cited schedule and budget pressure as a contributing factor in the *Columbia* accident. The Board observed, "Little by little, NASA was accepting more and more risk in order to stay on schedule." It recommended that NASA: "Adopt and maintain a Shuttle flight schedule that is consistent with available resources" and added that "Although schedule deadlines are an important management tool, those deadlines must be regularly evaluated to ensure that any additional risk incurred to meet the schedule is recognized, understood, and acceptable."

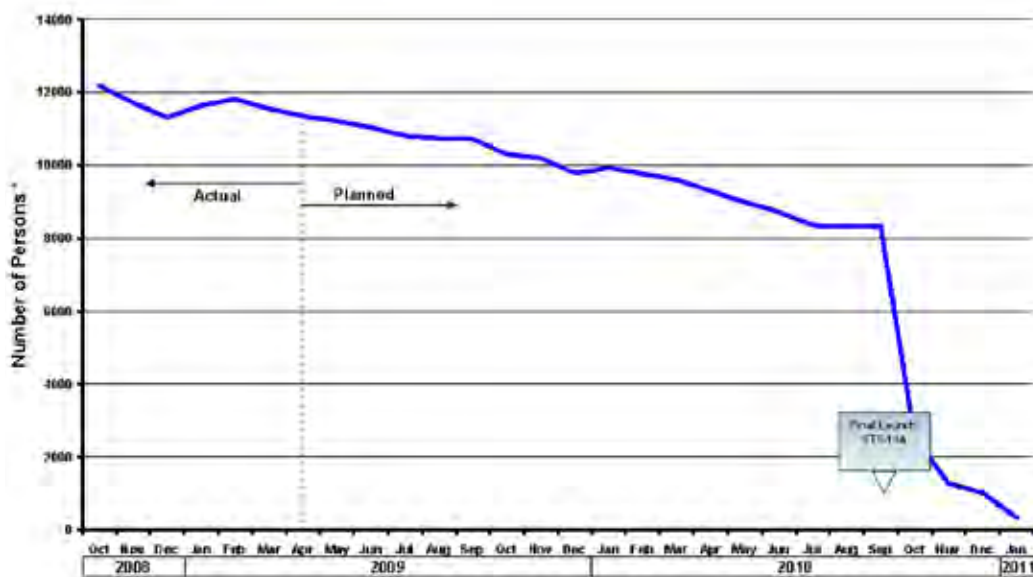


Figure 4.1.2-1. Space Shuttle Program contractor workforce under existing plan. Source: Briefing to the Review of U.S. Human Spaceflight Plans Committee
Note : *Includes the workforce for the four largest Space Shuttle contractors only (not NASA space employees).

NASA and the Committee are well aware that schedule pressure can have a subconscious influence on decision-making, and has asked for, and received, relief from the requirement to fly out the manifest by the end of FY 2010. The Administration has directed that NASA complete the remaining manifest safely, even if that requires extending into FY 2011. The looming problem, however, is that there is currently no funding in the FY 2011 budget to support this likely occurrence.

Workforce. The most visible ramification of the impending gap in U.S. human spaceflight is the lengthy loss of ability for the U.S. to launch humans into space independently. A less well-publicized ramification is the potential loss of the knowledge and skill base that makes America's human spaceflight program possible.

The Space Shuttle is currently operated by a skilled workforce of over 12,500 individuals whose experience and expertise in systems engineering, systems integration, inspection, ground operations and assembly, test and checkout, and mission planning and operations have been developed and honed over decades. Once the Shuttle is retired, NASA and its contractors will be forced to shed or reassign much of that workforce due to the length of the gap in human spaceflight activity. Of these 12,500 workers, 1,500 are civil servants who, under current practices, will likely retain their jobs even though there is no program to which they can easily transition. The jobs in the contractor structure will likely be lost. (See Figure 4.1.2-1.) When the human spaceflight program resumes in the second half of the next decade, a great deal of the knowledge, experience and critical skills necessary for successful program execution is likely to have atrophied or have been lost altogether. Over the past 45 years, the U.S. has enjoyed a relatively continuous program of human spaceflight. This continuity enabled engineers, flight operations personnel and technicians to learn skills and train successors in an apprentice model, and to capture and transfer knowledge from one program to the next. The longest previous gap occurred between the Apollo-Soyuz mission in 1975 and the first Shuttle flight in 1981. But even as late as 1977, the Shuttle was projected to fly in 1979. As a result, only a year or two after Apollo-Soyuz flew, much of the workforce was actively engaged in ground processing, systems engineering, integrated testing, flight crew training and mission planning for Shuttle.

The Committee is concerned about the retention of critical knowledge and skills and the availability of that unique portion of the workforce necessary to conduct the next set of human spaceflight missions—which, as of now, cannot be expected until late in the next decade.

Safety. The Committee's charter did not call for it to review the safety record or assess the reliability of the Shuttle. The Committee did, however, consider Shuttle safety and reliability in its deliberations. One of the recommendations of the Columbia Accident Investigation Board (CAIB) spoke directly to this issue: "Prior to operating the Shuttle beyond 2010, develop and con-

duct a vehicle recertification at the material, component, subsystem, and system levels. Recertification requirements should be included in the Service Life Extension Program."

As part of the Shuttle "Return to Flight" program after the Columbia accident and in the years since, NASA has recertified much of the Shuttle system. NASA's Space Shuttle program managers believe the program is meeting the intent of the CAIB's recommendation and would be ready to fly the Shuttle beyond 2010, should the need arise. This Committee suggests that an independent review of the Shuttle recertification process be undertaken if a decision is made to add flights to the current manifest.

How reliable and how safe is the Shuttle, particularly when compared to other existing or proposed launch vehicles? As noted previously in this report, flying in space is inherently risky, so it is not appropriate to call any launch vehicle "safe." Several factors contribute to a launch vehicle's risk: the design itself; the extent to which the limitations of that design are understood; the processes and people involved in preparing, launching and operating the vehicle; and "random" component or system failures. Studies of risk associated with different launch vehicles (both human-rated and non-human-rated) reveal that many accidents are a result of poor processes, process lapses, human error, or design flaws. Very few result from so-called random component failures. The often-used Probabilistic Risk Assessment (PRA) is a measure of a launch vehicle's susceptibility to these component or system failures. It provides a useful way to compare the relative risks of mature launch vehicles (in which the design is well understood and processes are in place); it is not as useful a guide as to whether a new launch vehicle will fail during operations, especially during its early flights.

The Shuttle is one of the few launch vehicles that have flown a sufficient number of times to be considered "mature." It has suffered two accidents in its 128 flights, so its demonstrated success rate is 98.4 percent. Considerable effort has also been expended to develop a Probabilistic Risk Assessment for the Shuttle. That PRA shows a reliability of 98.7 percent, with the greatest contributor to risk coming from the threat of micrometeorite or debris damage while in orbit. Other launch vehicles in development have better PRAs, indicating that once they reach maturity, they will carry less risk than the Shuttle. In comparing Shuttle reliability to that of other launch vehicles, however, the most important factor is actual flight experience. The Shuttle completed its first 24 missions successfully before the *Challenger* accident; after returning to flight, it flew successfully 87 times before the *Columbia* accident, and has flown successfully 15 times since. This is not to say that future vehicles will not be more reliable—they likely will be—but the Shuttle has reached a level of maturity that those launch vehicles will not reach for many years. (Those vehicles still have their "infant mortality" phase ahead of them. The Committee cannot resist citing one of Augustine's Laws: "Never fly on an airplane with a tail number less than 10!" That law encapsulates the value of flight experience.¹)

¹ Norman R. Augustine, *Augustine's Laws* (Washington, D.C.: American Institute of Aeronautics and Astronautics), 1986.

The current program ensures that we will have no failures of U.S. government human-rated crewed launch systems from 2011 through at least 2017—because there likely will be no flights of those launch vehicles during that period. The Committee considered whether the risk associated with extending Shuttle operations is appropriate. In doing so, it considered whether it is acceptable to complete the current manifest and, if so, whether the risk is acceptable for some number of additional flights (assuming the current level of attention to mission assurance, processes and procedures is maintained.) The Committee believes the risk of flying out the current Shuttle manifest is consistent with past experience if conducted on a schedule and budget that do not impose undue pressure and constraints. The Committee also believes the risk of some extension beyond the current manifest may be acceptable, assuming the certification process discussed above is successfully completed and the current emphasis on mission assurance is continued.

Fixed Costs. The annual Shuttle budget is approximately \$3 billion per year, depending on the number of flights. The retirement of the Shuttle is expected to free funds for the Constellation Program, and the common perception is that with the Shuttle no longer flying, there will be an additional \$3 billion per year available for design, development, testing and deployment of the new exploration program. The situation is more complicated, however, and the actual benefit to the Constellation Program is considerably less than \$3 billion per year. The principal reason is that the Shuttle Program today carries much of the costs of the facilities and infrastructure associated with the human spaceflight program as a whole. But those facilities will continue to exist after the Shuttle is retired—so their costs must still be absorbed if the facilities are to be preserved.

These fixed costs are significant—about \$1.5 billion per year—and include, for example, nearly 90 percent of the costs of running: the Kennedy Space Center; the engine test facilities at the Stennis Space Center in Mississippi; a Mission Control Center in Houston; and the Michoud Assembly Facility in Louisiana. Unless such facilities are mothballed or disposed of, these costs will simply transfer to a different NASA program; in fact, most will have to be absorbed by the Constellation Program. During its fact-finding phase, the Committee discovered that approximately \$400 million per year of these fixed costs are not yet reflected in the Constellation budget after Shuttle retirement. But the costs do have to be allocated somewhere in the NASA budget, and will certainly affect the overall funding available for exploration. Some of the Shuttle funding pays for NASA civil servants who, absent major layoffs, will simply transition to other spaceflight programs. Constellation will thus gain both human resources and the costs associated with them; in the case of facilities, Constellation will soon be paying for their maintenance.

In summary, the savings resulting from Shuttle retirement are not as great as they may appear. Conversely, the marginal costs of flying the Shuttle are less than implied by the existing bookkeeping. The next human spaceflight program will assume most of the fixed costs; the net funds available for Constellation design, development, test and

evaluation (DDT&E) or facilities conversion as a result of Shuttle retirement total about \$1.6 billion per year—absent structural changes to NASA.

4.1.3 Shuttle Options

The Committee selected three possible Shuttle scenarios to consider for inclusion in the integrated options presented later in this report: flying out the Shuttle manifest (at a prudent rate); adding one flight to provide short-term support for the ISS; and closing the gap by extending Shuttle to 2015 at a minimum flight rate.

- **Scenario 1: Prudent Shuttle Fly-Out.** As noted, the current Shuttle schedule has little or no margin remaining. Scenario 1 is a likely reflection of reality. It restores margin to the schedule, at a flight rate in line with recent experience, and allocates funds in FY 2011 to support Shuttle operations into that fiscal year. Based on historical data, the Committee believes it is likely that the remaining six flights on the manifest will stretch into the second quarter of 2011, and it is prudent to plan for that occurrence and explicitly include the associated costs in the FY 2011 budget.
- **Scenario 2: Short-Term Support for the ISS.** Space Shuttle retirement will have an impact on the ISS (described more fully in a subsequent section). Scenario 2 would add one additional Shuttle flight to provide some additional support for the ISS and ease the transition to commercial and international cargo flights. It could enhance early utilization of the ISS, offer an opportunity for providing more spare parts, and enable scientific experiments to be brought back to Earth. This additional Shuttle flight would not replace any of the planned international or commercial resupply flights.

One obvious question is: “Why add just one flight?” Due to the planned retirement, the Shuttle’s external tank production line has been closed recently, and it is not cost-effective to re-open it for a small number of new tanks. However, there is one spare external tank remaining in inventory. This scenario thus envisions using that tank and conducting one additional Shuttle flight in late FY 2011 or early FY 2012.

This scenario requires that funds be put in the in FY 2011 and possibly FY 2012 budget for the one additional Shuttle flight. This minimal extension does not mitigate the workforce transition issues; it does extend U.S. human spaceflight capability, but only by a few months; and it does offer some additional short-term logistical support to the ISS.

- **Scenario 3: Extend Shuttle to 2015 at Minimum Flight Rate.** This scenario would extend the Shuttle at a minimum safe flight rate (nominally two flights per year) into FY 2015. Once the Shuttle is retired, the U.S. itself will no longer have the ability to launch astronauts into space, and will have to rely on the Russian Soyuz vehicle. That gap will persist until a new vehicle becomes available to transport crew to low-Earth orbit. Under the current

program, the resulting gap is expected to be seven years or more. This scenario, if combined with a new crew launch capability that will be available by the middle of the 2010s, significantly reduces that gap, and retains U.S. ability to deliver astronauts to the ISS.

The impending gap also directly affects the ISS, which was designed and built assuming that the Shuttle was available to carry cargo and crew to it and to bring cargo and crew back to Earth. During the gap, the U.S. will pay for U.S. and international-partner astronauts to be carried to and from the ISS by the Russian Soyuz. Cargo, including supplies, spares, experiments and other hardware, will be carried to the ISS by a complement of international and U.S. commercial cargo vehicles. None of these can carry nearly as much as the Shuttle, and only one is projected to be able to bring anything back to Earth. This could limit the full utilization of the ISS. Further, only two of these vehicles have flown—each one only once. Delays could place ISS utilization further at risk, particularly in the early part of the coming decade. This scenario does not envision replacing any of the planned international or commercial *cargo* launches with Shuttle flights, but rather, enhancing U.S. and international partner capability to robustly utilize the ISS. All commercial and international cargo flights would remain as planned.

The Committee has concluded that the only way to eliminate or significantly reduce the gap in human spaceflight launch capability is by extending the Shuttle Program. If that is an important consideration, then this scenario is one to examine. The scenario also minimizes workforce transition problems, and it retains the skills that currently enable the U.S. to enjoy a robust human spaceflight program. Because this scenario extends the Shuttle's life well beyond 2010, if adopted it should include a thorough review of NASA's safety certification program by an independent committee to ensure that NASA has met the intent behind recommendation R9.2-1 of the Columbia Accident Investigation Board.

Scenario 3 would require additional funding for Shuttle extension. Assuming that many of the current fixed costs must be carried somewhere in the NASA budget, the relevant cost of this option is the marginal cost of flying the Shuttle. There are two factors to consider in estimating this cost. First, if the Shuttle extension is coupled with a strategy to develop a more directly Shuttle-derived heavy-lift vehicle, as opposed to the Ares family, there would be synergy that takes maximum advantage of existing infrastructure, design and production capabilities. Second, since the Shuttle would be available to carry crew to and from the ISS, there would be some savings because the U.S. would not need to purchase Russian Soyuz flights (the present plan).

Most of the integrated options presented in Chapter 6 would retire the Shuttle after a prudent fly-out of the current manifest, indicating that the Committee found the interim reliance on international crew services acceptable. However, one option does provide for an extension of the Shuttle at a minimum safe flight rate to preserve U.S. capability to launch astronauts into space. As Chapter 5 will show, the Committee finds that in the long run, it is important for the U.S. to maintain independent crew access to low-Earth orbit.

FINDINGS REGARDING THE SPACE SHUTTLE

Short-term Space Shuttle planning: The remaining Shuttle manifest should be flown in a safe and prudent manner. This manifest will likely extend operations into the second quarter of FY 2011. It is important to budget for this likelihood.

The human spaceflight gap: Under current conditions, the gap in U.S. ability to launch astronauts into space is most likely to stretch to at least seven years. The Committee did not identify any credible approach employing new capabilities that could shorten the gap to less than six years. The only way to close the gap significantly is to extend the life of the Shuttle Program.

Shuttle extension provisions: If the Shuttle life is extended beyond 2011, an independent committee should assess NASA's Shuttle recertification to assure compliance with the Columbia Accident Investigation Board Recommendation R9.2-1. The investment necessary to extend the Shuttle makes the most sense in the context of adopting a Shuttle-derived heavy-lift capability in place of the Ares family and extending the life of the ISS.

Fixed costs: Because a substantial fraction of the costs of the human spaceflight infrastructure is currently allocated to the Shuttle Program, the savings resulting from Shuttle retirement are not as great as they may appear. If current operating constraints on NASA are maintained, these costs will simply be transferred to whatever becomes the continuing exploration program.

■ 4.2 THE INTERNATIONAL SPACE STATION

The second question the Committee addressed to form the basis of a plan for U.S. human spaceflight was: What should be the future of the International Space Station?

NASA's current plan is to decommission the International Space Station at the end of FY 2015. The Committee believes there is no reasonable path to continue operation of the ISS once U.S. participation ends; thus, de-orbiting the facility in early 2016 will be required for ground safety reasons.

In deliberating the ISS's future, the Committee considered the realism of the current plan, the advantages and disadvantages of that plan, and the advantages and disadvantages of various scenarios that would extend the life of the ISS. This section provides a brief background on the ISS, a discussion of important issues, and a description of the scenarios considered for inclusion in the integrated options presented later in the report.

4.2.1. Background.

President Ronald Reagan called for the construction of Space Station *Freedom* (Figure 4.2.1-1) in 1984 as an expression of America's continuing leadership in human spaceflight. With the end of the Cold War, however, the U.S. approach to building the Space Station changed. Space Station *Freedom*



Figure 4.2.1-1. President Reagan and Prime Minister Thatcher, with model of Space Station Freedom, which later evolved into the International Space Station. Source: The Ronald Reagan Presidential Foundation and Library

became the International Space Station in 1993, when President Clinton encouraged the partnership to invite Russia to join the international group building the Station. (Reference Figure 4.2.2-2) The ISS is among the more complex technological endeavors ever undertaken (some would argue the “most”), involving five space agencies representing 16 nations. Soon to be completed, this new outpost will include contributions from the United States, Canada, Japan, Russia, Brazil, Belgium, Denmark, France, Germany, Italy, the Netherlands, Norway, Spain, Sweden, Switzerland and the United Kingdom. Within the U.S., the ISS effort involves more than 100,000 people in 37 states, including a presence at some 500 contractor facilities.

Reorienting the program to facilitate Russian participation was considered a major signal of America’s willingness to work with a former adversary. The agreement called for the Space Shuttle and Russian Soyuz to fly crew to the Station, and for the Shuttle and the Russian Progress to resupply the Station. In 1998, Russia’s Zarya module was the first to be deployed, and the ISS has been continuously inhabited since 2000. As many as 13 people have occupied the Station and the docked Shuttles at one time. Russian launches sustained the ISS after the *Columbia* accident in 2003 until the Shuttle returned to flight in 2005. Now, in 2009, after nearly 10 years of continuous human habitation with a reduced crew, the ISS supports its full six-person crew. Six more Shuttle missions remain until the ISS construction is completed.

Aside from the Space Station itself, perhaps the most valuable outcome of the ISS Program is the development of strong and tested working relationships among the ISS partners. The partnership resolved numerous technical challenges, withstood changes in governments, policies and budgets, and it survived the *Columbia* tragedy. The imminent completion of the ISS demonstrates that many nations can learn to work together toward a difficult com-

mon goal. The effort also expresses a U.S. leadership style adapted to the multi-polar world that emerged after the Cold War.

ISS completion also marks a transition for the conduct of NASA’s human spaceflight program, not only because the ISS partners will turn from building the Station to using it, but also because the Space Shuttle is nearing the end of its planned operational life. How will the Station be staffed in the gap between Shuttle decommissioning and the availability of new U.S. launch vehicles? Has NASA made the best arrangements for full utilization of the ISS? For these reasons alone, it is time to reexamine how the United States will use the ISS.

There are other considerations as well. The U.S. made a significant sacrifice in order to complete the ISS and fulfill its obligations to its partners: the science and engineering development program that might have been conducted on the station was curtailed. Perhaps the absence of a significant community of U.S. science users made it easier for NASA to propose discontinuing station operations in 2015. But is it wise to cease operations after only five years of full utilization when the station has been 25 years in planning and assembly? Would extension of ISS operations from five to at least ten years enable more new ideas, based on today’s science and technology, to be introduced through flight on the ISS? When the ISS was first designed, there was little thought about using it to prepare for exploration beyond low-Earth orbit. Can ISS utilization advance exploration goals beyond low-Earth orbit?

4.2.2 Issues.

In considering the future of the ISS, the Committee examined issues related to the U.S. human spaceflight gap, cargo and crew resupply and the commercial launch industry, end of ISS life, ISS safety, and international relations. Several of the issues are intertwined, and several arise as a result of the impending retirement of the Space Shuttle.

“The Gap.” The Space Station was conceived, designed and built with the Shuttle in mind. Its operational strategy, utilization capacity, and philosophy of maintenance and spares were all developed assuming the availability of the Shuttle.

How will U.S. crew be transported to the ISS after Shuttle retirement? The U.S. will depend on Russian launches until a new U.S. spacecraft and human-capable launch vehicle become operational. For several years the U.S. will pay Russia to transport our astronauts to the ISS. Further, under existing international agreements, the U.S. is responsible for transporting astronauts from Canada, Japan, and the European Space Agency to the ISS, so the U.S. will presumably also be paying Russia for their transport. This period is now expected to extend for seven years.

How will the U.S. transport cargo to and from the ISS? The U.S. plans to stop using Russian Progress vehicles for cargo transport in 2011, although this launch vehicle would continue to fulfill Russian needs. The program of record relies

on a combination of international and commercial capabilities currently under development. These include the European ATV and Japanese HTV, each of which has flown to the ISS once, and two new commercial capsules which, along with their rockets, Dragon and Cygnus, are still in development. (See Figure 4.2.2-1.)

The potential issues for the Space Station include: (1) none of these cargo carriers has nearly the cargo capacity of the Shuttle; (2) only the Dragon is planned to have a capability to bring cargo (e.g., experiments, failed parts, etc.) back to Earth; (3) two of these systems have flown once successfully, and the other two are untested. ISS resupply will thus depend on a mix of as-yet relatively less mature or unproven systems after the Shuttle is retired. While the diversity of options gives reason to believe that ISS servicing and resupply can be accomplished, there is little assurance that the new vehicles and capsules will be operational on their planned schedules.

Even today, to supply the ISS with more than the basic es-

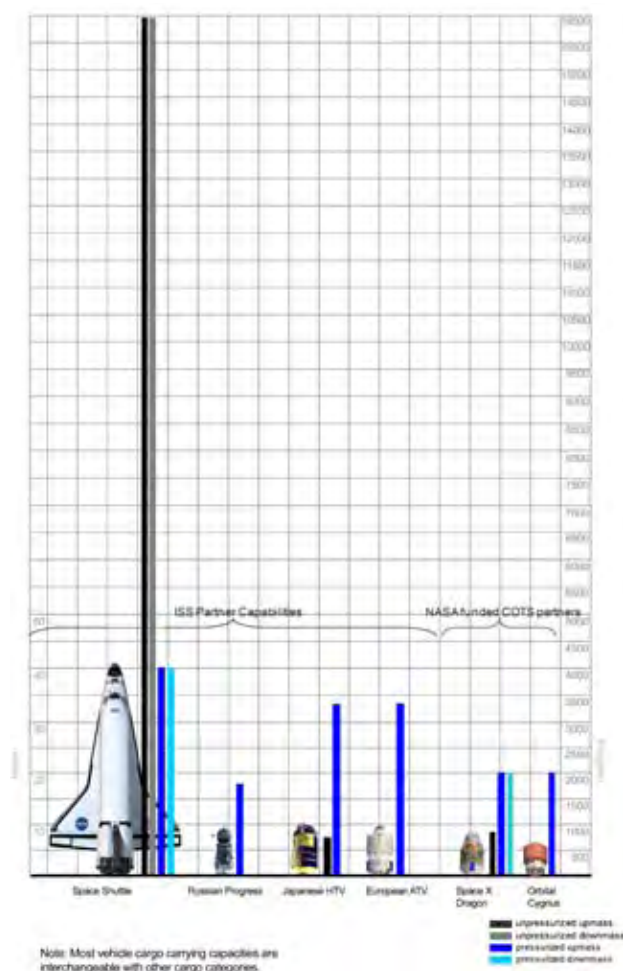


Figure 4.2.2-1. ISS resupply vehicle payload capacities: Shuttle, Russian Progress, Japanese H-II Transfer Vehicle, European Automated Transfer Vehicle, SpaceX Corp. Dragon and Orbital Sciences Corp. Cygnus. Bars indicate the cargo capacity in kilograms. Source: Review of U.S. Human Spaceflight Plans Committee



Figure 4.2.2-2. The International Space Station as seen against Earth's horizon. Source: NASA (STS-119 Shuttle mission imagery)

entials for a crew of six using the Shuttle is proving to be a challenge. The Committee notes that while the post-Space Shuttle cargo plan may sustain basic ISS operations, it could put the ISS on a somewhat fragile footing in terms of utilization. There is little surge capacity for unforeseen maintenance or logistics needs, and since utilization has been shown to be the first to suffer when funding pressures rise, the projected capacity may prove insufficient to support meaningful ISS utilization.

End-of-Life Considerations. How and when should the ISS be de-orbited? What should be returned to Earth before ISS de-orbit? Will the “down-mass” capabilities at the time of de-orbit allow significant retrieval of valuable equipment, experiments and facilities? How far in advance of a planned de-orbit should consultations among the international partners take place? These are a few of the issues that must be considered before a de-orbit can be implemented.

Because of its unprecedented size and mass (about 350 mt on orbit), de-orbiting the ISS is not a simple task. (See Figure 4.2.2-3.) There are currently no existing or planned

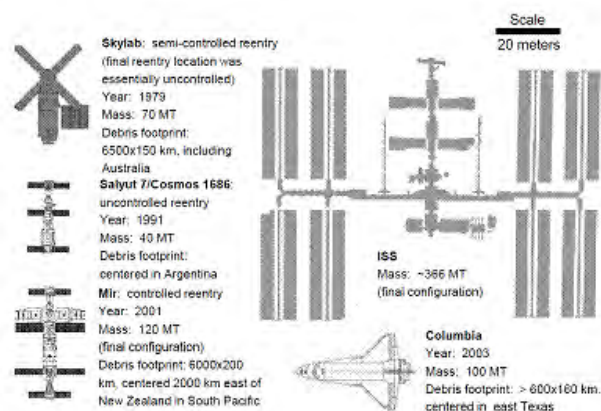


Figure 4.2.2-3. The relative challenge of re-entry of the International Space Station as compared with earlier re-entry/debris events. (Diagrams approximately to scale). Source: The Review of the U.S. Human Spaceflight Plans Committee

vehicles that could de-orbit the entire ISS in a predictable manner. Thus, either a new de-orbit module would have to be produced and launched to the ISS, or the station would have to be disassembled and the major portions de-orbited individually. The Committee requested an independent assessment of the difficulty of this task, and an estimation of the potential cost. The projected costs are \$2 billion or more, depending on the method of de-orbiting required.

The Committee also considered the possibility that the ISS could be operated with minimum U.S. participation, rather than be de-orbited. Preliminary considerations suggest that it would be nearly impossible for the remaining international partners to operate the ISS because of the extreme stress on their smaller budgets, and because U.S. export control requirements would limit the direct support the U.S. could provide to foreign space agencies.

Another alternative would be to “mothball” the ISS in space for later use. In order to assure any future utility, it appears preferable to keep the ISS staffed at a minimum level, similar to that adopted in the early phases of construction. Probabilistic risk assessments find a factor of five increase in probability of loss of the ISS with no crew on board. The need to keep the station occupied would be substantial. There is also a risk that an unoccupied ISS could enter the Earth’s atmosphere in an uncontrolled manner, resulting in liability issues and international difficulties for the U.S. In summary, it does not appear that either mothballing the ISS or ending U.S. participation is a viable option, and keeping the Station occupied is very expensive.

The extension of the ISS operations brings its own technical issues. Currently, if a significant part fails on the ISS, this part is returned to Earth and refurbished. Once the Shuttle retires, that will no longer be possible; new parts will have to be procured and lifted to the ISS. To prepare for Shuttle retirement, NASA has begun carrying spare parts up to the ISS—this provisioning is intended to supply the ISS through 2015. If the ISS is extended, additional spares must be procured and the suppliers retained. Further, there are a few parts too large for any of the planned cargo vehicles to lift. In addition, some components of the ISS (e.g., the U.S. laboratory) will reach the end of their certified life in 2015 or shortly thereafter. It is clear to the Committee that if the ISS is to be extended, planning for that should begin immediately.

	• 10 International Standard Payload Racks • Human Research Facility • Window Observational Research Facility • Microgravity Science Glovebox
	• 8 International Standard Payload Rack • Biological Experiment Rack • Fluid Science Experiment Rack • External Facility supports 10 experiments for space-exposure
	• 5 International Standard Payload Racks • European Drawer Rack • Fluid Science Laboratory • Microgravity Science Glovebox • Biolab • European Physiology Modules • European Technology Exposure Facility
	• Alpha Magnetic Spectrometer — Anti-He nuclei — High-energy positrons — Strangelets — Cosmic Rays — Gamma Rays — Earth-orbiting high-energy particles — Anti-deuterons

Figure 4.2.2-4. Major research facilities and support capabilities of International Space Station.

Source: Review of the U.S. Human Spaceflight Plans Committee

ISS Utilization and the User Community. For the past decade, efforts on the ISS have been directed toward assembly and early operation. Budgetary pressures during construction left little money for utilization. This is still the case. Today, less than 15 percent of NASA’s ISS budget is allocated for utilization. As the facility grows, its capacity may not be fully used. (See Figure 4.2.2-4.) Further, the current plan funds ISS utilization at approximately the same level through 2015. At the same time, however, the 2005 NASA Authorization Act designated the U.S. segment of the ISS as a National Laboratory and directed NASA to develop a plan to “increase the utilization of the ISS by other federal entities and the private sector...” It would be difficult if not impossible to realize the potential envisioned in the Congressional language at the current level of utilization.

How well the ISS is exploited depends to a considerable degree on whether its management focuses on utilization. With relatively few U.S. users, it may not have seemed worth restructuring utilization management of a program that was slated for termination after only five years of full operation. In the context of ISS renewal, however, a new management approach that facilitates the use of the ISS by a broad range of scientific, technological, and commercial users is warranted. The Committee believes that an organization is needed to mediate between NASA operations managers and the broad stakeholder community. This could facilitate access to ISS assets by a disparate user community (with widely varying levels of sophistication about space-flight activities), and could help organize the multiple de-

mands of the users into more unified requirements. Without a mediated dialogue between operations managers and users, it will be difficult to realize operational efficiencies. There are numerous examples of existing organizations that should be examined as possible models.

4.2.3 Scenarios for the Future of the ISS.

The Committee examined three scenarios for the future of the International Space Station. The first is essentially the program of record; that is, terminate U.S. participation in the ISS at the end of 2015. The second, “steady as you go,” renews U.S. participation at the current level to 2020, and assumes that launch vehicle development will proceed at a pace determined by whatever the remaining budget permits. The third enhances U.S. utilization and (possibly) international participation through at least 2020.

Scenario 1: End U.S. Participation in the ISS at the End of 2015. The current program of record terminates U.S. participation in the ISS at the end of 2015, and it calls for decommissioning and de-orbiting the ISS by early 2016. This approach is reflected in NASA’s current budget projections, though with insufficient funds for de-orbiting. NASA’s 2008 Authorization Bill, however, directed the agency to take no steps that preclude extending ISS operations until 2020, and NASA has complied. This scenario constitutes the current program plan. Under this scenario, 15 years of continuous human habitation in space would end in 2015, and be replaced by intermittent sorties, first to low-Earth orbit, and then eventually to the Moon.

The ISS is about to be completed, and its success will depend on how well it is used. This scenario enables only five years of ISS utilization at something less than full capability.

While scientific and technological experiments already on the drawing boards may be flown on the ISS in the next five years, it is less likely that new ventures will have enough time to do so. The U. S. starts at a disadvantage in this regard relative to its international partners, since its life science and microgravity science programs are stalled because of budgetary pressures. Congress designated the ISS as a National Laboratory in 2005 to facilitate the development of broad capabilities in science and technology by other government agencies and non-government users, a promising program that is literally just getting off the ground. It is not likely that research will be contemplated or proposed for a facility that may be de-orbited before full value of that research can be realized.

There are also significant international consequences associated with this scenario. By terminating the ISS, the U.S. would voluntarily relinquish its unique area of unchallenged leadership in space. Other nations have been building satellites and launch vehicles and are now constructing human-rated launch vehicles and capsules. But no other nation can match the 20-year U.S. lead in space engineering, construction and operations.

Just as important, by pursuing this option, the U.S. would dismantle a successful multilateral framework for international collaboration—a framework that could be extended in the future for other space projects. By limiting the time that the international partners could realize the return on their investments, the U.S. would be open to the accusation that it is an inconsiderate, if not unreliable, partner. It is unlikely that another international collaboration as broad and deep could be developed soon to replace the current one. New potential partners would be more likely to seek less ambitious bilateral relationships. The Committee’s informal

consultations with various foreign partner agencies emphasized how important the participation of their astronauts and experiments on the ISS have been to their space activities and to securing public support for their entire space programs. Finally, there is broader domestic and international public opinion that will not unreasonably question whether it is sensible to terminate after five years of full use a project that took 25 years to build.

Our ISS international partners issued a joint statement at a July 2008 Heads of Agency meeting calling for continuation of ISS operations beyond 2015. Russia has declared publicly that it intends to continue operations after 2015, independent of the U.S., if necessary. NASA believes that this is not technically feasible, but the comment is illustrative of the international reaction to the current ISS plan.

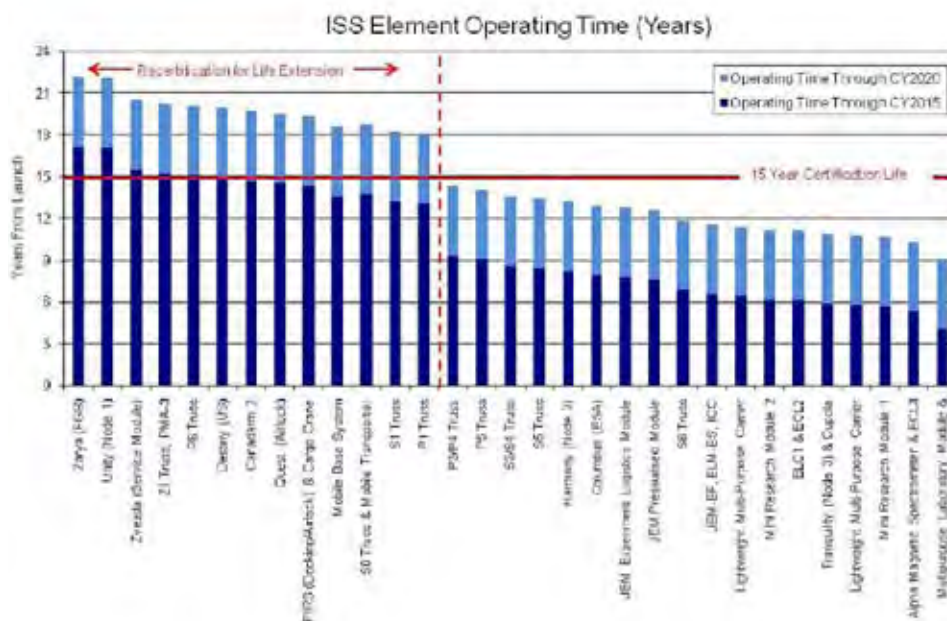


Figure 4.2.3-1. Projected lifetime of major International Space Station elements indicating the need for recertifying many elements if the International Space Station is extended to 2020.

Source: Review of the U.S. Human Spaceflight Plans Committee

The commitment to use commercial vehicles for the ISS resupply is one of the more innovative aspects of the current program. The prospect of an ISS resupply market is already stimulating risk-taking industries to develop new launch vehicles and capsules. However, termination of ISS would abruptly end that market in 2015 after fewer than five years of commercial resupply operations. This may not provide enough opportunity for the new industries to grow to maturity, and in some cases would likely threaten the survival of their efforts in this area.

The Committee estimates that the Ares I vehicle planned to transport humans to low-Earth orbit will not be available until two years after the ISS ceases to operate under the current plan. In this case, there would be several years with no U.S. human spaceflight activity at all. Thus, an achievement gap would exist in addition to the launch capability gap.

Scenario 2: Continue ISS Operations at the Present Level to 2020. Extending ISS operations by five years ameliorates many of the difficulties cited above with the current program (Scenario 1). The U.S. would have a longer time to develop uses of the Station; that is, to rebuild its ISS science program; to develop the ISS National Laboratory; and to provide opportunities to new users. Renewal of the ISS would assure the existing commercial cargo contractors of a more secure market and might also encourage other financial risk-takers to invest. The international partners would have more time to achieve a return on their investments, and the U.S. and its partners would have the opportunity for continuing human activities in space for five more years.

The current level of effort, however, does not allow the ISS to achieve its full potential as a National Laboratory or as a technology testbed. The majority of the funding is devoted to sustaining basic operations and providing transportation (including commercial resupply and crew transport on Soyuz). With utilization only a modest part of the ISS budget, many equipment and experiment racks will remain unfilled, which is the case today.

Extension beyond 2015 does bring new technical issues. These issues include procuring and providing spares and recertifying the components of the ISS. (See Figure 4.2.3-1.) One hidden benefit of work on life extension may be that it provides practical experience with issues that will arise later in missions of exploration beyond low-Earth orbit. As described above, planning for life extension to 2020 would have to begin immediately.

Scenario 3: Enrich the ISS Program and Extend through 2020. Since ISS utilization accounts for a relatively small portion of the planned budget, a significant enrichment of ISS utilization could be achieved with a relatively modest increase in funding. This is the basis for the Committee's nominal scenario, which is described below. Like Scenario 2, without added funding this scenario would adversely affect the Constellation Program in that it consumes funds that are otherwise planned to be added to that effort.

A much stronger emphasis on utilization will help ameliorate one of the most intractable problems associated with the International Space Station: *Because NASA does not have a compelling vision for how it will use the ISS, many American citizens do not have a clear idea of what it is for.* Further, the absence of funds to support utilization of the Station causes potential users to be skeptical of its overall value. Even if the extension option is adopted, it is not clear whether it will be successful in addressing these concerns. Up to now, the U.S. has focused almost exclusively on building the ISS. Budgetary pressures during construction meant that inadequate attention was paid to how the U.S. would use the facility after it was completed. As one example, the funds originally to be used for research and technology development were reduced. The scientific research community that had hoped to use the ISS has largely been dispersed and will have to be reassembled.

However, there remains the potential to enhance scientific use of the ISS. The National Research Council Space Studies Board has recently initiated a decadal survey of life and microgravity science that will identify key scientific issues and strategies for addressing them. This is the first decadal survey in this area, and it will bring the most modern scientific understanding to bear on what questions may be answered in the decade through 2020. An extended, enriched ISS program will enable more of the scientific opportunities identified by the survey to be captured.

As the nation's newest National Laboratory, the ISS has the potential to further strengthen relationships among NASA, other federal entities and private sector leaders in the pursuit of national priorities for the advancement of science, technology, engineering and mathematics. The ISS National Laboratory should also open new paths for the exploration and economic development of space. The life science research community of the National Institutes of Health and NASA's space station research facilitators recently met for the first time to allow researchers to explore the logistics of flying their experiments on the ISS. Enriching the ISS program would send a strong signal to these potential users.

There is another important use of the ISS that was not considered when the space station was begun in 1984 or redesigned in 1992: to support exploration. The Committee believes that the Space Station can be a valuable testbed for the life support, environmental, and advanced propulsion technologies, among others, that will be needed to send humans on missions farther into space. It also has the potential to help develop operational techniques important to exploration. Such an emphasis has the advantage of keeping the technology development and operational side of NASA involved in ISS utilization.

Among the most compelling considerations supporting this scenario are the opportunities it affords for international partnership. The negotiations to extend the ISS partnership beyond 2016 (which, under the latter two scenarios, should begin soon) offer the U.S. a new opportunity for geopolitical leadership. The ISS partnership can be enriched in a variety of ways: its goals may be enlarged, its membership may be enlarged, or both. By adding aspects of exploration beyond

low-Earth orbit to the goals of the ISS partnership, the partners would engage at an early stage with the U.S. in the next grand challenge of space exploration. The ISS agreement itself might serve as the basis for the broader types of agreement that will be appropriate to deep space exploration.

Since the ISS was redesigned in 1992, several nations have developed important new capabilities for robotic and, more recently, human spaceflight. Opening the ISS partnership to new members could engage such emerging space powers with the present international space community, thereby facilitating the exchange of plans, the sharing of financial and intellectual resources, and the same kind of strong working relationships that brought the ISS into being and that sustained it. The Committee's informal consultations with current ISS partner agencies revealed no fundamental reluctance to adding new partners. However, all recommended that the integration of potential new partners proceed after careful discussion and in small steps that could be taken over time.

FINDINGS ON THE INTERNATIONAL SPACE STATION (ISS)

Extending the International Space Station: The return on investment to both the United States and our international partners would be significantly enhanced by an extension of ISS life. Not to extend its operation would significantly impair U.S. ability to develop and lead future international spaceflight partnerships.

ISS termination: If the ISS is to be de-orbited in early 2016, negotiations with international partners and operational planning must begin now; additional funds must be added to the budget to accomplish this complex technical task.

ISS utilization: If the life of the ISS is extended, a more robust program of science, human research and technology development would significantly increase the return on investment from the Station and better prepare for human exploration beyond low-Earth orbit. Additional funds would need to be provided for this purpose.

Cargo to and from the ISS: When the Shuttle is retired, the ISS will rely on a mix of commercial and international cargo transports for provisions, resupply, maintenance and utilization. Some of these delivery systems are as yet unproven and could experience delays. While this would not place the ISS itself in jeopardy in the near term, it could put its utilization at risk.

Commercial cargo carriers: NASA's planned transition of much of the ISS cargo resupply to the commercial sector is a positive development. Financial incentives should be added to those suppliers to meet their schedule milestones, as the ISS will be vulnerable until the relevant vehicles have demonstrated their operational capabilities and flight rates.

Management structure for ISS utilization: The benefits of continued operation of the ISS will depend

heavily on the extent to which its management focuses on utilization. One possible approach would be to establish an independent organization that mediates between NASA operations managers and the broad stakeholder community of scientific, technological and commercial users.

International partnership in ISS: NASA's international partners value the ISS relationship and U.S. leadership in that relationship. They further view it as a platform for international cooperation in exploration.

■ 4.3 THE CONSTELLATION PROGRAM

In addition to the Shuttle and the ISS, the scope of NASA activities the Committee was directed to examine includes all of the activities within the Exploration Systems Mission Directorate (ESMD). These include the Constellation Program, the name given by NASA to the flight development program for the next phase of human space exploration.

As the Committee assessed the current status and possible future of the Constellation Program, it reviewed the technical, budgetary and schedule challenges that the program faces today. In developing Integrated Options for the nation's human spaceflight program, the Committee established as the baseline what it considers to be an implementable version of the Constellation Program. This baseline case is outlined in more detail in Chapter 6.

The 2004 Vision for Space Exploration established new and ambitious goals for the nation's human spaceflight program. The Constellation Program is NASA's response to that Vision. The Exploration Systems Architecture Study (ESAS) defined the broad architecture for the program in 2005. (See Figure 4.3-1.) The principal program elements include: the Ares I launch vehicle, capable of launching astronauts to low-Earth orbit; the Ares V heavy-lift cargo launch vehicle, to send astronauts and equipment towards the Moon or other destinations beyond low-Earth orbit; the Orion capsule, to carry astronauts to low-Earth orbit and beyond; the Altair lunar lander for descent to the surface of the Moon, and ascent back to lunar orbit for the crew; and surface systems that astronauts will need to explore the lunar surface.

Development of the first two of the elements needed, the Ares I and Orion, is well underway. While development of the Ares V has not been initiated, certain components of the Ares I can be expected to be common with the Ares V. A detailed review by the Committee of the two launch vehicles, the Ares I and Ares V, will be presented in Chapter 5. The Altair lander and the lunar surface systems are still in very early phases of design, and were discussed as part of the Moon First strategy in Chapter 3.

4.3.1 Orion.

The remaining principal element, Orion, consists of a spacecraft generally in the shape of the Apollo capsule, a service module and a launch-abort system. Orion is designed to operate in space for up to six months and carry six astronauts, but is currently being configured for ISS support as a four-person vehicle. An upgraded (Block 2) version is an-



Figure 4.3-1. The major elements of the Constellation transportation architecture showing sequence of operation. Source: NASA

anticipated for travel to the Moon and beyond. Orion performance is constrained by re-entry and landing considerations and is also subject to limitations imposed by Ares I. It has undergone multiple redesign cycles in response to changing requirements.

The Committee examined the design and operations of Orion in some detail. Many concepts are possible for crew-exploration vehicles, and NASA clearly needs a new spacecraft for travel beyond low-Earth orbit. Evidence reviewed by the Committee indicates that the current Orion design will be acceptable for a wide variety of tasks in the human exploration of space. The Committee's greatest concern regarding Orion is its recurring cost. The capsule is five meters in diameter, considerably larger and more massive than previous capsules (e.g., the Apollo capsule), and there is some indication that a smaller and lighter four-person Orion could reduce operations costs. For example, such a configuration might allow landing on land rather than in the ocean, and it might enable simplifications in the (currently large and complex) launch-abort system. In addition, this would also increase launch margin, which could reduce the cost and schedule risk to the Constellation Program. However, a redesign of this magnitude would likely result in well over a year of additional development time and an increase of perhaps a billion dollars in cost. In any case, in order to provide for a sustainable program, every effort should be made to reduce the recurring costs of Orion.

Safety is of course of primary concern in any human-rated system, and Orion, and its companion Ares I launcher, are designed in accordance with NASA's latest human-rating requirements. The design includes an abort capability throughout ascent, as well as requirements to make loss of crew a factor of 10 less likely than at any previous

time in human spaceflight. The ability of Ares I to meet these requirements will not be known until it has an established flight record, but it is clearly being designed to a high standard of safety and reliability. A more detailed discussion of human rating is contained in Section 5.3.4.

As part of an independent review, an assessment of the Orion Crew Vehicle "stand alone" development plan was conducted. The assessment focused on critical path elements. It was observed that the Orion development schedule is "back-end loaded," such that designing test articles, conducting tests and producing flight hardware run in parallel, thus creating an extremely high schedule risk. For example, the Program of Record shows only three months between completion of system qualification (June 2014) and the planned Orion 1 launch date (September 2014). A

large number of technical risks also

add to schedule uncertainty. When compared to historical programs, the most likely delay to the Orion availability approaches 18 months. Additional critical paths exist through ground test and flight test.

4.3.2 Constellation Development.

Since Constellation's inception, the program has faced a mismatch between funding and program content. Even when the program was first announced, its timely execution depended on funds becoming available from the retirement of the Space Shuttle (in 2010) and the decommissioning of the ISS (in early 2016). Since those early days, the program's long-term budget outlook has been steadily reduced below the level expected by NASA. As shown in Figure 4.3.2-1, the Exploration Systems Architecture Study of 2005 assumed the availability of a steady-state human spaceflight budget for exploration of about \$10 billion per year. In the subsequent FY 2009 and FY 2010 budgets, the long-term projections for funding have decreased. The FY 2010 President's Budget Submittal suggests a steady state funding of about \$7 billion per year.

The shorter-term budget situation has had mixed impact on Constellation. The formal first post-ESAS budget was the FY 2007 budget, in which the funds available to Ares I and Orion were significantly lower than those anticipated during the time of ESAS. Subsequently, in the FY 2008 – FY 2010 budgets, the funds anticipated in the out years in the FY 2007 budget were made available to Ares I and Orion. In part this has been achieved by scope changes in other NASA programs.

The budget outlook for the Constellation Program would be even bleaker under some alternate human spaceflight plans. Without additional funding, if the Shuttle manifest extends into 2011 and/or the life of the ISS is extended,

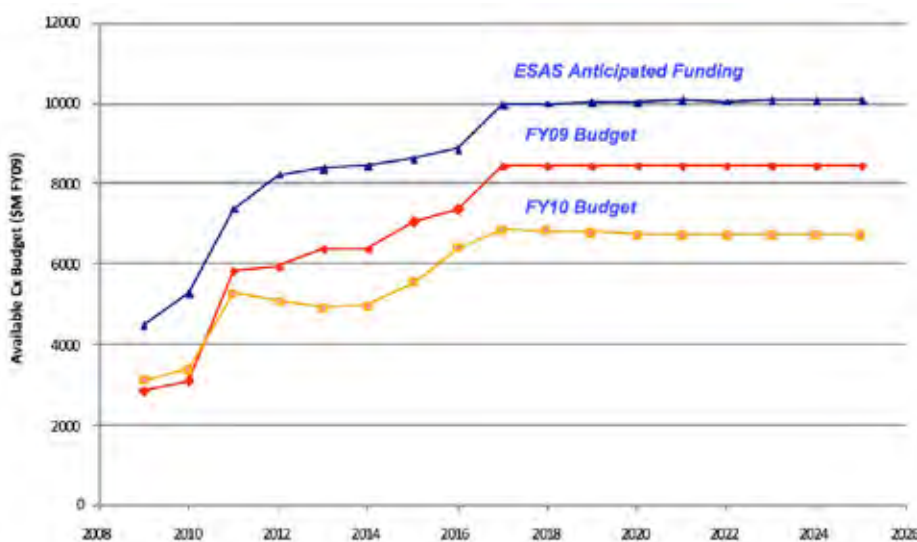


Figure 4.3.2-1. Constellation Program Funding Profiles. Source: NASA

there will be even less funding available for Ares I and Orion. Further, as the Shuttle and ISS programs are terminated, a significant percentage of NASA's fixed costs will transition to Constellation. The Committee has found that not all of those costs have been accounted for in the Constellation budget plan. Most major vehicle-development programs face technical challenges as a normal part of the development process, and Constellation is no exception. For example, as the Ares I design has matured, the rocket has grown in weight and various technical issues have emerged. Among these is the high level of vibrations induced by thrust oscillation in the first-stage motor. While significant, these can be considered to be engineering problems, and the Committee expects that they will be solved, just as the developers of Apollo successfully faced challenges such as a capsule fire and an unknown and potentially hazardous landing environment. But finding the solutions to Constellation's technical problems will likely have further impact on the program's cost and schedule.

Differences between the original Constellation program planning budget and the actual implementation budget, coupled with technical problems that have been encountered on the Ares I and Orion programs, have produced the most significant overall impacts to the execution of the Constellation Program. This has resulted, for example, in slipping work on the Ares V and lunar systems well into the future and setting Orion's near-term occupancy at four astronauts.

The original 2005 schedule showed Ares I and Orion available to support the ISS in 2012, only two years after scheduled Shuttle retirement. The current schedule maintained by the Constellation Program now shows that date as 2015, but with a relatively low schedule confidence factor and little schedule slack

on the critical path. The Committee commissioned the Aerospace Corporation to perform an independent assessment of the technical, budgetary and schedule risk on the Constellation Program. The study methodology employed for the assessment of cost and schedule is substantially the same as described for the Integrated Options in Figure 6.2.3-1 of Chapter 6. All resulting cost and schedule impacts prepared for the Committee are formulated at the 65-percent confidence level, consistent with the direction NASA has given the Constellation Program.

The results of the analysis indicate to the Committee that, under the FY 2010 budget profile, there is likely an additional delay of at least two years, with first launch

in 2017, and perhaps as much as four years of delay, with first launch in 2019. This suggests that Ares I and Orion will not reach ISS before the Station's currently planned termination. Assuming a Shuttle retirement sometime in FY 2011, the length of the gap in which the U.S. will have no independent capability to transport astronauts into orbit will be about seven years.

The Constellation Program has identified measures, such as ongoing content reduction, deployment of stimulus funds to address high-risk schedule areas, and program management actions to mitigate major risks, that suggest that the first launch of Ares I and Orion could occur in 2017 if those measures are successful.

The Ares V, still in conceptual design, promises to be an extremely capable rocket—able to lift 160 metric tons of cargo into low-Earth orbit. But its design, too, has experienced growth (and program delays) due to the impact of the development of other elements of Constellation. Under the FY 2010 funding profile, the Committee estimates that Ares V will not be available until the late 2020s. Under the FY 2010 budget, the lunar landing and surface systems will also be delayed by over a decade, indicating that human lunar return could not occur until well into the 2030s.

4.3.3 Importance of Technology Development.

As currently structured, the only broad-based space technology program of NASA is contained within the Exploration Systems Mission Directorate, and is closely tied to the near- and mid-term needs of Constellation. Two recent reports of the National Research Council have examined and made recommendations to

NASA on the structure of its future space technology program.

The need for technology development is apparent, and the pursuit of a well-crafted technology program would be very beneficial to the longer-term human spaceflight program. Failure to adequately fund such efforts in the past has reduced the options available today. Further, substantial cost and schedule savings can be achieved by having the needed technologies in place prior to initiating engineering development activities. Almost invariably, to conduct such efforts in parallel is extremely costly.

Based on these considerations, the Committee finds that a robust technology-development program, funded in support of future human spaceflight activities, would not only introduce new opportunities for mission architecture but also enable reduction in the cost of human spaceflight. This will be discussed in Chapter 7.

FINDINGS ON ORION AND ARES I

Orion: The Orion is intended to be a capable crew exploration vehicle, and the current Orion design will be acceptable for a wide variety of tasks in the human exploration of space. The current development is under considerable stress associated with schedule and weight margins. The primary long-term concern of the Committee is the recurring cost of the system.

Ares I: Ares I is intended to be a high reliability launcher. When combined with the Orion and its launch escape system, it is expected to serve as a crew transporter with very high ascent safety. The Ares I is currently dealing with technical problems of a character not remarkable in the design of a complex system – problems that should be resolvable with commensurate cost and schedule impacts. Its ultimate utility is diminished by schedule delays, which cause a mismatch with the programs it is intended to serve.

(Other findings on the Constellation Program launch vehicles are in Chapter 5.)

Launch to Low-Earth Orbit and Beyond

Launch to low-Earth orbit is the most energy-intensive and dynamic step in human space exploration. No other single propulsive maneuver, including descent to and ascent from the surfaces of the Moon or Mars, demands higher thrust or more energy or has the high aerodynamic pressure forces than a launch from Earth. Launch is a critical area for spaceflight, and two of the five key questions that guide the future plans for U.S. human spaceflight focus on launch to low-Earth orbit: the delivery of heavy masses to low-Earth orbit and beyond; and the delivery of crew to low-Earth orbit.

■ 5.1 EVALUATION METHODOLOGY FOR LAUNCH VEHICLES

Launch vehicles and associated ground infrastructure are key elements of the architectures that support human spaceflight missions. Launch vehicles are generally designed anew or adapted from existing vehicles to support a specified mission or range of missions. The mission definition drives the size, performance, production rates, reliability and safety requirements. This is particularly true for “clean-sheet” (i.e., new) designs. For the adaptation of existing launch vehicles to new missions, greater compromise between the launch vehicle and the mission is often needed in order to execute the adaptation and thus realize the benefits sought. Primary among these benefits is proven safety, cost, reliability and performance.

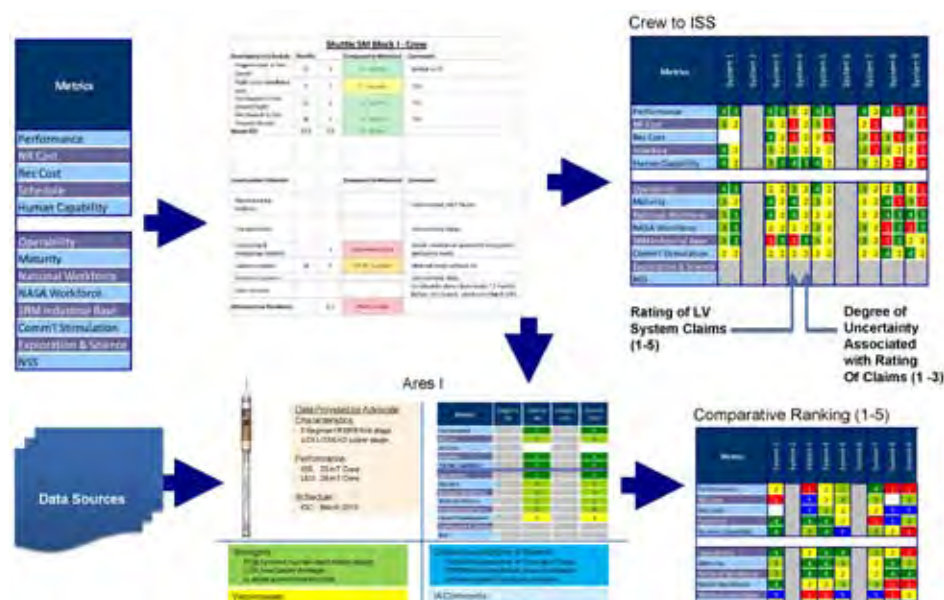


Figure 5.1-1. Process for assessing metrics for launch vehicle evaluation. Source: Aerospace Corporation

The Aerospace Corporation performed for the Committee an evaluation of potential launch vehicles. The metrics used in evaluating the various launch vehicle candidates, as shown in the upper left hand corner of Figure 5.1-1, contain the usual cost, performance and schedule parameters, but also include items such as safety, operability, maturity, human rating, workforce implications, impacts on the U.S. industrial base, the development of commercial space, the consequences to national security space, and the impact on exploration and science missions. Some metrics could be evaluated quantitatively, such as cost and schedule, while others required qualitative assessment, such as the impact on as-yet undefined national security space missions.

At a summary level, the assessment process centered around two evaluations. The first was to assess and modify where appropriate the claim for a system as submitted by the provider of that system. The second step was to represent the uncertainty associated with the assessment of each metric for each launch system. This process made it possible to capture cases where claims might be judged to be less than their stated values but with a fair degree of certainty as well as cases where a claim was judged well within historical bounds but significant uncertainty remained about the estimate. It also permitted at least a first-order comparison of existing vehicles with proposed vehicles—including defining the uncertainty in the comparison. A sample summary of these evaluations is shown in the upper right hand corner of Figure 5.1-1.

In analyzing individual launch vehicles the study approach examined approximately 70 lower-level metrics that contributed to the 13 top-level metrics. A summary was created for all relevant launch systems for each mission category as to their ranking relative to the other launch systems capable of supporting that particular mission.

■ 5.2 HEAVY LIFT TO LOW-EARTH ORBIT AND BEYOND

The insertion of heavy payloads from Earth orbit towards their destination is essential for exploration beyond low-Earth orbit, and such systems significantly benefit from heavy lift to low-Earth orbit. The plan of the Constellation Program for the exploration of the Moon envisions launching about 600 metric tons (mt) per year to low-Earth orbit, while exploration along the Flexible Path may require somewhat less launch mass each year. NASA scenarios for the exploration of Mars will have comparable annual requirements. In the three years of lunar surface exploration during Apollo, which had a less capable lunar surface infrastructure than is currently planned, NASA launched over 250 mt per year. As a point of comparison, the ISS, assembled over the last decade by the Shuttle, has a mass of about 350 mt. Thus in the era of exploration beyond low-Earth orbit, we will launch to low-Earth orbit a mass comparable to that of the entire ISS every year.

Launch Vehicle Family			Launch Mass to LEO	First Stage of Heavy
NASA Heritage	Ares Family	Ares V + Ares I	160 mt + 25 mt	Advanced RS-68 (LOX/LH) + 5.5 segment SRB
		Ares V Lite	140 mt	Advanced RS-68 (LOX/LH) + 5 segment SRB
	Directly Shuttle Derived Launcher		100 -110 mt	SSME (LOX/LH) + 4 segment SRB
EELV Heritage "Super Heavy"			75 mt	RD 180 (LOX/RP-1)

Figure 5.2-2. Heavy Launch Vehicle Options indicating the approximate launch mass to low-Earth orbit, and the engines of the first stage (LOX is liquid oxygen, LH is liquid hydrogen, RP-1 is a hydrocarbon fuel, SRB is a Solid Rocket Booster derived from the Shuttle system. See text for discussion of engines.)

Source: Review of U.S. Human Spaceflight Committee

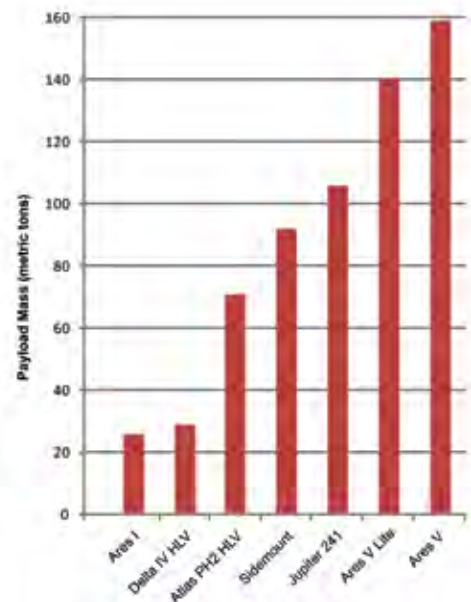


Figure 5.2-1. Approximate payload mass launched by various launch vehicles to a 28.5 degree inclination low-Earth orbit. Source: Review of U.S. Human Spaceflight Plans Committee

The key decision for heavy lift to low-Earth orbit is: on what should the next heavy-lift launch vehicle be based? The Committee examined five candidates for heavy lift, with their estimated launch mass to low-Earth orbit shown in the right-most five bars of Figure 5.2-1. In the end, to simplify the considerations, the Committee treated the five launchers in the four classes summarized in Figure 5.2-2: the currently planned Ares I + Ares V architecture; the Ares V Lite (used in a dual mode for lunar missions); a Shuttle-derived vehicle; and a “super-heavy” launcher derived from Evolved Expendable Launch Vehicle (EELV) heritage. The Shuttle-derived class was used to represent both in-line and side-mount vehicles, each of which will be discussed in more detail below.

5.2.1 The Need for Heavy Lift

First, the Committee examined the question: do we need a heavy-lift capability? While it is obvious that the ability to inject massive spacecraft away from low-Earth orbit is vital for exploration, there is some question as to the smallest practical size of the launcher that will be used to carry cargo to low-Earth orbit. The Committee reviewed the issue of whether exploration beyond low-Earth orbit will require a “super heavy-lift” launch vehicle (i.e., larger than the current “heavy” EELVs,

Launch Vehicle Family			Launch Mass from LEO toward the Moon (TLI)	
			No in-space refueling	With in-space refueling (minimum)
NASA Heritage	Ares Family	Ares V + Ares I	63 mt single launch, 71 mt with Ares I	~130 mt single launch, ~150 mt with Ares I
		Ares V Lite	55 mt	~120 mt
	Directly Shuttle Derived Launcher		~ 35 mt	~75 mt
EELV Heritage "Super Heavy"			~ 26 mt	~55 mt

Figure 5.2.1-1. Mass injected away from LEO towards the Moon (on Trans-Lunar Injection) with and without in-space refueling. Source: Review of U.S. Human Spaceflight Committee

comparable to what larger launchers can do without in-space refueling. (See Figure 5.2.1-1.) In fact, the larger elements launched to low-Earth orbit tend to be propulsion stages, and these are usually about 80 percent fuel by mass. If there were the capability to fuel propulsion stages in space, the single-largest mass launched would be considerably less than in the absence of in-space refueling. The mass that must be launched to low-Earth orbit in the current NASA plan, without its fuel on board, is in the range of 25 to 40 mt, setting a notional lower limit on the size of the super heavy-lift launch vehicle if refueling is available.

whose mass to low-Earth orbit is in the 20-25 mt range), and concluded that it will. However, the rationale for this decision is subtler than usually thought, and hinges on three factors: the size and mass capability of the launcher and of the entire U.S. launch capacity; in-space refueling capability; and the launch reliability expected for a given mission.

No one knows for certain the mass or dimensions of the largest piece of hardware that will be required for future exploration missions. It will likely be larger than 25 metric tons (mt) in mass, and may be larger than the approximately five-meter-diameter fairing of the largest current launchers. The largest single element in the current NASA plans that will be launched to low-Earth orbit is the Earth Departure Stage (EDS). Not counting its approximately 60 mt of payload, the EDS arrives in low-Earth orbit on a standard lunar mission with a mass of about 119 mt, of which about 94 mt is fuel, and only 25 mt is dry mass. In the absence of in-space refueling, the U.S. human spaceflight program will require a heavy-lift launcher of significantly greater than 25 mt capability to launch the EDS and its fuel.

However the picture changes significantly if in-space refueling is used. All of the heavy-lift vehicles listed in Figure 5.2-2 use an EDS to lift the specified payload mass to low-Earth orbit. In the conventional scheme, the EDS burns some of its fuel on the way to orbit, and it arrives in low-Earth orbit partially full. The remainder of the fuel is expended in injecting the payload toward its destination beyond low-Earth orbit. The alternative is to refuel the EDS in low-Earth orbit from either a dedicated tanker or a fuel depot. This allows more mass to be injected from the Earth with a given EDS. Studies commissioned by the Committee found that in-space refueling could increase by at least *two to three times* the injection capability from low-Earth orbit of a launcher system, and in some cases more.

Thus, an in-space refueling capability would make larger super-heavy lift vehicles even more capable, and would enable smaller ones to inject from low-Earth orbit a mass

As an additional benefit of in-space refueling, the potential government-guaranteed market for fuel in low-Earth orbit would create a stimulus to the commercial launch industry beyond the current ISS commercial cargo-services market.

The Committee examined the current concepts for in-space refueling. There are essentially two. In the simpler one, a single tanker performs a rendezvous and docking with the EDS on orbit, transfers fuel and separates, much like an airborne tanker refuels an aircraft. In a more evolved concept, many tankers rendezvous and transfer fuel to an in-space depot. (See Figure 5.2.1-2.) Then at a later time, the EDS docks with the depot, fuels, and departs Earth orbit. The Committee found both of these concepts feasible with current technology, but in need of significant further engineering development and in-space demonstration before they could be included in a baseline design. This would require engineering effort, and at



Figure 5.2.1-2. Artist's concept of a fuel depot servicing an Earth Departure Stage. Source: Boeing

some development investment, long-term life-cycle savings may be obtained.

The concept of in-space refueling introduces the idea of critical launches and less critical launches in any given mission. Using the lunar mission with crew as a reference, the critical launches would carry the Orion, Altair and EDS to low-Earth orbit. Depending on launch vehicle capacity this could be accomplished on one flight (as it was in Apollo), two, or even three launches. Less critical missions would be the ones that bring fuel to low-Earth orbit. The Committee commissioned a detailed analysis of the reliability of missions that would require multiple launches of critical and less critical payloads. It found that achieving reasonable probability of mission success requires either 90+ days of on-orbit life for the EDS, or a depot, and that at most three critical launches should be employed. Since it is very constraining to balance mission components to always partition equally between launches, this strongly favors a minimum heavy-lift capacity of roughly 50 mt that allows the flexibility to lift two “dry” exploration elements on a single launch.

Another way to view the requirements of heavy lift is to consider the recurring cost to NASA of using a significant fraction of the yearly existing and planned U.S. launch capability after the Shuttle retires. At reasonable production rates of the existing EELV heavy launch vehicles, mid-size EELVs, new commercial vehicles, and the Ares I, much if not all of the excess capability that exists in the U.S. production system would be used launching 400 to 600 mt to low-Earth orbit, and it would be an expensive way to accomplish this.

The Committee finds that exploration would benefit from the development of a heavy-lift capability to enable voyages beyond low-Earth orbit. This might be supplemented by the development of an in-space refueling capability. In-space refueling has great potential benefits, but needs development and demonstration before being incorporated into a baseline design.

Using a launch system with more than three critical launches begins to cause unacceptably low mission launch reliability. Therefore a prudent strategy would be to use launch vehicles that allow the completion of a lunar mission with no more than three launches without refueling. This would imply a launch mass to low-Earth orbit of at least 65 to 70 mt based on current NASA lunar plans. Vehicles in the range up to about 100 mt will require in-space refueling for more demanding missions. Vehicle above this launch capability will be enhanced by in-space refueling, but will not require it. When in-space refueling is developed, any of these launchers will become more capable.

The development of such a heavy-lift vehicle would have other benefits. It would allow large scientific observatories to be launched, potentially enabling them to have optics larger than the current five-meter fairing sizes will allow. More capable deep-space science missions could be mounted, allowing faster or more extensive exploration of the outer solar system. Heavy lift may also provide benefit in national security space applications.

5.2.2 The Choices for Heavy Lift

The Committee examined the four choices for heavy lift outlined in Figure 5.2-1 and Figure 5.2-2, all of which pose different heritage, capability, maturity and organizational ramifications. They will be discussed with reference to the use on a typical lunar mission and Flexible Path mission.



Figure 5.2.2-1. Ares V.
Source: NASA

Ares V. The Ares V is used with a human rated Ares I for lunar missions – the so-called 1.5 launch architecture. The Ares I launches the Orion, which docks in low-Earth orbit with the Altair lander and EDS launched on the Ares V. The Orion and Altair departs towards the Moon, propelled by the EDS. (See Figure 3.4.2-1.) The Ares I rocket is currently under development by the Constellation program, and has a great deal of commonality with the Ares V. This version of the Ares V (in contrast with the Ares V Lite discussed below) is the most capable of the launch vehicle alternatives under study, with a payload to low-Earth orbit of about 160 mt. (See Figure 5.2.2-1.) When used in conjunction with the Ares I, the combined payload to low-Earth orbit is about 185 mt. With an appropriately designed lunar lander,

one Ares I and one Ares V land about 2 mt of cargo on the lunar surface on a human mission, and about 14 mt reaches the lunar surface on a cargo-only mission with a single Ares V launch.

Both the Ares I and Ares V use Solid Rocket Boosters (SRBs) – a five-segment SRB in the Ares I, and a five-and-a-half segment SRB in the Ares V. The Ares V first stage uses six engines from the RS-68 family. The engines are mounted on the bottom of a 10-meter diameter tank. The second or Earth Departure Stage is based on the J2-X engine, which the Ares V shares in common with the Ares I. The advanced RS-68 rocket engine of the core is a modification of the RS-68 engines used on the existing Delta IV launch vehicles. Certain changes to the RS-68 for use in the Ares family are anticipated. These include upgrades to reduce hydrogen flow at startup, and for extended operation in the more aggressive Ares V thermal environment. The J2-X rocket engine is a modification of the J-2 engines used on the Saturn V program. The use of the Ares I as a means of crew transport to low-Earth orbit will be discussed in Section 5.3.

Ares V Lite. The Ares V Lite is a slightly lower performance variant of the Ares V, with a low-Earth orbit payload of about 140 mt, but with the same essential configuration as the Ares V. However, in this option it would be human rated, as it is used for crew launch with the Orion. It uses five-segment SRBs (already under development for the Ares I), and five core engines, a derivative of the RS-68, the engine used on the Delta IV Heavy EELV. It would use a slight variant of the same EDS as the Ares V, with the same J2-X engine. For lunar missions, the Ares

V Lite is used in the “dual mode.” The Orion and Altair are launched on separate Ares V flights, and they dock either in Earth or Moon orbit, depending on the mission mode eventually chosen.

With a combined payload to low-Earth orbit for the dual launches of about 280 mt, this architecture would enjoy considerable payload margin that could provide significant enhancement in mission robustness for lunar missions. With an appropriately designed lunar lander, this system lands about 7 mt of cargo on the lunar surface on a crewed mission. With a single Ares V Lite launch, the same lander can deliver about 14 mt on a cargo-only mission.

When used on Flexible Path missions, a single Ares V Lite and EDS, in combination with the Orion and an in-space habitat, are able to support a visit to the Lagrange points without refueling. In order to reach near-Earth objects and beyond, in-space refueling (or alternatively multiple Ares V Lite launches) is necessary.



Figure 5.2.2-2. Shuttle-Derived Sidemount Launcher.
Source: NASA

carrier that contains both a clamshell-type payload shroud and a propulsion system consisting of three Space Shuttle Main Engines (SSME). It uses the exact same tank and SRB configuration as the Shuttle. It would likely carry an EDS with a J2-X engine internal to the payload bay. This would be the smallest development effort possible within the family. Depending on details of design, a side-mount launcher with an EDS can lift 90 to slightly more than 100 mt to low-Earth orbit.

Shuttle-Derived Inline Launcher. At the other end of the spectrum is an inline vehicle, such as the Jupiter 241, with four SSMEs mounted at the bottom of a redesigned tank/thrust structure, and with an EDS atop the tank. (See Figure 5.2.2-3.) Once the existing stock of SSME engines is depleted, this configuration will use an expendable version of the SSME, the RS-25E. The upper stage uses liquid oxygen and liquid hydrogen as propellants, with a single J-2X rocket engine. The Committee evaluated the smaller Jupiter 130

that does not have an EDS, but used the more capable Jupiter 241 as the basis of comparison, which has a launch capacity in the range of 100 to 110 mt to low-Earth orbit.

In a lunar exploration scenario, it is assumed that three Shuttle-derived launchers of a nominal 110 mt capability would be used for a crewed mission. A single launcher would be used for lunar cargo missions. When used in conjunction with a lunar lander designed for this size vehicle, this system lands about 5 mt of cargo on a crew mission. A single-launch cargo mission lands less than 5 mt of cargo on the lunar surface. However, a single launch cargo mission, enhanced by the use of in-space refueling, increases the cargo mass landed on the lunar surface to more than 20 mt. For Flexible Path missions, two Shuttle-derived launchers, combined with in-space refueling of an EDS, are required to propel the Orion and in-space habitat to the Lagrange points, near-Earth objects and beyond.

While the Committee did not examine the technical trade between the side-mount and inline variants in detail, it observes that the side-mount variant is considered an inherently less safe arrangement if crew are to be carried, and is more limited in its growth potential.

EELV Heritage Super-Heavy. The EELV heritage super-heavy launchers represent a potential family of vehicles derived from the current Evolved Expendable Launch Vehicles heritage. They are distinguished technically from the NASA heritage vehicle by their use of liquid booster (rather than the solid rocket boosters) and secondarily by a hydrocarbon (RP-1) fueled rocket in the first stage core. (See Figure 5.2.2-4.) The upgraded EELV systems would have a core vehicle that would, by itself, have a launch capability to low-Earth orbit in the range of 30 to 35 mt. Using a “super-heavy” variant that would have a core and two boosters of the same basic design, and when used in conjunction with an upgraded common hydrogen/oxygen upper stage, it is likely to have a maximum payload to low-Earth orbit in the range of 75 mt. This exceeds the nominal minimum for a heavy lifter useful for exploration as defined above. A representative of this category of launchers is the Atlas 5 Phase 2 Heavy.



Figure 5.2.2-3. Jupiter 241.
Source: NASA



Figure 5.2.2-4. EELV Heritage Super Heavy Launcher.
Source: NASA

The EELV super-heavy uses two RD-180 rocket engines on each of the core and two boosters. The RD-180 engine has a long history of successful launches in Russia and in the U.S. on the Atlas V family of launch vehicles. The upper stage uses liquid oxygen and liquid hydrogen as propellant, with four RL10 rocket engines. The RL10 family of engines has a long history of successful launches on programs including the Titan, Atlas and Delta families of vehicles. The Atlas Phase 2 is a proposed follow-on of the EELV program, with larger, five-meter diameter, stages, manufactured using the existing five-meter production facilities that currently produce the Delta IV core stages. When used in conjunction with Flexible Path missions, the EELV-heritage launcher and EDS send the Orion and in-space habitat towards the Lagrange points, near-Earth objects and beyond, with two launches plus in-space refueling.

Developmental considerations. Any of these launcher options will entail a substantial development project. The Committee observes that throughout the history of launcher development, and particularly for the Shuttle, the aim has frequently been to design for ultimate performance, often at the cost of reliability and operational efficiency. In particular, NASA's design culture has repeatedly focused on maximizing performance at minimum development cost, generally resulting in high operational and lifecycle costs. While performance is important in launchers, good performance margins and associated robustness are also desirable, and can lead to lower life-cycle costs. A shift in NASA design culture toward design for minimum life-cycle cost, accompanied by robustness and adequate margins, will allow NASA programs to be more sustainable.

There is one additional consideration regarding heavy lift capability. In all missions beyond low-Earth orbit, there will be a need for one or two additional propulsive maneuvers far from Earth. For example, in visiting the Moon, a burn is necessary to enter lunar orbit, and another to leave. When visiting a near-Earth object, a burn is necessary to decelerate to rendezvous with the object, and then a second to return to Earth. Exploration will require a long-duration in-space restartable stage. This would become a building block of exploration propulsion systems, potentially including the lunar descent stage.

FINDINGS ON LAUNCH TO LOW-EARTH ORBIT AND BEYOND

The Need for Heavy-Lift: A heavy-lift launch capability to low-Earth orbit, combined with the ability to inject heavy payloads away from the Earth, is beneficial to exploration, and will also be useful to the national security space and scientific communities. The Committee reviewed the Ares family of launchers, Shuttle-derived vehicles, and launchers derived from the EELV family. Each approach has advantages and disadvantages, trading capability, lifecycle costs, operational complexity and the "way of doing business" within the program and NASA.

In-Space Refueling: The ability to add fuel to an Earth-departure stage, either from in-space docking with a tanker or from a depot, is of significant potential benefit to the in-space transportation system beyond low-Earth orbit. The technology for in-space refueling is available, but a further development and demonstration program is required. Therefore a prudent approach is to develop a heavy-lift launch system with sufficient capabilities for early missions, which would later be enhanced by in-space refueling when it becomes available.

Sustainability of Operations of U.S. Launch Systems: NASA's design culture emphasizes maximizing performance at minimum development cost, repeatedly resulting in high operational and lifecycle costs. A shift in NASA design culture toward design for minimum discounted life-cycle cost, accompanied by robustness and adequate margins, will allow NASA programs to be more sustainable.

In-space Propulsion: For almost all foreseeable missions beyond low-Earth orbit, there is a need for one or two propulsive maneuvers, often after weeks or months in space. Efficient engines and stages with high-reliability restart capability will need to be developed.

■ 5.3 CREW LAUNCH TO LOW-EARTH ORBIT

Among the most safety critical aspects of human spaceflight is the delivery and return of crew to and from low-Earth orbit. The fourth key question examined by the Committee is: how should U.S. crew be transported to low-Earth orbit? There are two choices for transporting U.S. crews to and from low-Earth orbit that emerged from the work of the Committee: a government-provided and operated system, and a commercially provided crew-delivery service. This discussion assumes that the Orion vehicle will be the primary U.S. capsule for crew transportation beyond low-Earth orbit and re-entry into the Earth's atmosphere upon return from those voyages.

5.3.1 Ares I plus Orion: Government-Provided Crew to Low-Earth Orbit.

The current NASA plan for crew transport to low-Earth orbit—comprising the Ares I launch vehicle and the Orion crew capsule—was selected in 2005 as part of the ESAS study based in part on the anticipated availability and projected crew safety considerations of the Ares I and Orion. At the time of ESAS, estimates showed that Ares I and Orion would be available for crew transport service to the ISS by 2012. The date projected by the Constellation program is now 2015. As the plan evolved after 2005, the Ares I developed increasing commonality with the Ares V, providing architectural synergy and reducing development costs of the family.

Ares I: The Ares I launch vehicle currently consists of a single five-segment solid rocket booster as the first stage and a liquid-fueled upper stage. (See Figure 5.3.1-1.) The five-



Figure 5.3.1-1. Ares I.
Source: NASA

segment SRB is a modification to the existing Space Shuttle SRBs. The upper stage uses liquid oxygen and liquid hydrogen as propellants, with a single J-2X rocket engine. The J-2X rocket engine is a modification of the J-2 engines used on the Saturn V program.

In its selection of a crew launch system, ESAS correctly placed a very high premium on crew safety, and the Ares I was selected because of its potential delivering at least ten times the level of crew safety as the current Shuttle. The launch vehicle configuration is one that best allows for crew escape in the event of a launch failure. The capsule is mounted at the top of the stack, and has an independent launch escape system. The track record of demonstrated high reliability of the SRB suggests a low likelihood of first stage failure on ascent.

Under the budget profile NASA leadership anticipated in 2005, estimates showed that the Ares I could be developed by the early to mid part of the decade, and the Ares V could be developed by the late 2010s. It was thought that the Ares I would have lower operating cost when visiting the ISS than other alternatives, and would produce a lower operating cost of the entire system when joint operations of the Ares I and Ares V were begun.

Additionally the development approach of engaging many NASA employees in the design and testing of the Ares I would allow the NASA workforce, which has not developed a new rocket for over 20 years, to gain experience on the relatively simpler Ares I rocket before beginning the development of the more complex Ares V.

5.3.2 Alternatives to Government-Provided Crew Access to Low-Earth Orbit.

The Committee considered several alternatives to Ares I and Orion, including:

- A longer-term reliance on international (currently Russian) crew transport services
- The human rating of an existing EELV for launching the Orion
- The development of commercial crew transport services
- The use of a heavy-lift vehicle to launch the Orion

While the Committee found interim reliance on international crew transport services acceptable, it also found that an important part of sustained U.S. leadership in space is the operation of our own domestic crew launch capability. This closed out the first alternative. The Committee next examined a NASA-commissioned study by the Aerospace Cor-

poration on the feasibility and cost of human-rating an EELV, the Delta IV Heavy, for use as the launcher for Orion.

Delta IV HLV: The Delta IV Heavy Launch Vehicle consists of two liquid-fueled strap-on boosters, a liquid-fueled first stage, and a liquid-fueled upper stage. The two strap-ons and the core stage are very similar and use liquid oxygen and liquid hydrogen as propellants and a single RS-68-family rocket engine on each of the three stages. The independent study found that launch of Orion to low-Earth orbit did not require an upper stage, as the spacecraft could provide the necessary impulse. The Delta IV HLV is a variant of one of the EELVs that has launched successfully many times.



Figure 5.3.2-1. Delta IV – HLV.
Source: NASA

While launch of the Orion on the Delta IV HLV was found to be technically feasible, it requires some modification of the current launcher, and was comparable in cost and schedule to simply continuing with the development of the Ares I. When the Committee factored in the carrying cost of the NASA infrastructure that would be maintained if any NASA-heritage heavy launcher would eventually be developed (Ares V in any variant or a more directly Shuttle-derived heavy launcher), any cost savings that might have occurred due to using an EELV to launch the Orion were lost. Using the EELV for launch of Orion would only make sense if it were coupled with the development of an EELV-heritage super-heavy vehicle for cargo launch. Except in this case, this analysis closed out the second option.

5.3.3 Commercial Services to Transport Crew to Low-Earth Orbit

Having eliminated the long-term international supply option and the EELV option for all but the EELV-heritage super-heavy choice for heavy lift, the remaining possible choices, besides Ares I, were to utilize commercial crew services or use the heavy-lift vehicle as a crew launcher. As the nation moves from the complex, reusable Shuttle back to a simpler, smaller capsule, it is an appropriate time to consider turning this transport function over to the commercial sector. There is broad policy support for this approach, from both Congressional legislation and Presidential policy (Figure 5.3.3-1), and one of the four main charges given to the Committee by the Office of Science & Technology Policy in its Statement of Task was to “Stimulate commercial spaceflight capability.” This section considers the technical feasibility of a commercial service, safety issues, financial implications, programmatic risks, and acquisition strategy.

Technical Feasibility of Commercial Transport Services for Crew. The Committee examined the technical feasibility of utilizing a commercial service to transport crew to low-Earth orbit. First, it is a statement of fact that all of the U.S. crew launch systems built to date have been built by industry for NASA. The system under contemplation is not much more complex than a modern Gemini, which was built by U.S. industry over 40 years ago. It would consist of a three- or four-person crew taxi, launched on a rocket with a launch escape system. It would have an on-orbit life independent of the ISS of only weeks, but potentially be storable at the ISS for months. Such a vehicle would re-enter the Earth's atmosphere from the speed of orbital flight, rather than the significantly higher speed for which Orion is designed. Its smaller size makes possible the option of landing on land, potentially reducing operations cost when compared to a sea landing.

Recently, several aerospace companies began developing new rockets and on-orbit vehicles as part of the commercial cargo delivery program. Several other U.S. companies are contemplating orbital passenger flight. There is little doubt that the U.S. aerospace industry, from historical builders of human spacecraft to the new entrants, has the technical capability to build and operate a crew taxi to low-Earth orbit.

NASA's Role in Safety and Mission Assurance. The Committee treated the safety of crew vehicles as the *sine qua non* of the human spaceflight program, and would not suggest that a commercial service be provided for transportation of NASA crew if NASA could not be convinced that it was substantially safe. The critical question is: can a simple capsule with a launch escape system, operating on a high-reliability liquid booster, be made safer than the Shuttle, and comparably as safe as Ares I plus Orion? An important part of this analysis rests on the reliability of the launcher. Thus, commercial crew launchers based on high-reliability vehicles that already have significant flight heritage, or will develop flight experience soon, would be more obvious candidates as a crew launcher. Ares I has a heritage that traces to the use of the SRBs on the Shuttle, but other potential crew launchers can also trace their lineage to significant flight heritage.

Given the history of human spaceflight, putting commercial crew transport to space in the critical path of any scenario represents a major shift in policy. As will be discussed in Section 5.4.2., the Committee reviewed convincing evidence of the value of independent oversight in the mission assurance of launchers, and would envision a strong NASA oversight role in assuring commercial vehicle safety. The challenge of developing a safe and reliable commercial capability for crew transport will require devoting government funds to "buy down" a significant amount of the existing uncertainty. Whatever the particulars of this risk removal process, it will take an appreciable period of time and require the application of thorough, independent mission-assurance practices. A critical aspect of this exercise will be confirming the root cause and adequacy of correction of any failures or anomalies encountered in the development test program. Thus, the Committee views any commercial program of crew transport to ISS as involving a strong, independent mission assurance role for NASA.

The Committee identified elements of a plan that would lead to the creation of a commercial service for crew transport, building on NASA incentives and guarantees. This included an assessment of the financial aspects and benefits of commercial crew services, the programmatic risks of relying on commercial crew services and potential mitigation strategies, and an approach to engaging the commercial community in this program.

Financial Aspects of the Commercial Crew Services. The Committee engaged in a two-step process for assessing the potential financial benefit of commercial services for crew transport. This involved both estimating the cost to develop and operate the system, and then determining what fraction of this cost NASA would likely have to provide as an incentive to industry to enter into this venture.

During its fact-finding process, the Committee received proprietary information from five different companies interested in the provision of commercial crew transportation services to low-Earth orbit. These included large and small companies, some of which have previously developed crew systems for NASA. The Committee also received input from prospective customers stating that there is a market for commercial crew transportation to low-Earth orbit for non-NASA purposes if the price is low enough and safety robust enough, and from prospective providers stating that it is technically possible to provide a commercially viable price on a marginal cost basis, given a developed system. None of the input suggested that at the price obtainable for a capsule-plus-expendable-launch-vehicle system, the market was sufficient to provide a return on the investment of the initial capsule development. In other words, if a capsule is developed that meets commercial needs, there will be customers to share operating costs with NASA, but unless NASA creates significant incentives for the development of the capsule, the service is unlikely to be developed on a purely commercial basis.

The Committee then estimated the cost to NASA of creating an incentive for industry to develop the commercial transport capability for crew. This would probably be a significant fraction, but not the entirety of the cost of such a development. Given a properly structured procurement, estimates the Committee received from potential providers for the price of reaching initial demonstration flight of a crew-taxi capsule ranged from \$300 million to \$1.5 billion. For estimating purposes, the Committee assumed that three contracts were initiated, and one competitor subsequently dropped out, suggesting an expected cost to NASA of between \$2 billion and \$2.5 billion. In addition, the Committee believes that if a commercial crew program is pursued, NASA should make available to bidders a suitable version of an existing booster with a demonstrated track record of successful flight, adding to the program cost. The best preliminary estimate of the Committee was about a \$3 billion program for the fraction of the design, development, test, and evaluation (DDT&E) effort that would be borne by NASA. After multiplying by the historical growth factors and other multipliers associated with 65 percent confidence estimating (as will be discussed in Section 6.3), the cost carried in the Committee's final estimate of the cost of the program to NASA is about \$5 billion.

Comparing the scope of providing a commercial crew capability to the cost of historical programs offers a sanity check. In the existing COTS A-C contracts, two commercial suppliers have received or invested about \$400-\$500 million for the development of a new launch vehicle and unmanned spacecraft. Gemini is the closest historical program in scope to the envisioned commercial crew taxi. In about four years in the early- to mid-1960s, NASA and industry human-rated the Titan II (which required 39 months), and designed and tested a capsule. In GDP-inflator-corrected FY 2009 dollars, the DDT&E cost of this program was about \$2.5-3 billion, depending on the accounting for test flights. These two comparatives tend to support the estimate that the program can be viable with a \$5 billion stimulus from NASA.

The Committee considered several other factors that would support this estimate of the incentive cost to NASA. If this is to be a commercial venture, at least some commercial capital must be at risk. Alternate sources of capital, including private and corporate investment, would be expected. Next, the Committee considered the cost associated with the development of a relatively simpler launcher and capsule designed only as a low-Earth orbit crew taxi in comparison with those associated with the far more capable Ares I and Orion. Additionally, the Committee heard many argue that economic efficiencies could be found by striking a better balance between the legitimate need for a NASA quality assurance and safety process on one hand, and allowing industry to execute design and development efficiently on the other.

Significantly, the Committee considered the fact that some development costs, and a larger fraction of operating costs of a commercial crew service to low-Earth orbit could be amortized over other markets and customers. This is more obvious for the launcher, which potentially could also be used for the existing markets of ISS cargo to low-Earth orbit, science and national security space satellite missions, and commercial satellite launches. In the future the commercial booster used for crew might also launch fuel to low-Earth orbit for in-space refueling, and it might carry additional non-NASA crew flights. The non-NASA markets and customers for the capsule are less easily quantified. It is possible that other governments would procure crew launch to ISS from a U.S. commercial provider, and that private travel to low-Earth orbit could be more common by the latter part of the decade. Note that if there were only one non-NASA flight of this system per year, it would reduce the NASA share of the fixed recurring cost by 33 percent.

It was estimated by the Committee that under the “less-constrained budget” to be discussed in Chapter 6, the commercial crew launch service could be in place by 2016. Estimates from providers ranged from three years to five years from the present. Assuming a year for program re-alignment, this would produce a start in early FY 2011. Using the upper end of the estimated range, a capability in 2016 could be estimated with reasonable confidence.

Programmatic Risks of Commercial Crew to Low-Earth Orbit and Potential Mitigations. The Committee recognizes that the development of commercial services to transport crew come with significant programmatic risks.

Among these are that the development of this capability will distract current potential providers from the near-term goal of successfully developing commercial cargo capability. Second, the commercial community may fail to deliver a crew capability in mid-program, and the task would revert to NASA. This could be caused by either a technical failure or a business failure—a failure to obtain financing, changes in markets or key suppliers, re-alignment of business priorities, or another non-technical reason. Either type of failure would require NASA intervention, and the possibility that NASA would either have to operate the system, or fall back to an alternative.

While there are many potential benefits of commercial services that transport crew to low-Earth orbit, there are simply too many risks at the present time not to have a viable fallback option for risk mitigation. The Committee contemplated several alternatives, including continuing to rely on international providers (likely available, but not consistent with the long-term need for U.S. access as part of its leadership in space), and continuing the Ares I program in parallel (prohibitive in terms of cost). The Committee also considered the possibility of putting the Ares I program on “warm hold,” ready for a possible restart, while continuing the development of the five-segment SRB and the J2-X, which are common to the Ares V. In the end, the Committee thought that the most cost-effective fallback option that would move NASA most rapidly toward exploration is to continue to develop the Orion, and move as quickly as possible to the development of a human-rated heavy lift vehicle. (See the discussion in Section 5.3.4 on human rating.) The first stage of any of the heavy-lift launchers under consideration would be more than capable of launching an Orion to low-Earth orbit. In the best case, the heavy-lift vehicles themselves would not be available until the beginning of the 2020s, but the first stage or core could be accelerated, perhaps by a year or two. In this case, the core heavy human-rated launcher would arrive only a few years later than an Ares I under the less-constrained budget scenarios.

The details of the preferred fallback option are best left to NASA, but the question is clear. Assume that emphasis will be placed on building the heavy lifter as quickly as possible, and assume that commercial services for crew transportation to low-Earth orbit will be started in development, and may fail to materialize. What variant of the heavy launcher can be identified that could be developed quickly and at small marginal cost in the future if needed to transport Orion and crew to low-Earth orbit? A desirable feature is that the preparation for the development of this variant would have the minimum impact on the construction of the heavy-lift vehicle itself.

Engaging the Commercial Community in Crew Transport Services. The potential providers of capsules emphasized that the nature of the acquisition of these services is critical; to be commercially viable, low operating cost is essential, and to obtain that cost, the requirements for the capsule need to be as few as is essential and stable. Several providers gave anecdotal examples where NASA programs suffered from significant “requirements creep,” and emphasized the need for a more commercial-type procure-

ment, where any changes to work scope would be matters for mutual negotiation, rather than one-sided impositions by NASA.

The Committee envisions a new competition for this service, in which both large and small companies are invited to participate. Several potential providers should be funded through some initial development milestones measuring tangible progress, and incrementally incentivized. It is crucial to the success of the program that multiple providers be carried through to operational service. It is the pressure of competition that provides the drive for low operating cost. Assurances of a market would need to be offered by the government. By creating a third market for commercial launch services (cargo to ISS, fuel to low-Earth orbit, and crew to low-Earth orbit), it is possible that the efficiencies associated with increased production runs and more frequent operations will appear.

5.3.4 Human Rating of Launchers

The history of human rating U.S. launch vehicles can be traced to the Atlas and ICBM Titan usage in the Mercury and Gemini programs. The purpose of human rating was, and is, to assure that safety levels are appropriate for human flight. Crew safety was addressed in these earlier programs primarily by adding a crew escape system. The reliability of these launch vehicles was addressed by eliminating known design weaknesses, adding redundancies, providing fault detection systems (to initiate crew escape), and tightening requirements for manufacturing, assembly, systems test and checkout at the launch sites.

The process of human rating launch vehicles is central to the viability of commercial service for crew transport, as well as the option of using the heavy launcher as a backup. The Committee found a progressive new approach to human rating at NASA, reflected in the current human-rating guidelines (NASA Procedural Requirement 8705.2B). These guidelines, applicable to newly developed NASA vehicles, provide for intelligent application of similar and dissimilar redundancy when called for, and appropriate approaches to single-string design when unavoidable. In addition, there is a general set of guidelines (NPR 8715.3) that currently would be applied to NASA personnel operating in non-NASA vehicles.

In view of the complexity and cost of retroactively human rating a vehicle (comparable to a significant fraction of the original cost to develop the vehicle), the Committee suggests that all new NASA-developed vehicles, including heavy-lift launchers, be designed so that they are human-ratable, i.e., they could be reasonably human rated at some point in the future. This is a compromise between human rating them at inception and not human-rating them at all. It preserves the option to human rate in the future at lower cost. NASA would benefit from this approach so that it could use its heavy-lift launcher as a backup crew vehicle with Orion, should the commercial providers fail to deliver for any combination of business and/or technical reasons. Additionally, the criticality of cargo launched on the heavy-lift vehicle would suggest that NASA institute quality control and requirements comparable to human-rating guidelines in any event.

FINDINGS ON CREW LAUNCH TO LOW-EARTH ORBIT

(Note: a finding on the Ares I is presented in Chapter 4.)

The Need for Independent U.S. Human Access to Space:

In the long run, it is important for the U.S. to maintain independent access to low-Earth orbit for its crews. In the future, this might be provided by government, commercial providers, or a combination of the two.

Commercial Launch of Crew to Low-Earth Orbit: Commercial services to deliver crew to low-Earth orbit are within reach. While this presents some risk, it could provide an earlier capability at lower initial and life-cycle costs than government could achieve. A new competition with adequate incentives should be open to all U.S. aerospace companies. This would allow NASA to focus on more challenging roles, including human exploration beyond low-Earth orbit based on the continued development of the current or modified Orion spacecraft.

Human Rating of Launch Vehicles: NASA has recently adopted a new, more outcome-based standard for human rating space systems, and has in its policy a more flexible approach for human rating existing or new third-party systems. NASA would be well served by applying these policies to field a set of safe yet efficient capabilities, including the provision that all newly developed, government-funded launch vehicles be readily human-ratable. In this way, if plans change in the future—for example, if the commercial capability of crew transport to low-Earth orbit fails to materialize—NASA would have a backup means of launching crew on heavy lift vehicles.

Safety: Human space exploration is an inherently risky endeavor. NASA should continue to make every reasonable effort to reduce the risks in spaceflight. Design for safety should be the prime but not only criterion in the development of systems and operations.

■ 5.4 ADDITIONAL ISSUES IN LAUNCHER SELECTION

5.4.1 Launch Vehicle Performance and Costing

In evaluating the systems described in this chapter, the Committee noted that each has avid proponents, and as such the claimed cost, schedule and performance parameters include varying degrees of aggressiveness. Some of these estimates are close to or within the spread of historical programs, while others are well outside historical bounds. The latter could of course be attributed to fresh new approaches that make the historical databases inapplicable—or they could be attributable to unwarranted optimism. The analysis techniques employed in the assessment sought to differentiate between the two.

The only large potential decrease to the cost of space transportation, absent greatly increased traffic, resides in the adoption of a new paradigm for commercially purchasing highly reliable space transportation services. This approach

benefits from commercial best practices embraced by experienced providers of launch systems and new systems and processes offered by young firms. The price of shifting to commercial practices is to decrease the NASA workforce and sacrifice the expertise that has been built up at NASA over the years as the agency has directed and overseen the development of launch systems. This new strategy may eventually restore the total national space launch workforce in terms of expertise and number of workers, but near-term reductions would be expected.

The health and viability of the large solid-rocket motor industrial base rests in part on the choice of future crew transport and heavy-lift cargo launch system designs. Those that are all-liquid obviously present the most negative impact on the SRM industrial base and those that either launch crew with an SRM or support heavy-lift with SRMs provide the most benefit to the SRM industrial base. If the choice is to pursue all-liquid launch systems for both crew and cargo, there is no perceived future need for large segmented SRMs in support of civil space activities.

5.4.2 Reliability

Reliability of launchers is important to the safety of crew, and the success of missions of exploration. The U.S. history of heavy-lift launcher reliability is shown in Figure 5.4.2-1. The historical record can be separated into two classes: those intended for human spaceflight, and those intended for cargo use. Saturn and the Shuttle are in the former class, while the other existing vehicles considered here are in the cargo class.

The most reliable U.S. heavy-lift launch vehicle built to date is the Shuttle, whose launch reliability, demonstrated by flight history, and computed using the Bayesian estimation process (based on a 50-percent confidence level, common for this type of analysis) is 98.7 percent. The Saturn vehicles also exhibited high reliability over their limited flight history. The less reliable Titan HLV only launched cargo, and the Delta IV H is limited to only three flights to date.

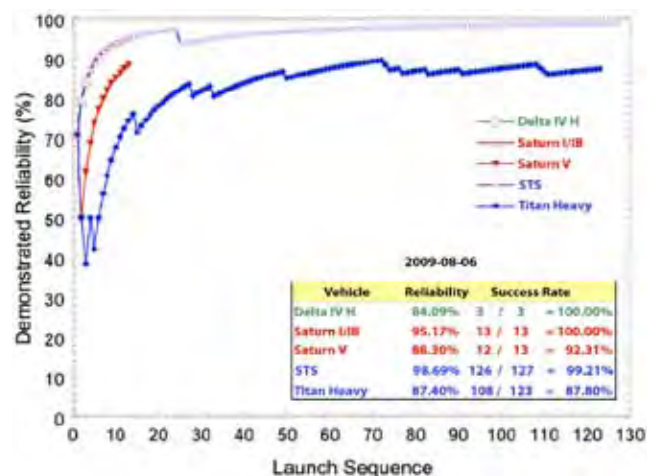


Figure 5.4.2-1. Historical Growth of Demonstrated Launch Reliability.
Source: Aerospace Corporation

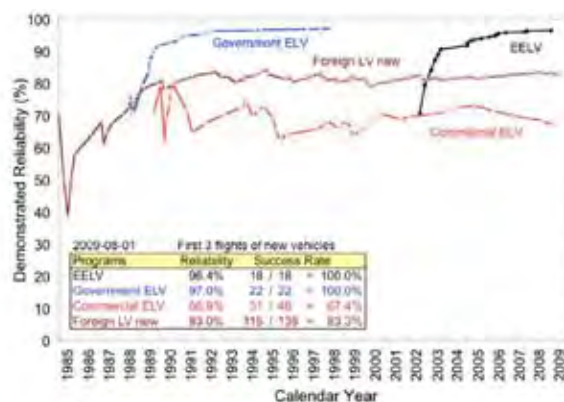


Figure 5.4.2-2. Demonstrated reliability growth under various programs.
Source: Aerospace Corporation

During this examination of future space exploration launch systems, the vehicles considered included those derived from Shuttle, Saturn and Delta IV–Heavy-heritage engines and motors (including Ares I and V and Shuttle derivatives), those derived from the EELV program families of launchers (Atlas V and Delta IV), and new vehicles with limited heritage (Falcon and Taurus II). Historically, vehicles with heritage derived from prior demonstrated systems have shown greater reliability in early usage than newly developed systems. The process of converting an established cargo launcher into a human-rated launcher results in improved reliability, as was demonstrated in the early U.S. human spaceflight programs where modified ICBMs were employed as launch systems. History has shown that the early flight period is of much higher risk than would be expected later in flight history. Figure 5.4.2-2 displays flight reliability for programs “managed by the U.S. government,” those managed by foreign governments, and those managed by commercial providers. It should be noted that all of the U.S. vehicles have in large part been engi-

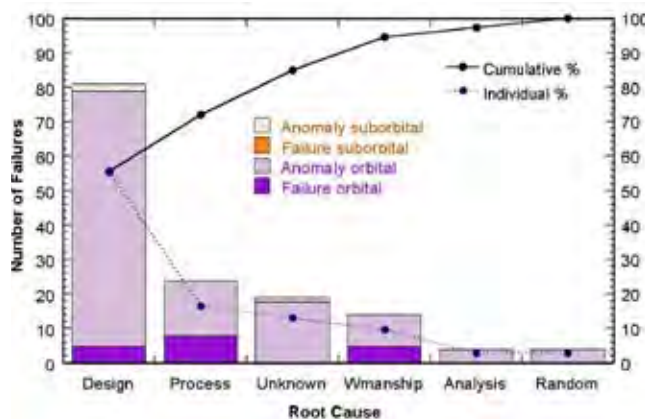


Figure 5.4.2-3. Root Cause of Launch Vehicle Failures.
Source: Aerospace Corporation

neered and manufactured in industry, and that the so-called “commercial vehicles” were originally developed and produced under government (Air Force) contracts. Importantly, the latter began as ICBMs and, unlike the “government launch vehicles” category, are not human-related.

Space launch vehicles have a history of malfunctions caused by human pre-flight technical error rather than so-called “random” part failure or in-use operator error. This is clearly demonstrated in Figure 5.4.2-3 which shows the root cause of flight malfunctions derived from all U.S. heavy-lift launches to date.

Included in the data are the results of process and design errors associated with the Shuttle Thermal Protection System and SRB joint gas anomalies that were observed—but were not viewed as hazardous prior to the failures of *Challenger* and *Columbia*, respectively. Although some Thermal Protection System anomalies continue to occur, SRB joint gas leaks, which had been a recurring problem with the large segmented solid motors, have been significantly less prevalent after the design changes following the *Challenger* failure.

SUMMARY

There are many issues that must be carefully considered leading to the final decisions on the launch system for both heavy cargo and crew. These include, but are not limited to:

- The cost, schedule and performance of the launch system
- The likelihood that an increase in volume of production and operation, including by other customers, will decrease costs in the future
- The impact on the present and future industrial base
- The initial and ultimate reliability of the launcher, and the extent to which the heritage of the launcher influences the early operational reliability
- The benefit of independent assurance in increasing the demonstrated reliability
- The root cause of failures of launchers, and the extent to which these can be modeled and controlled by sound practices in design and processing

Program Options and Evaluation

This chapter presents a synthesized set of options for the future of U.S. human spaceflight. Section 6.1 presents the evaluation criteria the Committee developed. The five key questions that framed the examination are briefly re-stated in Section 6.2, and the choices for each are summarized. These have been presented individually in Chapters 3, 4, and 5. In Section 6.2, pointers denote places where the individual choices will be discussed in the context of the Integrated Options. These analyses form the basis of Sections 6.3 through 6.6. These Integrated Options tie together choices from the five key decisions to allow cost and schedule to be assessed, and an overall evaluation of progress to be made.

■ 6.1 EVALUATION CRITERIA

In order to conduct an independent review of ongoing U.S. human spaceflight plans and alternatives, the Committee recognized that it would be important to define a process that would equitably evaluate the wide range of options to be identified. Consistent with the systems engineering approach, it was important to clearly define the set of criteria against which all options would be assessed, and to define an evaluation process that would enable a fair and consistent assessment of each option. Since many of the evaluation criteria are not quantitative, the Committee did not intend that the evaluation would generate a single numerical score; rather, it would provide a basis for comparison across options, highlighting the opportunities and challenges associated with each. Assigning weights to individual figures of merit is within the purview of the ultimate decision-makers.

In order to identify Integrated Options that are safe, innovative, useful, affordable and sustainable, the Committee developed a number of evaluation criteria by which the relative merits of various human spaceflight missions and objectives could be compared. The Committee was chartered by the Office of Science and Technology Policy in the Executive Office of the President. The Committee's Statement of Task (see Appendix C) provided important guidance. The Committee considered metrics suggested by its members, as well as

those based on previous reviews and studies, such as the 1991 Synthesis Group, as well as those derived from policy and historical documents including the Space Act of 1958 and the 2004 Vision for Space Exploration.

There are numerous challenges in evaluating the complex set of Integrated Options considered for human spaceflight, because they vary widely with respect to three principal "dimensions":

- **Benefits to Stakeholders.** The community of stakeholders is diverse, and the potential benefits are equally wide-ranging. Stakeholders include: the U.S. government; the American public; the scientific and education communities; the industrial base and commercial business interests; and human civilization as a whole. Each option offers benefits to some subset of stakeholders, nations and humankind. These benefits include: the capability for exploration; the opportunity for technology innovation; the opportunity to increase scientific knowledge; the opportunity to expand U.S. prosperity and economic competitiveness; the opportunity to enhance global partnership; and the potential to increase the engagement of the public in human spaceflight.
- **Risk.** Each benefit has associated risks. There is uncertainty about the level of benefit that will actually be achieved, since attaining some of the goals may take decades. These risks are not independent of each other. NASA can mitigate some, but others are driven by external forces. Good program management can ameliorate schedule and programmatic risk, given sufficient schedule margin and financial reserves. Safety and mission risk can be managed by changing the mission profile or reducing program content. Programmatic sustainability is a key concern, since any change to the human spaceflight program is likely to affect existing contractual agreements and will require long-term commitments beyond the term of any one

presidential administration. There is also risk to the nation's workforce and capabilities in critical skills. Currently, NASA's workforce represents expertise and experience that have enabled its outstanding achievements in space. The industry workforce is fragile, because once the need for a capability stops, marketplace pressures will diminish it, and reconstituting it may be very difficult and extremely costly.

- **Budget Realities.** The desire to identify options that will fit within the existing budget is a significant constraint. Ultimately, only the President and Congress can determine what is affordable in the context of other major national financial demands. Human spaceflight is not a short-term commitment, and it requires budget stability for decades in order to achieve its goals. Year-to-year funding will affect NASA's ability to successfully implement strategic decisions that can reduce total life-cycle cost. The Committee recognizes that operating costs have a sustained and significant impact on the budget, and they may limit the ability to start new efforts critical to achieving human spaceflight goals. For the purpose of this assessment, the Committee chose to represent the full cost of the programs and did not assume any financial contributions by international partners.

These dimensions were expanded into 12 criteria by which Integrated Options could be compared. The Committee clearly recognized that for each option there is some degree of uncertainty as to the magnitude of the influence and interdependence across the three dimensions. The Committee selected the following criteria as the basis for evaluation:

- 1) **Exploration Preparation.** Since the nature of exploration is, by definition, uncertain and subject to the surprise of discovery, it is important to establish a robust program that provides the opportunity to demonstrate technology, systems and operations that will be important in future exploration—specifically, a program that can be adapted to explore destinations that facilitate missions to Mars.
- 2) **Technology Innovation.** Integrated Options should enable technology maturation and foster the development of new modes of exploration, in addition to creating new technologies and new engineering knowledge that will enhance exploration. Technology development should also provide the opportunity to demonstrate national leadership in innovation. Technologies that are of use to stakeholders beyond NASA can be critical to the nation as a whole and should be sought during the exploration effort.
- 3) **Science Knowledge.** Integrated Options should address research areas critical to the scientific community as defined by the National Academies' decadal survey priorities. They should include an implementation plan that supports accomplishment of the prescribed research as a key product of the mission. The Committee recognizes that for some decadal survey priorities, the requirement for the human spaceflight program is simply to do no harm. However, science can be enhanced by human exploration, particularly of complex environments, and by providing the ability to service scientific facilities in space.
- 4) **Expanding and Protecting Human Civilization.** Integrated Options should lead to the possibility of a sustained off-planet human presence. They should also support research for physiological effects associated with radiation and zero- or low-g, as well as psychological stress associated with long-duration remote exploration. Finally, an option is more highly rated if it will aid in the protection of human civilization against a near-Earth-object impact.
- 5) **Economic Expansion.** Integrated Options should encourage and stimulate a growing, profitable industrial base. They should provide an opportunity for a sustained commercial engagement, and they should help increase U.S. development and production capabilities. Those capabilities would, in turn, increase the nation's international competitiveness, as well as ultimately lower the cost of space transportation and operation.
- 6) **Global Partnerships.** Integrated Options should provide the opportunity to strengthen and expand international partnerships in the human spaceflight program. These would include existing international partners, but should not preclude expansion to new partners, and would allow partners to make contributions that could be on the critical path to mission success. Participation by other countries would be advantageous not only from the perspective of encouraging global cooperation, but also in terms of creating opportunities for synergistic research, risk reduction, cost-sharing and technology interchange.
- 7) **Public Engagement.** Integrated Options should inspire current and future generations, educate the public about the opportunities and societal benefits gained from space missions, and motivate young people to pursue an education in science, technology, engineering and mathematics, followed by careers that capitalize on this education. Options that provide the opportunity for regular visible accomplishments can galvanize broad public interest in exploration.
- 8) **Schedule and Programmatic Risk.** Integrated Options should be formulated to deliver a stated exploration capability on schedule. The technical design should be robust, and technologies required should be reasonably mature, with sufficient schedule and technical margins to support risk mitigation.
- 9) **Mission Safety Challenges.** The Committee did not carry forward any Integrated Option that failed to provide for reasonable crew safety and overall mission success. Therefore, to discriminate among

options, the Committee assessed the relative risk and complexity of mission scenarios and the likely impact on crew safety and mission reliability. Human exploration beyond low-Earth orbit will be riskier than current human spaceflight activities, since such exploration requires doing things that have not been done before, and the options for recovery will be limited. Missions that involve beyond-lunar landings, beyond-near-Earth-orbit fly-bys, or complex orbital operations will have mission profiles with a significantly increased safety challenge.

10) Workforce Impact. The Committee evaluated the impact on the workforce in two ways: 1) the impact on national critical skills; and 2) the impact on the total NASA and industrial workforce. Each option was assessed for its potential impact on the critical skills across the nation and the ability to retain or develop the needed critical skills and expertise needed both in industry and at NASA. The impact on the total workforce is an assessment of the potential for a reduction of employment as a function of the dollar investment in human spaceflight.

11) Programmatic Sustainability. Integrated Options should have a broad base of support for ongoing future funding. They should have a manageable impact on pre-existing contracts and enable a smooth transition from current human spaceflight operations. Support and advocacy for the option could come from other government agencies, space-related organizations, industry and Congress.

12) Life-Cycle Cost. The FY 2010 budget for NASA's future human exploration programs, including operations cost through 2020, is roughly \$99 billion. The Committee was tasked to provide at least two options within this budget profile, and if appropriate, provide options that are somewhat less-constrained. Clearly, a program that meets the current budget with high value in other categories would be the ideal in complying with the Committee's charter.

The Committee deliberated at length in public meetings about the advantages and disadvantages of each option with respect to these 12 criteria. Wherever possible, quantitative analytical assessments were utilized to inform the ratings. In the end, however, it was usually necessary to interpret the available information through the considered judgments of the 10 members of the Committee, based on their experience in space matters. The results were captured using a score of -2 (least benefit), -1, 0, 1 or 2 (most benefit) for each attribute of each Integrated Option.

■ 6.2 KEY DECISIONS AND INTEGRATED OPTIONS

6.2.1 Key Decisions

The future of U.S. human spaceflight in the upcoming decades can be formulated in terms of five key questions, and the associated choices for answering each one. The

questions, outlined in Chapter 1 and discussed further, with potential answers, in Chapters 3, 4 and 5, are:

1. What should be the future of the Space Shuttle?

- Prudent fly-out of remaining flights (currently part of NASA policy, but FY 2011 funding is not part of the President's budget).
- Extend Shuttle through 2015 at minimum flight rate (only likely in conjunction with extending the ISS, and developing a directly Shuttle-derived heavy-lift vehicle).

This question will be examined in Section 6.4, in which some Integrated Options extend the Shuttle life and others do not.

2. What should be the future of the International Space Station (ISS)?

- Terminate U.S. participation in the ISS by the end of 2015.
- Continue U.S. participation, through at least 2020 (probably at an enhanced level of U.S. utilization).

This decision will be discussed in Section 6.4, in which some Integrated Options extend the utilization of the ISS by the U.S. and others do not.

3. On what should the next heavy-lift launch vehicle be based?

- Ares I plus Ares V.
- Ares V Lite dual launch, with no refueling required for lunar missions, but enhanced with (potentially commercial) refueling for more demanding missions.
- Directly Shuttle-derived vehicle, enhanced with (potentially commercial) refueling.
- Evolved Expendable Launch Vehicle (EELV)-heritage "super heavy" vehicle enhanced with (potentially commercial) refueling.

This discussion is contained in two sections of the chapter. Section 6.4 includes a comparison of the Ares I plus Ares V architecture with the Ares V Lite dual launch architecture. Later, the Ares family and other options are contrasted in Section 6.5.

4. How should crews be carried to low-Earth orbit?

- U.S.-government-provided systems.
- Commercially provided systems (with backup by U.S. government system).

Section 6.4 contains Integrated Options that include both choices.

	Budget	Shuttle Life	ISS Life	Heavy Launch	Crew to LEO
Constrained Options					
Option 1: Program of Record (constrained)	FY10 Budget	2011	2015	Ares V	Ares I + Orion
Option 2: ISS + Lunar (constrained)	FY10 Budget	2011	2020	Ares V Lite	Commercial
Moon First Options					
Option 3: Baseline - Program of Record	Less constrained	2011	2015	Ares V	Ares I + Orion
Option 4A: Moon First - Ares Lite	Less constrained	2011	2020	Ares V Lite	Commercial
Option 4B: Moon First - Extend Shuttle	Less constrained	2015	2020	Directly Shuttle Derived + refueling	Commercial
Flexible Path Options					
Option 5A: Flexible Path - Ares Lite	Less constrained	2011	2020	Ares V Lite	Commercial
Option 5B: Flexible Path - EELV Heritage	Less constrained	2011	2020	75mt EELV + refueling	Commercial
Option 5C: Flexible Path - Shuttle Derived	Less constrained	2011	2020	Directly Shuttle Derived + refueling	Commercial

Note : Program-of-Record-derived options (Options 1 and 3) do not contain a technology program; all others do.

Figure 6.2.2-1. A summary of the Integrated Options evaluated by the Committee. Source : Review of U.S. Human Spaceflight Plans Committee

5. What is the most practicable strategy for exploration beyond low-Earth orbit?

- Moon First on the Way to Mars, with lunar surface exploration focused on developing capability for Mars.
- Flexible Path to Mars via the inner solar system objects and locations, with no immediate plan for surface exploration, then followed by exploration of the lunar and/or Martian surface.

Section 6.4 contains Integrated Options that have the Moon First as their strategy, while Section 6.5 presents those that explore along the Flexible Path. The cross-case analysis of these options will be presented in Section 6.6.

The Committee addressed one other underlying question: what meaningful exploration program beyond low-Earth orbit could be executed within the budget constraints represented in the FY 2010 budget. This will be the topic of Section 6.3.

The Committee considers the framing and answering of these questions, individually and consistently, to be its principal evaluation of the potential U.S. human spaceflight plans. The Integrated Options were prepared in order to understand the interactions of the decisions, particularly with regard to cost and schedule. By formulating the Integrated Options, the Committee did not mean to constrain the possible final decision, but only to inform it. Other reasonable and consistent combinations of the choices are obviously possible (each with its own cost and schedule implications), and these could also be considered as alternatives. The Integrated Options evaluated are intended to represent the

families of options, yet without presenting an unmanageable number of alternatives. The Committee, in keeping with its charter, expresses no preference among these families, but does discuss the various advantages and disadvantages with respect to the evaluation criteria (without weighing those attributes).

6.2.2 Integrated Options

The Committee has defined five principal Integrated Options for human spaceflight. These have been selected from the more than 3,000 possible alternatives. Even after defining the choices for the five key decisions, 62 different options are possible. Not all of these combinations are worth considering—for example, it makes little sense to extend the Shuttle life if the heavy lift will be based on EELV heritage.

The five Integrated Options considered by the Committee are: one Baseline case, founded on the current Constellation Program, plus four alternate options, summarized for reference in Figure 6.2.2-1. The first two Integrated Options represent attempts by the Committee to develop alternatives that are compatible with the FY 2010 budget profile. Each follows an approach that is principally aimed at lunar exploration. Option 1 uses the content of the Program of Record, while Option 2 extends the ISS, uses commercial crew delivery, skips development of the Ares I, and uses the Ares V Lite as the sole NASA launcher. (See Figure 6.2.2-2.) The Baseline (Option 3) and the remaining two options are all fit to the same, less-constrained budget profile. Options 3 and 4 are also lunar-orientated strategies. Option 3 is an implementable version of the Program of Record, with nearly exactly the content of the Constellation Program, but with two minor changes in funding that the Committee found necessary: to extend the Shuttle into

	Elements 2010-2020	Elements 2021-2030
Constrained Options		
Option 1: Program of Record (constrained)	Shuttle, ISS, Ares I, Orion	Ares I, Ares V, Orion
Option 2: ISS + Lunar (constrained)	Shuttle, ISS, Commercial Crew Transport	Ares V Lite, Orion
Moon First Options		
Option 3: Baseline Program of Record	Shuttle, ISS, Ares I, Orion	Ares I, Ares V, Orion, Altair, Lunar Surface Systems
Option 4A: Moon First - Ares V Lite	Shuttle, ISS, Commercial Crew Transport	Ares V Lite, Orion, Altair, Lunar Surface Systems
Option 4B: Moon First - Extend Shuttle	Shuttle, ISS, Commercial Crew Transport	Directly Shuttle Derived Launcher, Orion, Commercial Crew Transport, On-Orbit Refueling, Altair, Lunar Surface Systems
Flexible Path Options		
Option 5A: Flexible Path - Ares V Lite	Shuttle, ISS, Commercial Crew Transport	Ares V Lite, Orion, On-Orbit Refueling, In-space Habitat and Propulsion, Hybrid Light Lunar Lander, Light Lunar Surface Systems
Option 5B: Flexible Path - EELV Derived	Shuttle, ISS, Commercial Crew Transport	EELV Derived Super Heavy, Orion, Commercial Crew Transport, On-Orbit Refueling, In-space Habitat and Propulsion, Hybrid Light Lunar Lander, Light Lunar Surface Systems
Option 5C: Flexible Path - Shuttle Derived	Shuttle, ISS, Commercial Crew Transport	Directly Shuttle Derived Launcher, Orion, Commercial Crew Transport, On-Orbit Refueling, In-space Habitat and Propulsion, Hybrid Light Lunar Lander, Light Lunar Surface Systems

Figure 6.2.2-2. Principal elements developed or used in the Integrated Options. Source : Review of U.S. Human Spaceflight Plans Committee

FY 2011, and to deorbit the ISS in 2016. Option 4 again extends the ISS, Lite and commercial crew. Option 5 is the one based on the Flexible Path. Integrated Options 4 and 5 are explored in several variants that principally examine the sensitivity to the heavy-launch systems. A more visual representation, showing a possible decision logic among these options, is shown in Figure 6.2.2-3.

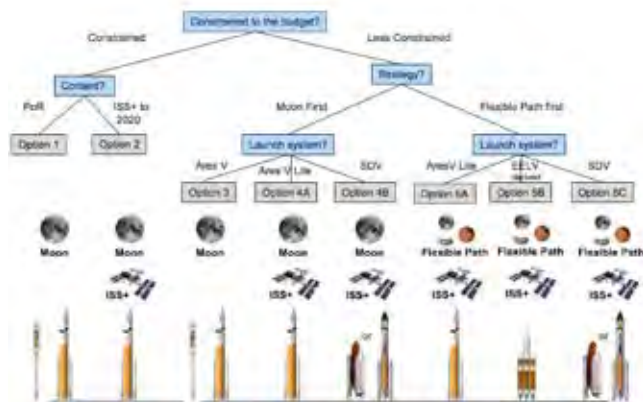


Figure 6.2.2-3. Suggested Integrated Option decision tree, showing the principal features of the options. Source: Review of U.S. Human Spaceflight Plans Committee

6.2.3 Methodology for Analyzing the Integrated Options

The committee used a synthesized cost-schedule-value methodology to assess the Integrated Options. In the first step, the Committee created the Integrated Options that have been presented in Figure 6.2.2-1. These options were intended to link together representative combinations of the outcomes. The Committee included the Baseline case of the Program of Record in the mix. The other Integrated Options were chosen

to allow some of the key trades (destination, launch vehicles, etc.) to be contrasted.

The Committee used two candidate budget profiles for examining the Integrated Options. In the first, the guidance of the FY 2010 budget was enforced. This is called the “Constrained Case” or simply FY 2010 Budget Case, as shown in Figure 6.2.3-1. It became apparent to the Committee that options were needed that were not constrained to the FY 2010 budget. For planning and evaluation purposes, the Committee created a second budget profile that rose from the FY 2010 budget number to a sum \$3 billion higher in 2014, and then rose at an expected inflation rate of 2.4 percent thereafter (Figure 6.2.3-1). Thus, by combining the five key decisions and the two budgetary scenarios, the Committee produced the five Integrated Options, with variants, that are listed in Figure 6.2.2-1.

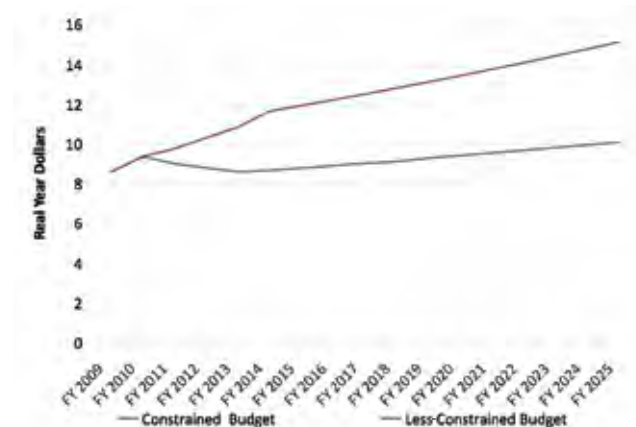


Figure 6.2.3-1. Two budgetary assumptions used by the Committee: the constrained budget which follows the guidance in the FY 2010 budget, and a less-constrained planning budget developed by the Committee. Source : Review of U.S. Human Spaceflight Plans Committee

AFFORDABILITY METHODOLOGY EMPLOYED BY THE AEROSPACE CORPORATION

- All costs and schedules are specified at 65 percent confidence level
- Impact of interdependence of individual program element schedules on overall schedule and cost are included
- Cost growth factors are derived from 77 actual NASA programs (Note: Subset of human spaceflight programs experience approximately double the factor used herein; hence, "present analysis" may underestimate cost growth)
- Impact of schedule slippage on cost is included in model
- Cost growth factors specific to operations (*vis a vis* development) are used where appropriate
- Cost growth factors are applied only to "to go" costs
- Prior cost growth and reserves are "credited" against program total cost
- Program interdependencies included via Monte Carlo analysis

Above approach permits comparison of programs having various degrees of maturity.

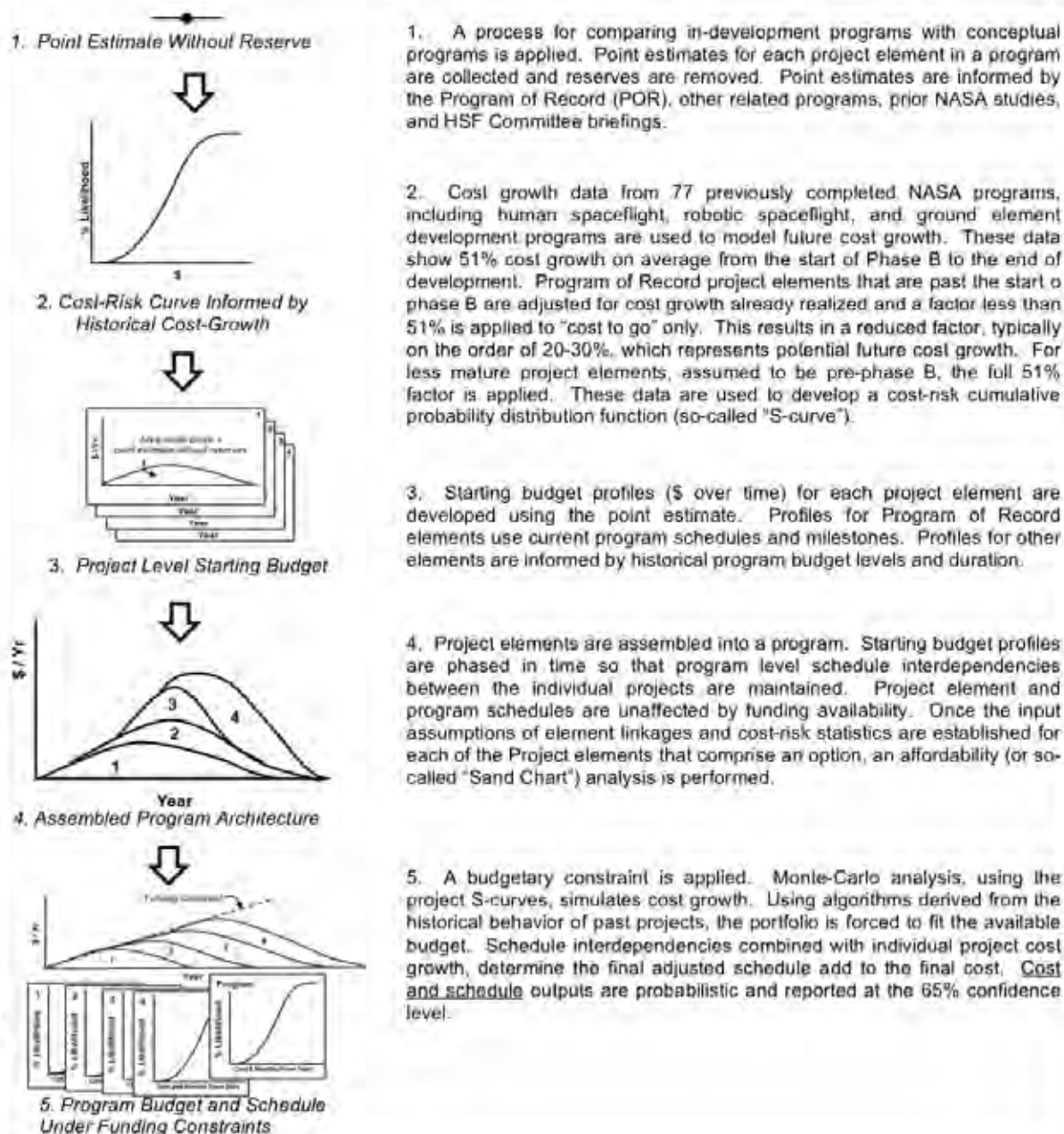


Figure 6.2.3-2. Cost and Schedule methodology used by the Aerospace Corporation for affordability analysis of Integrated Options. Source: Aerospace Corporation

To assess the benefits and risks of these Integrated Options, the Committee next applied the evaluation criteria that had been selected (Section 6.1). In order to assess cost and schedule implications, the Committee then examined the Integrated Options using the affordability analysis tool. The Committee created a set of assumptions and ground rules for this analysis. These included budget profiles for the constrained and less-constrained budgets and input manifests for each option.

The costs used by the Committee are intended to show the full cost of a program, and do not take into account any potential contribution by international partners. Much of the cost data input into the analysis originated from the Constellation Program. In those cases where the program cost estimates already contained a credit for an international contribution, this credit was removed by the Committee in order to show full cost. In other cases, the Committee itself or other sources helped to define the input. For example, the EELV super-heavy-launcher costs were based on Aerospace Corporation examination of the EELV development plans. The organizational transition costs in Option 5B were provided by NASA Headquarters. The in-space habitat in Options 5 was the result of an assessment of comparables performed by Aerospace. The Committee provided estimates for the commercial crew-to-low-Earth orbit costs, as discussed in Section 5.3.3.

The Aerospace team conducted the affordability analysis using the process described in Figure 6.2.3-2. This analysis outputs key dates and element costs at the 65 percent confidence level. It also estimates the uncertainty on dates and costs. Output manifests are derived based on the 65th percentile confidence level, and are illustrative of the pace of missions and elements utilized. The affordability analysis corrects the input cost in several ways. First, it estimates a range of expected growth of the cost for each program element from System Design Review (SDR, Start of Phase B) to completion, based on historical data of NASA programs. At the average, this introduces a 51 percent growth from the estimate held at SDR in the cost for development (DDT&E costs). For elements that have not reached their SDR, such as the Ares V or commercial crew service, this full correction was applied. For elements that have passed their SDR, credit was given for subsequent development and maturity of the design. For example, the mean cost of the Orion in the analysis, due to this factor, is only 25 percent higher than would be reported by the Program of Record *at the mean*. Other, more mature programs, such as the Ares I, receive credit by a similar process. In operations, a 26 percent growth factor was applied to unproven systems, and no growth factor at the mean was applied to existing systems such as the Shuttle or the ISS, or to defined budget items such as the technology program.

NASA Headquarters asked the Program of Record to report cost and schedule at the 65 percent level, and the Committee attempted to report in a consistent manner. Note that on average, the difference between the mean of expected costs and the 65 percent confidence costs adds about 10 percent to all program costs calculated. Finally, the affordability analysis combines the development schedule of all the

elements of the program. This process accounts for the additional cost to one element if another element it depends upon slips in its schedule. This integration of elements typically adds about an additional 10 percent to the total program costs, higher in more-constrained budgets, and lower in less-constrained budgets.

The Committee then examined the outputs of the affordability analyses, and it made interpretations to extract from them the primary information of interest, recognizing the inherent uncertainty in the analysis. The reporting by the Committee attempts to focus on its interpretation of the key milestones and associated uncertainties, and the pace of events after the initial milestone.

6.2.4 Reference Cases of the Entirely Unconstrained Program of Record

Unconstrained Program of Record: As an example of the affordability methodology applied to an actual integrated scenario, the reference case of an “implementable” version of the current Constellation Program of Record, unconstrained by *any* budget whatsoever, was analyzed. This implementable version contains only two slight variations from the actual program, instituted by the Committee: the provision for the Shuttle to be flown out in 2011 and additional funds for the deorbit of the ISS in 2016, after withdrawal of U.S. participation at the end of 2015. Note that the ISS is not extended to 2020 in this particular reference case.

As assessed by the Committee, this case delivers Ares I/Orion in late 2016, achieves human lunar return by the early 2020s, and a human-tended lunar outpost a few years later. These are very close to the dates held internally by the Constellation Program. However, the Committee’s analysis indicates that in order to achieve the milestones on that schedule, the implementable Program of Record requires, in real-year dollars (stated at 65 percent confidence):

- About \$145 billion over the period from 2010 to 2020, which is:
- About \$45 billion over the guidance of the President’s FY 2010 budget through 2020, and
- About \$17 billion more than what is provided in the “less-constrained budget.”
- The expenditures reach \$14 billion per year in FY 2016, about \$2 billion above the “less-constrained budget” and \$5 billion over the FY 2010 budget for that year.
- The expenditures reach over \$16 billion per year at their peak in FY 2019, \$3 billion above the “less-constrained budget” and \$7 billion over the FY 2010 budget for that year.

Thus, both the Program of Record, as assessed by the Constellation Program, and the unconstrained implementable version of the Program of Record, as assessed by the Committee, deliver Ares I and Orion in the mid-to-late 2010s, and they both have human lunar return in the early

2020s. Neither provides for extension of the ISS, or a space technology program of significance. The Committee's finding is that the totally unconstrained implementable version of the Program of Record would significantly exceed even the "less-constrained budget."

Unconstrained Program of Record with the ISS Extension: This case would be identical to the above version of the Program of Record, but with the extension of the ISS to 2020. Since the budget for this case is unconstrained, no milestones slip, but more funds must be added to the NASA budget to operate the ISS in the years between 2016 and 2020.

In this reference case, the Ares I/Orion again are delivered in late 2016, human lunar return is accomplished by the early 2020s, and a human-tended lunar outpost is developed a few years later, again close to the dates held internally by the Constellation Program. The ISS is extended to 2020. But in order to achieve these milestones, this variant of the Program of Record requires, at a 65 percent confidence level, and in real-year dollars:

- About \$159 billion over the period from 2010 to 2020, which is:
- About \$59 billion over the guidance of the President's FY 2010 budget through 2020, and
- About \$31 billion more than provided in the "less-constrained budget."
- The expenditures reach \$15 billion per year in FY 2016, about \$3 billion above the "less-constrained budget" and \$6 billion over the FY 2010 budget for that year.
- The expenditures reach about \$19 billion per year at their peak in FY 2019, \$6 billion above the "less-constrained budget" and \$10 billion over the FY 2010 budget for that year.

Furthermore, the technology budget is a small fraction of a billion dollars each year.

Although these two reference options represent the greatest continuity from the existing Program of Record, the Committee did not include them in the Integrated Options because they greatly exceed the FY 2010 budget profile and because the Committee does not consider them to be programmatically competitive with the Integrated Options discussed below.

■ 6.3 INTEGRATED OPTIONS CONSTRAINED TO THE FY 2010 BUDGET

6.3.1 Evaluation of Integrated Options 1 and 2

The Committee was asked to provide two options that fit within the FY 2010 budget profile. That funding profile is shown in Figure 6.2.3-1. It is essentially flat or decreasing through 2014, then increases at 1.4 percent per year

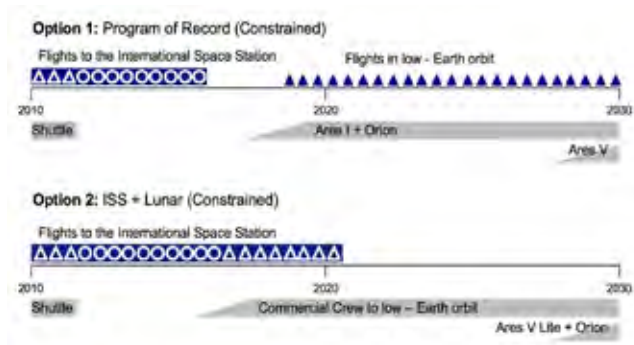


Figure 6.3.1-1. Timelines of the two Integrated Options constrained to the FY 2010 budget-milestones (above the line) indicate pace of activity, while ramped bars (below the line) indicate approximate range of uncertainty in the date at which the capability becomes available. Source: Review of U.S. Human Spaceflight Plans Committee

thereafter, which is slower than the 2.4 percent inflation rate used by the Committee.

Option 1. Program of Record as assessed by the Committee, constrained to the FY 2010 budget.

This option is the Constellation Program of Record, with only three changes: providing funds for the Shuttle into FY 2011; including sufficient funds to de-orbit the ISS in 2016, and constraining the expenditures to the FY 2010 budget. Under this option the Shuttle retires in FY 2011, and until its retirement in 2015, international crew carriers are used to rotate U.S. crews to the ISS. When constrained to the FY 2010 budget profile, Ares I and Orion are not available until the latter years of the 2010s, after the ISS has been de-orbited, as shown in Figure 6.3.1-1. Starting in the late 2010s, piloted flights in the Ares I and Orion could begin at a pace of several flights per year, but with no specific destination defined. The heavy-lift Ares V is not available until the late 2020s, allowing only orbital flights to the Moon. In addition, there are insufficient funds to develop the lunar lander and lunar surface systems until well into the 2030s, if ever. (See Figure 6.2.2-2.) In short, this program operates within current FY 2010 budget constraints, but offers little or no apparent value.

Option 2. The ISS and Lunar Exploration, constrained to FY 2010 budget.

This option extends the ISS to 2020 and begins a program of lunar exploration using Ares V Lite in the dual launch mode. The option assumes Shuttle fly-out in FY 2011, and it includes a technology development program, a program to develop commercial crew services to low-Earth orbit, and funds for enhanced utilization of the ISS. As shown in Figure 6.3.1-1, the Shuttle retires in FY 2011, and international providers rotate crew to the ISS until U.S. commercial crew services become available in the mid-to-late 2010s. Those providers are used to rotate the ISS crew until the Space Station's retirement in 2020. This option does not deliver heavy-lift capability with the Ares V Lite plus Orion until the late 2020s and does not have funds to develop the systems needed to land on or explore the Moon. (See Figure 6.2.2-2.)

The Committee applied its evaluation criteria to assess Integrated Options 1 and 2, as shown in Figure 6.3.1-2. The analysis shows that Option 2, the ISS and Lunar Exploration, outperforms or equals Option 1 in all criteria. It has an equal (but low) Exploration Preparation rating, and performs equally on Science Knowledge, Public Engagement, Schedule Performance, Mission Safety Challenge, and Workforce Impact criteria. Option 2 is more highly rated under several criteria:

- The technology investment provides a higher rating in Technology.
- The extension of the ISS improves its ratings in Human Civilization because of the added micro-gravity human physiology experience gained aboard the ISS, and in Global Partnerships because of the continued engagement of the international partners.
- Use of the commercial crew produces higher scores for Economic Development, and an improved score due to lower Life Cycle Costs.
- The resulting stronger advocacy increases the rating for Sustainability.



Figure 6.3.1-2. Relative evaluation of factors under Option 1 (implementable Program of Record) and Option 2 (ISS + Lunar), both constrained to the FY 2010 budget profile. Options closer to the center are of lesser value. Source: Review of U.S. Human Spaceflight Plans Committee

6.3.2 Examination of alternate budget guidance

The first two Integrated Options allow examination of the underlying question: what meaningful exploration program beyond low-Earth orbit could be executed within the budget constraints represented in the FY 2010 budget? The Committee concludes that two executable alternatives comply with FY 2010 funding guidance. Option 2 is scored on the evaluation criteria more highly than Option 1, which provides for neither

the ISS extension nor technology development, but neither alternative provides for a viable exploration program.

In the process of developing these options, the Committee conducted a sensitivity analysis to determine whether any reasonable exploration program (e.g., with different heavy-lift vehicles, or a different exploration destination) would fit within the FY 2010 budget guidance. The Committee could find none. In addition, the Committee tried to develop a variant of the Flexible Path that fit within the FY 2010 budget, and such a variant looked no more promising than Option 2, with the first missions beyond low-Earth orbit in the late 2020s.

This analysis led the Committee to its finding that human exploration beyond low-Earth orbit is not viable under the FY 2010 budget guideline. It would be possible to continue the ISS and a program of human activity in low-Earth orbit within this budget guidance, and to develop the technology for future exploration, but the budget limitation would delay meaningful exploration well into the 2020s or beyond.

6.4 MOON FIRST INTEGRATED OPTIONS FIT TO THE LESS-CONSTRAINED BUDGET

6.4.1 Evaluation of Integrated Options 3 and 4

Option 3 and Option 4 (and its variants) pursue the Moon First exploration strategy, but are not constrained to the FY 2010 budget profile. Rather, they are fit to the “less-constrained” planning budget that the Committee developed. That budget profile, shown in Figure 6.2.3-1, increases to \$3 billion above the FY 2010 guidance between FY 2011 and FY 2014, and then grows with inflation at an expected inflation rate of 2.4 percent per year.

While it was formulating Integrated Options, the Committee quickly realized that viable options could not be found within the constrained budget. It then examined potential increases in the budget that would enable a sustainable and executable human spaceflight program. By examining several different potential expenditure profiles, the Committee arrived at the above investment level that would provide for the extension of the ISS, allow progress towards exploration beyond low-Earth orbit, and make an investment in technology. It provided a useful standard by which various options could be compared in a meaningful way.

Option 3. Baseline Case: Implementable Program of Record. This is an executable version of the Program of Record. (See Figure 6.2.2-2.) It consists of the content and sequence of that program: de-orbiting the ISS in 2016; developing Orion, Ares I and Ares V; and beginning exploration of the Moon. The Committee made only two additions it felt essential: budgeting for the fly-out of the Shuttle in 2011 and including additional funds for the ISS de-orbit. The Committee then applied the less-constrained budget profile.

The Committee’s assessment of the schedule outcome for this option is shown in Figure 6.4.1-1. Under that schedule, the Shuttle retires in FY 2011, and international crew services

ferry U.S. crews to the ISS until its retirement in 2015. The option delivers Ares I/Orion in the mid-to-late 2010s, and flights to low-Earth orbit, but with no specific destination as yet definable. The Ares V becomes available, and human lunar return occurs in the mid-2020s. With a pace of about two flights per year, a lunar base begins to function about three years later.

Although not included by the Committee as an Integrated Option, a variant of Option 3 is possible that extends the ISS to 2020, adds the technology program, and maintains all of the other content of Option 3. Some would argue that this variant is actually the reference program on which NASA is embarked. But it should be emphasized that so far, no funds have specifically been allocated for continuing the ISS after 2015. The Constellation Program, in its planning, assumed that funds that had been previously used for the operation of the ISS would become available to Constellation by 2016. Additionally, no funds were explicitly in the NASA plans for a robust, broad-based technology development program.

The impact of extending the ISS to 2020 is to require the additional expenditure of about \$14 billion between 2015 and 2020. This additional expenditure, plus the technology program, combined with developing the Ares I and Orion within the “less-constrained budget,” causes significant slips to subsequent milestones. Orion and Ares I do not become available until the late 2010s, serving the last few years of the ISS. The development of heavy lift and its use in human lunar return slips to the late 2020s, so that the Ares I and Orion are left to either not fly at all for the better part of a decade, or fly in that interval in Earth orbit without the ISS as a destination. The Committee observed that the other options would be rated more highly by the evaluation process, so the Committee did not pursue this variant of Option 3.

Option 4. Moon First. This option preserves the Moon as the first destination for human exploration beyond low-Earth orbit. It also extends the ISS to 2020, funds technology advancement, uses commercial vehicles to carry crew to low-Earth orbit and funds the Space Shuttle into FY2011. There are two significantly different variants to this option. (See Figure 6.2.2-2.)

Variant 4A is the Ares V Lite variant. As shown in Figure 6.4.1-1, this option retires the Shuttle in FY 2011 and relies on international launch support for crew delivery until the U.S. commercial crew services become available in the mid-to-late 2010s. The commercial crew provider ferries crew to the ISS until its retirement in 2020, after which there is a gap in human flight activity until the Ares V Lite in the dual launch mode is available for lunar exploration in the mid-2020s. The beginnings of a lunar base follow about three or four years later.

Variant 4B is the Shuttle extension variant. This variant includes the only foreseeable way to close the gap in U.S. human-launch capability. As shown in Figure 6.4.1-1, this variant extends the Shuttle to 2015 at a minimum safe-flight rate. Shortly after the Shuttle is retired, commercial service picks up the ferrying of crew to the ISS until its retirement in 2020. This variant also takes advantage of synergy with

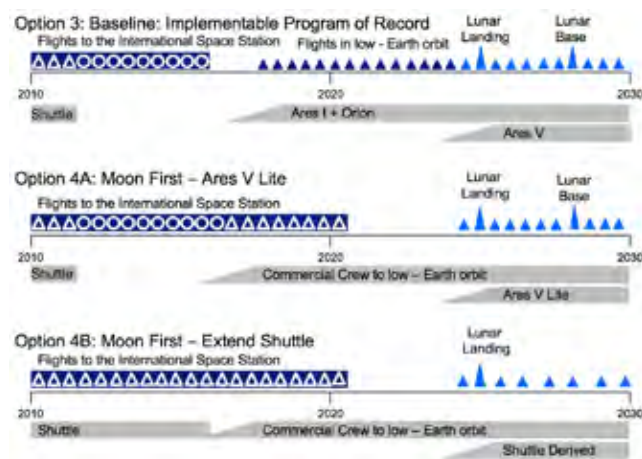


Figure 6.4.1-1. Timeline of the two Integrated Options (and variant) that follow the Moon First strategy, fit to the less-constrained budget. Milestones (above the line) indicate pace of activity, while ramped bars (below the line) indicate approximate range of uncertainty in the date at which the capability becomes available. Source: Review of U.S. Human Spaceflight Plans Committee

the Shuttle by developing the more directly Shuttle-derived heavy-lift vehicle. However, even with the “less-constrained budget,” the more directly Shuttle-derived heavy launcher is not available until the middle of the 2020s, 10 years after the Shuttle retires. Therefore, the problems of continuity of systems and workforce skills are diminished in the early years, but reappear later. The more directly Shuttle-derived system has a higher recurring cost, so the flight rate within the “less-constrained budget” drops below two crew flights per year to the Moon, and a lunar base slips beyond 2030.

Again the Committee applied its valuation process to these Integrated Options and variants that fit within the



Figure 6.4.1-2. Relative evaluation of factors in Option 3 (Baseline – Program of Record) and Option 4A (Moon First – Ares V Lite), subject to the less-constrained budget. Options closer to the center are of lesser value. Source: Review of U.S. Human Spaceflight Plans Committee

less-constrained budget and follow a Moon First strategy. The options can be compared in two steps. Figure 6.4.1-2 shows the comparison between Option 3, the Baseline, and Option 4A, the Ares V Lite dual launch variant of the Moon First architecture. This shows that Option 4A matches or surpasses Option 3 in all metrics considered. The sources of these differences are:

- The use of the more capable Ares V Lite dual launcher, coupled with the extension of the ISS, providing for more Exploration Preparation.
- The technology investment causes a higher score on the Technology evaluation.
- The extension of the ISS improves the ratings on Human Civilization (more information on human long-term adaptation to space) and Global Partnerships.
- The availability of commercial crew launch gives an edge in Life-Cycle Costs and in Economic Expansion.

Thus the Committee finds that even with the Ares V family of launchers, and the Moon as the destination, there are ways potentially to extract more value from the program than to follow the Baseline.

In a second comparison, Figure 6.4.1-3 shows the relative valuation of the two variants of Option 4, the Moon First. Here the scores indicate some counts on which the Ares V Lite variant 4A scores better than the Shuttle-derived variant 4B:

- The use of the more capable Ares V Lite dual launcher gives a higher score in Exploration Preparation.
- The use of the more economical Ares V Lite gives a better evaluation in Life-Cycle Costs.
- The more capable Ares V Lite dual launch allows simplification of launch and on-orbit operations, reducing Mission-Safety Risk.

In contrast, the more directly Shuttle-derived launcher in Option 4B scores better in Sustainability and Workforce Skills, both traceable to the continuation of the Shuttle system and workforce. This decision trades a more capable vehicle for more short-term benefit from advocacy and smaller workforce impact with the Shuttle-derived systems.

6.4.2 Examination of the key decision on the ISS extension

Comparison of Integrated Options 3 and 4A allow examination of the key decision concerning the future of the International Space Station: Should we stop U.S. participation in the ISS at the end of 2015, or continue U.S. participation, through at least 2020 (probably at an enhanced level of U.S. utilization)? The background for this question was presented in Section 4.2.

Extending the ISS would yield several benefits; chief among these is the support for global partnerships. By



Figure 6.4.1-3. Relative evaluation of factors in Option 4A (Moon First – Ares Lite) and Option 4B (Moon First – Extend Shuttle), subject to the less-constrained budget. Options closer to the center are of lesser value. Source: Review of U.S. Human Spaceflight Plans Committee

ending participation in 2015, the U.S. would voluntarily relinquish its leading role in this phase of international space exploration. By extending the ISS, we would further develop the international partnerships upon which the ISS is based, encouraging these ties to evolve into long-lasting relationships for space exploration. The return on investment on the part of the U.S. would be enhanced by 10 years of well-funded utilization in the 2010s, and by the operation of as a National Laboratory.

The ISS extension issue couples with Exploration Preparation. If properly used, the ISS could be a more effective testbed for development of the technologies and systems for exploration. Extending the ISS also leads to improved evaluation in Human Civilization. The extra years on the ISS would allow a better understanding of the adaptation of humans to micro-gravity, and the extension would produce more data for extremely long stays, important in planning for exploration.

The choice of ending U.S. participation in the ISS in 2105 really provides only one benefit, that of freeing up the roughly \$2.5 to \$3 billion per year needed to run the ISS, which can then be invested in the more rapid development of the exploration systems. The Committee's Integrated Option analyses show that if coupled to the choice of commercial crew launch system to low-Earth orbit and the Ares V Lite heavy lift choice, this expenditure on the ISS would delay the exploration of the Moon until the mid-2020s, only a few years after the most aggressive, unconstrained profile would accomplish it. (See Section 6.2.4.)

By applying the evaluation criteria it developed, the Committee finds extension of the ISS to 2020 to have greater value than the choice of ending U.S. participation in 2015.

6.4.3 Examination of the key decision on Ares V vs. Ares V Lite dual launch

Unlike the other four key decisions, each of which has been reduced in this chapter to two main choices, the decision on heavy lift is more complex, as indicated in Figure 5.2-1. The decision can be represented by three successive choices (Figure 6.4.3-1):

- At the highest level, the nation faces a choice of basing the heavy-lift capability on the NASA-heritage systems (Apollo and Shuttle) or on the EELV-heritage systems.
- Within the NASA-heritage systems are those more directly based on the Shuttle, and those that belong to the Ares family.
- Within the Ares family, there is the choice of Ares I plus Ares V system, currently planned, or the Ares V Lite (used in the dual mode for lunar missions) as the only vehicle developed.

The issues behind this set of decisions were discussed in Section 5.2. In this section, the third choice will be examined. Because of the structure of the Integrated Options, the best head-to-head comparison of the other decisions is in the Flexible Path options in Section 6.5.

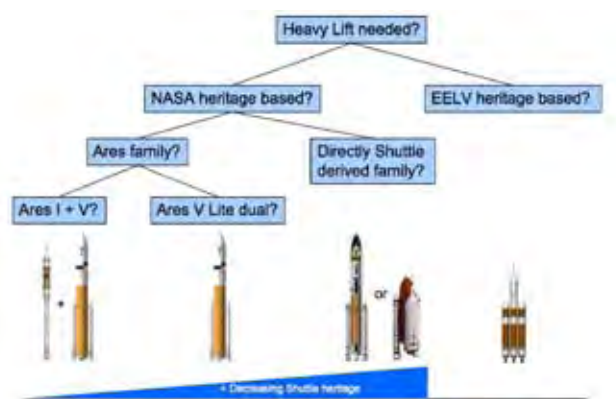


Figure 6.4.3-1. Suggested launch vehicle decision tree, showing the decisions within the Ares family, between Ares and Shuttle-derived launchers, and between NASA and EELV-heritage launchers. Source: Review of U.S. Human Spaceflight Plans Committee

The Committee considered the comparison of the Ares V Lite, which for lunar missions would be used in dual mode, with the current architecture of Ares I plus Ares V. Setting aside the issues of crew launch on the Ares I, which will be discussed in Section 6.4.4, the principal distinguishing feature of the two alternatives is the extra-heavy cargo launch capability that would be provided by the Ares V Lite dual launch during lunar exploration. A secondary distinction is the relatively greater capability of the Ares V compared with the relatively lower performance demands placed on the Ares V Lite.

The main criteria involved in this comparison are the Exploration Preparation, Schedule and Program Risks. The current Baseline Ares V has more launch capability than the Saturn V, but current NASA studies show that when used in combination with Ares I, it does not have enough launch capability to robustly deliver the currently planned landing and surface systems to the Moon. In order to deliver the greatest potential of the vehicle, many of the options to increase its performance have already been applied, including using 5.5-segment solid-rocket boosters (SRBs) and six RS-68-family engines (both of which require further development). There is a concern, expressed by some within NASA and by the Committee, that with performance already at the upper edge of what any Ares-V-family vehicle is likely to deliver, coupled with the potential weight growth of the payloads, the development will potentially face delays and added costs associated with weight-reduction campaigns.

In contrast, the Ares V Lite backs off on proposed performance by using a five-segment SRB (already in development) and five RS-68-family engines. Thus the developmental risk of the Ares V Lite is somewhat reduced. The essential difference between the two options is the use of two heavy lifters that for a lunar mission will give substantial margin, and likely save development schedule and cost. The use of the two launch vehicles now decouples the operation of the Orion and Altair. The Ares V Lite dual launch allows a more robust Orion to be built, one that is capable of missions on its own—for example, launch with an Earth Departure Stage (EDS) to a near-Earth object (NEO), which will be discussed in Section 6.5.

Programmatically, the choice of the Ares V (together with Ares I) unquestionably has less impact on current workflow or contracts. However, the Ares V Lite preserves some of the investment already made for Ares I, and would possibly allow some of the contract structure to stay in place. It would use the same five-segment SRB as the Ares I and the same J2-X engine for the Earth Departure stage. It would deliver a heavy-launch vehicle three to five years earlier than if the Ares I were built first (assuming the ISS is extended in both cases). Finally, construction of the Ares V Lite focuses NASA on the more challenging task of building the vehicle most needed for exploration, the heavy-lift booster.

The analysis performed for the Committee indicates that the Ares V Lite dual-launch scenario and the Ares I + Ares V scenario would have comparable operating costs. In a normal year of lunar exploration, for example, in the mid-2020s, there would be four missions to the Moon, two with crew and two with cargo. In the Ares I + Ares V architecture, this would require two Ares I launch vehicles and four Ares Vs. In the Ares V Lite architecture, this same set of missions would require six Ares V Lites. Considering NASA's high fixed recurring costs, the difference in total cost between four and six launches a year of the same system would be a small fraction of the annual cost. In the Ares I + Ares V architecture, NASA would have two of the six launches by a less expensive vehicle, but would have to operate two launch systems, with two processing flows at the Kennedy Space Center—offsetting the potential savings. While the Ares V

would nominally not have to be human-rated, the criticality of the payloads it would carry, and the NASA development culture, would likely (and appropriately) drive it to a nearly human-rated status.

Ares I may have higher single-launch ascent safety than Ares V. Both would be high-reliability rockets, with the same capsule and launch escape system. The first stage of the Ares I is considerably simpler; however, because of the higher dynamic pressure in the flight profile of the Ares I, and its solid-rocket motor, a capsule on the Ares I would have a more challenging separation from the booster than a capsule on the Ares V. Ares I is not planned to launch at a rate higher than two per year, raising questions about the sustainability of safe operations. In contrast, up to six Ares V Lites are planned to launch each year, about the average rate of Shuttle launches throughout that program's years of operation, contributing to a potentially higher demonstrated reliability.

There is widespread confusion about the findings of the Columbia Accident Investigation Board (CAIB) on the issue of mixing crew and cargo. The CAIB report said, "When cargo can be carried to the space station or other destinations by an expendable launch vehicle, it should be." (page 211 of the CAIB Report). That suggests that humans should not be put at risk to carry cargo (as they are in the Shuttle). The implication is *not* that humans should not be launched along with cargo if that makes sense (which was the case with Apollo).

Of the two vehicle choices, the Committee finds the Ares V Lite in the dual mode contributes to a higher score on the evaluation of options than does the Ares V. The critical difference is the use of the two Ares-V-family launchers for lunar missions. Even if the Ares I were to be built, the Committee's findings indicate that the exploration missions would benefit from using the Ares V Lite in the dual mode as described.

6.4.4 Examination of the key decision on the provision of crew transport to low-Earth orbit

The key question pertaining to crew launch to low-Earth orbit is whether to carry the crews on systems provided by the U.S. government or on commercially provided systems (with eventual operational backup by a U.S. government system).

The Exploration Systems Architecture Study (ESAS) of 2005 developed a plan to launch crew to the ISS, and to destinations beyond low-Earth orbit, using the Ares I. As discussed in the background on this decision in Section 5.3, this would be a launch system with very high ascent safety, would have high component commonality with the Ares V, and would provide NASA organizationally with an opportunity to develop the Ares I before undertaking the more complex Ares V.

The alternative is to terminate the development of the Ares I and instead proceed with development of a commercial launch service to low-Earth orbit for crew. If based on a high-reliability rocket, and with a capsule and launch escape system, this approach too could have high ascent safety. It would also have the potential for significantly lower development cost, and therefore be available about a year sooner. Once operating, it would have the potential for significantly lower recurring costs, allowing the more rapid development of systems for exploration beyond low-Earth orbit in a constrained resource environment. The development of commercial crew service is not without significant programmatic risk, as discussed in Section 5.3.

The choice of Ares I as the crew launcher was probably a sound choice in 2005. As is often observed, the rocket equation has not changed, so any reason that NASA would come to a different solution for crew transport to low-Earth orbit today than in 2005 would be due to changes in assumptions and constraints. The Committee in fact concludes that many of the assumptions on which the Ares I crew decision was based have changed. In contrast, the Committee found that the Orion should continue to be developed as a capable crew exploration vehicle, regardless of the decision on Ares I. Likewise, it should be emphasized that the Committee did not find any insurmountable technical issues with Ares I. With time and sufficient funds, NASA could develop, build and fly the Ares I successfully. The question is, should it?

First, from the perspective of schedule, the Committee observes that because of technological delays and the shortage of funds, Ares I will not effectively service the ISS, since the launch vehicle is expected to come on line in FY 2017, after retirement of the ISS. Even if the ISS is extended, within constrained resources, the Ares I and Orion will not be available until near the end of the decade, serving during only the last few years of the ISS.

As noted, safety is paramount. It is unquestionable that crews need access to low-Earth orbit at significantly lower risk than the Shuttle provides. The best architecture to assure such safe access would be the combination of a high-reliability rocket and a capsule with a launch escape system. While Ares I and Orion fit that description, so do other alternatives. The Committee was unconvinced that enough is

		Heavy-Lift Launch System Chosen	
		Ares I plus Ares V	Ares V Lite in dual mode
U.S. Participation in the ISS	End in 2015	2010-2020: 0 2020-2030: 10 or fewer	2010-2020: 0 2020-2030: 0
	Continue to 2020	2010-2020: 6 or fewer 2020-2030: 6 or fewer	2010-2020: 6 or fewer 2020-2030: 0

Figure 6.4.4-1. Estimated total number of Ares I flights with different decisions on heavy lift and U.S. participation in ISS, assuming less-constrained budgets and no Earth-orbital flights other than those that service the ISS. Source: Review of U.S. Human Spaceflight Plans Committee

known about the potential failures of any of the prospective high-reliability launchers plus capsule and launch escape systems to distinguish their safety in a meaningful way. The uncertainty in the safety models is large compared to the differences they predict, among competing systems, and it is clear that many of the failure modes observed in practice are not captured in the safety analysis.

The budgetary environment today is significantly more constrained than in the assumptions used for the ESAS. Despite the significant architectural commonality of the Ares I and Ares V, the program now estimates that Ares I will cost \$5 billion to \$6 billion to develop, even assuming that *all common costs are carried by the Ares V*. Within existing budget constraints, that will delay the availability of the Ares V to the mid-2020s if the ISS is not extended, and another several years if the ISS is extended. When it begins operations, the Ares I and Orion would be a very expensive system for crew transport to low-Earth orbit. Program estimates are that it would have a recurring cost of nearly \$1 billion per flight, even with the fixed infrastructure costs being carried by Ares V. The issue is that the Orion is a very capable vehicle for exploration, but it has far more capability than needed for a taxi to low-Earth orbit.

Another understanding that has changed since the ESAS was performed is the traffic model. Figure 6.4.4-1 indicates the number of operational flights of the Ares I based on the choices made in two other decisions, and based on projected flight rates and the schedules estimated for the *less-constrained budgets*. There are no Gemini-style missions included in this count that simply orbit and do not service the ISS. In none of the combinations are there more than about six Ares I flights in the next decade, or a dozen in the next two decades.

In the years since the ESAS, other conditions have changed as well. The NASA workforce has learned from the development of Ares I. With the approaching launch of the Ares I-X flight test vehicle, much of what will be learned may have already occurred. The sunk costs in Ares I will be partially recovered in the development of the Ares V, due to the commonality of the SRB, J2-X engine, etc. Further, a commercial space industry has continued to develop, in part due to the investment of NASA in the Commercial Orbital Transportation Services (COTS) Program. Thus, the use of commercial vehicles to transport crews to low-Earth orbit is much more of an option today than it might have been in 2005.

Moving towards commercial crew services will also contribute to the evaluation on Economic Expansion. Together with commercial launch services for cargo to the ISS, and potentially in-space refueling, the commercial crew options could further stimulate the development of a domestic competitive launch capability. Eventually, it could stimulate a commercial service for human transport to low-Earth orbit that would be available to other markets.

In summary, the Committee found more potential contribution to the evaluation of Integrated Options due to the development of a commercial crew service to low-

Earth orbit than in the continued development of the Ares I. Unfortunately, neither option is without problems. The Ares I would be safe, but late to serve the ISS and expensive to operate. It would not be operated very often, or many times in total. It would delay by years NASA's start on a heavy-lift launcher. Although some of the development so far will be applicable to the Ares V, terminating Ares I would cause programmatic disruption. On the other hand, programmatic commitment at this time to commercial crew service to low-Earth orbit has benefits and risks. It has the potential to be safe, sooner and significantly less expensive. It would allow NASA to share operating costs with other customers. While the domestic development capability is demonstrated, some of the systems are largely notional. The Committee finds that if this alternative is pursued, the backup of a human-ratable heavy launcher should be accelerated, as discussed in Section 5.3.

6.4.5 Examination of the key question on Shuttle extension

What should be the future of the Space Shuttle? A prudent fly-out of remaining flights (currently part of NASA policy, but FY 2011 funding for it is not part of the President's budget) or an extension of the Shuttle through 2015 at minimum flight rate? A third option, discussed in Section 4.1, of extending the Shuttle life by one flight is considered to be a variant of the 2011 fly-out option, which should be resolved by NASA.

The potential advantages of extending the Shuttle through 2015 at a low but safe flight rate are to continue to support the ISS with heavy logistics, to smooth the short-term workforce dislocation of Shuttle workforce, and to help preserve the critical workforce skills associated with launch operations. Extending the Shuttle would also help "close the gap" by delaying the retirement of the only system the U.S. currently has, or is likely to have in the next five years, to deliver humans to low-Earth orbit.

When viewed in the Integrated Options, some of these potential advantages are conditional. Extending the Shuttle in combination with developing the Ares I and Orion would not entirely close the U.S. crew-launch gap. If the Shuttle is retired in 2011, the Ares I plus Orion would become available in 2017, producing a gap of about 7 years. If the Shuttle is extended, within a fixed budget, the funds that would have paid for the development of the Ares I and Orion will be further limited, and that will delay their availability until late in the 2010s, producing a gap of at least several years at that time. Additionally, the infrastructure changes and workforce transition required for Ares I would be delayed. The gap is not closed, but shifts to the future. The only way to close the gap in U.S. crew launch is to commission a commercial service for transporting crew to low-Earth orbit—which, because it is potentially less expensive to develop, may, at some risk, be available by 2016, even with extension of the Shuttle. Other than this scenario, the Committee found no way to close the gap. The inclusion in many Integrated Options of reliance on international crew launch services is an indication that the Committee found this to be an acceptable alternative as an interim measure.

Extending the Shuttle would provide more up-mass and down-mass capability to the ISS in this interval, which would be a benefit. The current U.S. Space Transportation Policy, dated January 6, 2005, prohibits the government from taking actions that would put it in competition with commercial providers in space transportation. There is already a contract for NASA to buy commercial cargo launches, and it is not the Committee's intent that a possible Shuttle extension disrupt plans for those commercial flights. Any additional Shuttle flights would supplement the ability of the commercial carriers to service the ISS; the one integrated option that includes a Shuttle extension specifically includes the full manifest of commercial cargo flights through 2015. Extension of the Shuttle would require that the recertification done by NASA be verified, to ensure it is consistent with the CAIB recommendation. Shuttle retirement is the current NASA plan, which is a position supported by the Aerospace Safety Advisory Panel.

Extending the Shuttle would have a beneficial impact on the near-term workforce issues. Some workforce reductions would be indicated by the reduced flight rate proposed, but there would be several years in which to manage these reductions. In 2015, when the Shuttle finally retires, no NASA crew launch system would be available for several more years, and then the problem of maintaining key workforce skills would resurface. If, however, the commercial crew option were to be ready by 2016 or so, some national competence in crew launch would be nearly continuous.

Technically, extending the Shuttle makes the most sense if a directly Shuttle-derived vehicle is chosen to replace the Shuttle, which is the case in Option 4B. The relative advantages of this option are discussed below in Section 6.5.2.

Taking all factors into consideration, the decision to extend the Shuttle or not is a complex trade. Consideration of near-term access to low-Earth orbit, workforce and skills issues supports the extension. These benefits primarily materialize if the Shuttle extension is complemented by the development of commercial crew service to low-Earth orbit. The potential life-cycle costs and lower capability of the associated heavy launch system favor early retirement of the Shuttle.

■ 6.5 FLEXIBLE PATH INTEGRATED OPTIONS FIT TO THE LESS-CONSTRAINED BUDGET

6.5.1 Evaluation of Integrated Option 5

In the final family of options are those that pursue exploration using the Flexible Path strategy, discussed in Section 3.5. Like the Integrated Options in Section 6.4, these are not constrained to the FY 2010 budget profile. Rather, they are fit to the “less-constrained” planning budget that the Committee developed. As shown in Figure 6.2.3-1, that budget profile increases to \$3 billion above the FY 2010 guidance between FY 2011 and FY 2014, and then grows at a rate comparable to an expected inflation rate of 2.4 percent per year.

Option 5. Flexible Path. This option follows the Flexible Path as an exploration strategy. It operates the Shuttle into FY 2011, extends the ISS until 2020, and funds technology development. In all three variants, as shown in Figure 6.5.1-1, the commercial transport service becomes available in the mid-to-late 2010s to begin ferrying U.S. crew to the ISS. By the early 2020s, after the heavy-lift vehicle is developed, development of a small in-space habitat and an in-space restartable propulsion stage follows. All three variants also include a hybrid lunar lander that is smaller than the Altair. (See Figure 6.2.2-2.) The ascent stage is developed by NASA, but the descent stage is assumed to be commercially developed, building on the growing industrial capability pursuing NASA's Lunar Lander Challenge and the Google Lunar X-Prize. The commercial lander could also use the NASA-developed, in-space restartable engine that would be used for missions on the Flexible Path. There are three variants within this option; they differ only in the heavy-lift vehicle.

Variant 5A is the Ares V Lite variant. It develops the Ares V Lite, the most capable of the heavy-lift vehicles in this family of options. Figure 6.5.1-1 shows the schedule for this option. The Ares V Lite becomes available in the early 2020s, and the Flexible Path missions—to the Moon, Earth escape to Lagrange points or near-Earth objects, and a Mars fly-by—occur at about one-year intervals. Initial lunar landing takes place in the mid-to-late 2020s. Lunar build-up occurs at a rate of about two flights per year with the more capable Ares V Lite. On-orbit refueling, or the use of a second Ares V Lite, is necessary for the most energetic of the Flexible Path missions.

Variant 5B employs an EELV-heritage commercial super-heavy-lift launcher and assumes a different (and significantly reduced) role for NASA. It has an advantage of potentially lower operational costs, but requires significant restructuring

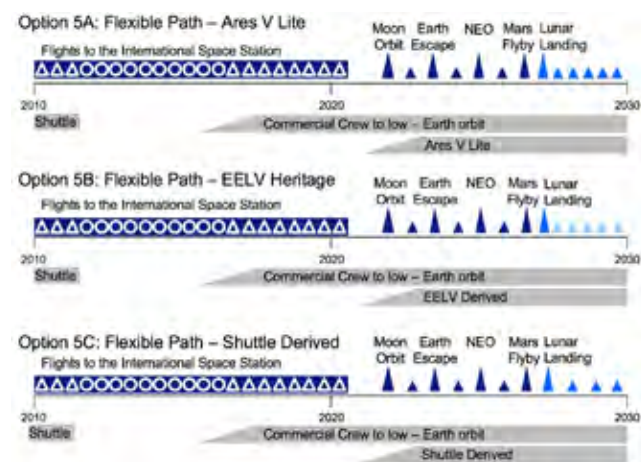


Figure 6.5.1-1. Timelines of the three Integrated Options that follow the Flexible Path strategy, fit to the less-constrained budget. Milestones (above the line) indicate pace of activity, while ramped bars (below the line) indicate approximate range of uncertainty in the date at which the capability becomes available. Source: Review of U.S. Human Spaceflight Plans Committee

of NASA. It follows the same timeline as variant 5A up to the landing on the Moon. On-orbit refueling is used for the Flexible Path missions. Thereafter, the EELV-heritage Super Heavy flies two missions of three launches per year to the Moon, but does not carry as much load on each mission (unless on-orbit refueling is used). Thus a slower lunar development, or the development of a less massive lunar infrastructure, results.

Variant 5C uses a directly Shuttle-derived, heavy-lift vehicle, taking maximum advantage of existing infrastructure, facilities and production capabilities. It, too, follows about the same timeline up to the first lunar landing, and uses on-orbit refueling for Flexible Path missions. When lunar missions begin, the higher recurring cost of the more directly Shuttle-derived heavy launcher causes a slower rate of lunar buildup.

Comparison of the three Flexible Path valuations is shown in Figure 6.5.1-2. The distinguishing features are:

- The Ares V Lite Option 5A has an edge in Exploration Preparation, due to the more capable vehicle, and in Mission Safety Challenge because the more capable vehicle requires less complex ground and on-orbit operations.
- The EELV-heritage Super Heavy Option 5B has an edge in technology, because it includes a new U.S.-developed large hydrocarbon engine, and the lowest (i.e. best) Life-Cycle Costs, due to the commercial nature of the operation. It does poorly in Sustainability, due to the disruption in contracts, workforce transitions and the new way of doing business that would be necessary at NASA.
- The more directly Shuttle-derived heavy launcher in Option 5C has an edge in Sustainability, due to advocacy for Shuttle-derived systems, but does poorly in Life-Cycle Costs.

6.5.2 Examination of the key question on Ares V family vs. Shuttle-derived heavy launcher

In Section 6.4.3, the decisions on heavy lift were outlined, and the comparison between the Ares V and Ares V Lite was discussed. In this section, the comparison between the Ares V family and the more directly Shuttle-derived launcher, as indicated by the decision tree of Figure 6.4.3-1, will be examined. The background for these decisions was presented in Section 5.2.

As discussed in Section 6.4.3 above, the two Ares V family launchers under consideration are the Ares V (with 5.5-segment SRBs and six RS-68-family engines) and the Ares V Lite (with 5-segment SRBs and five RS-68-family engines). In comparison with the directly Shuttle-derived vehicles, these differences among the Ares V family are small.

As also discussed in Section 5.2, the primary candidate for consideration from the more directly Shuttle-derived family is the in-line variant. This vehicle uses two

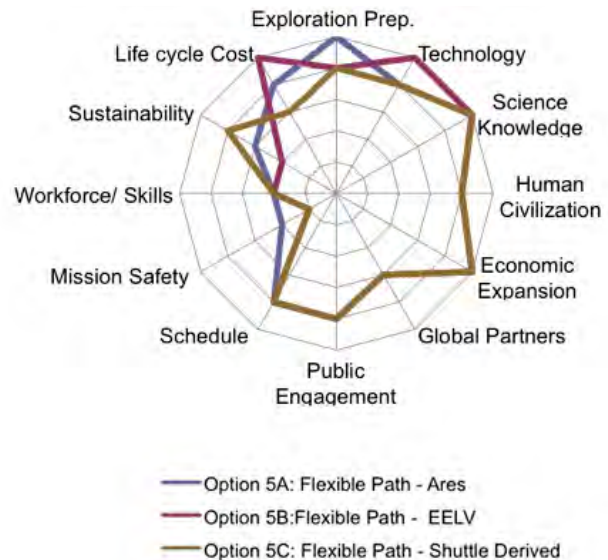


Figure 6.5.1-2. Relative evaluation of factors in Option 5A (Flexible Path – Ares V Lite), Option 5B (Flexible Path – EELV Derived), and Option 5C (Flexible Path – Shuttle Derived), subject to the less-constrained budget. Options closer to the center are of lesser value. Source: Review of U.S. Human Spaceflight Plans Committee

4-segment SRBs, some number of Space Shuttle Main Engines (SSMEs) or the engine's expendable derivative, the RS-25E (three or four, typically) on the bottom of a re-engineered 8.3-meter-diameter tank, with an in-line EDS and, on top, a cargo payload or human-carrying capsule with a launch escape system. To the less-trained eye, such a vehicle would look much like an Ares V. In fact, at the end of the ESAS study in 2005, the candidate for the CaLV (Cargo Launch Vehicle) was exactly the Shuttle-derived variant just described. In the four years since ESAS, the design has evolved into the Ares V known today. Thus, the considerations for this choice of a more directly Shuttle-derived vehicle vs. Ares V more or less exactly play out the trade studies conducted by NASA in the last four years.

The principal difference between the two families is the use of the SSMEs or their expendable derivative on the more directly Shuttle-derived launcher versus the use of the RS-68-family engines on the Ares V. The use of more Shuttle-derived components lowers the development cost somewhat, and accelerates by about a year the availability of heavy lift. But these dates of first availability of heavy lift are in the early 2020s at best, due to budget constraints and likely extension of the ISS. Therefore, even if a Shuttle-derived vehicle is developed, and the Shuttle is extended, there is about a decade of gap in heavy-vehicle operations. This erodes the benefit of using the Shuttle extension and a more directly Shuttle-derived vehicle to close the critical workforce gap.

Using the more directly Shuttle-derived vehicles does produce a somewhat less-capable vehicle (Figures 5.2-2 and 5.2.1-1) and increases the recurring cost for a given mass launched to low-Earth orbit. For example, in a year

of planned Constellation lunar operations in the mid-2020s, there would be three Shuttle-derived vehicle launches for each mission to the Moon, which would deliver a mass comparable to that of two Ares V-class launchers. Cargo missions would use one or two Shuttle-derived launchers. With two crew and two cargo missions per year, this would require eight to ten launches of the Shuttle-derived launcher, each with three or four SSMEs or derivatives, for a total of 24 to 40 of the Shuttle engines being used, with a resulting high recurring cost.

Among the other notable differences between the Ares V family and the more-directly Shuttle-derived launcher family is the mission-launch reliability. Since the latter requires three launches for each planned Constellation lunar mission, there would be a somewhat lower reliability in any given time window than would be provided by the Ares V, which only would require two launches in the same time window.

The Committee considered as an issue the commonality with the national space industrial base. The Ares V uses engines from the RS-68 family, with commonality in the industrial base with those used on the EELVs by National Security Space. Both the Ares V and the more-directly Shuttle-derived vehicle have commonality in the solid-rocket motors with vehicles used in National Security Space.

In summary, the Committee viewed the decision between the Ares V family and the Shuttle-derived family as one driven by cost and capability. The development cost of the more Shuttle-derived system would be lower, but it would be less capable than the Ares V family and have higher recurring costs. There are potential workforce and skill advantages associated with the use of the more-directly Shuttle-derived system, but the long gap between when the Shuttle is retired in 2011, or even 2015, and when the Shuttle-derived heavy-lift launcher becomes available in the early to mid-2020s would diminish the potential value of the workforce continuity associated with Shuttle derivatives.

6.5.3 Examination of the key question on NASA heritage vs. EELV-heritage super-heavy vehicles

The highest-level decision on heavy-lift-launch vehicles is whether to base the launch system for exploration on these NASA-heritage vehicles or on the further extension of the EELV-heritage vehicles up to the 75-mt range (Figure 6.4.2-1). It should be emphasized that this is not the existing EELV heavy launcher, which has a maximum payload to low-Earth orbit of about 25 mt, but rather requires the development of a substantially new vehicle, in part based on existing components and manufacturing facilities.

The EELV-heritage super-heavy launch vehicle would be capable of launching about 75 mt to low-Earth orbit, significantly less than the Ares V family at 140 to 160 mt, or the Shuttle-derived vehicle in the range of 100 to 110 mt. However, the EELV-heritage super heavy is still larger than the Committee's estimated smallest possible launcher to support exploration, which is in the range of 40 to 60 mt. In a nominal piloted lunar mission, without

in-space refueling, the EELV-heritage super heavy would require three flights, potentially with an additional crew taxi flight, versus two launches for the Ares V Lite. In the Flexible Path options, the EELV would not require more launches, but would involve more on-orbit operations than the Ares V-family approach. For these launch rates, the EELV would have a lower recurring cost than the NASA-heritage vehicles.

Initially, the EELV-heritage super heavy vehicle would use the Russian RD-180 hydrocarbon fueled engine, currently used on the Atlas 5. In the cost analysis utilized by the Committee, provision was made for the development of a new large domestic engine to replace the RD-180 for both NASA and National Security missions. This would have technology benefits, and would provide value to National Security systems.

While there are technical differences between the two families, the Committee intended the principal difference to be programmatic. The EELV-heritage super heavy would represent a new way of doing business for NASA, which would have the benefit of potentially lowering development and operational costs. The Committee used the EELV-heritage super-heavy vehicle to investigate the possibility of an essentially commercial acquisition of the required heavy-launch capability by a small NASA organization similar to a system program office in the Department of Defense. It would eliminate somewhat the historic carrying cost of many Apollo- and Shuttle-era facilities and systems. This creates the possibility of substantially reduced operating costs, which may ultimately allow NASA to escape its conundrum of not having sufficient resources to both operate existing systems and build a new one.

However, this efficiency of operations would require significant near-term realignment of NASA. Substantial reductions in workforce, facilities closures, and mothballing would be required. When the Committee asked NASA to assess the cost of this process, the estimates ranged from \$3 billion to \$11 billion over five years. Because of these realignment costs, the EELV-heritage super heavy does not become available significantly sooner than the Ares V or Shuttle-derived families of launchers. The transition to this way of doing business would come at the cost of cutting deeply into the internal NASA capability to develop and operate launchers, both in terms of skills and facilities.

There would be other consequences at the national level. Needless to say, the co-development of the EELV-heritage super heavy would require careful coordination between NASA and the Department of Defense to ensure joint value.

In summary, the Committee considers the EELV-heritage super-heavy vehicle to be a way to significantly reduce the operating cost of the heavy lifter to NASA in the long run. It would be a less-capable vehicle, but probably sufficiently capable for the mission. Reaping the long-term cost benefits would require substantial disruption in NASA, and force the agency to adopt a new way of doing business. The choice between NASA and EELV heritage is driven by potentially

lower development and operations cost (favoring the EELV-heritage systems) vs. continuity of NASA's system design, development and mission assurance knowledge and experience, which would provide higher probability of successful and predictable developments (favoring NASA systems). EELV-heritage launch systems, due to their lower payload performance, would require significantly greater launch and mission complexity to achieve the same total mass in orbit. The EELV option would also entail substantial reductions in the NASA workforce and closure of facilities necessary to obtain the expected cost reductions.

■ 6.6 COMPARISONS ACROSS INTEGRATED OPTIONS

6.6.1 Cross-option comparisons

A cross-family comparative evaluation is shown in Figure 6.6.1-1, which contrasts the Baseline (Option 3) with the Ares V Lite variant of the Flexible Path (Option 5A). The Flexible Path option scores more highly than the Baseline on 9 of the 12 criteria. The higher rankings include:

- Exploration Preparation (due to much more capable launch system)
- Technology (due to investment in technology)
- Science (because of more places visited)
- Human Civilization (due to the ISS extension)
- Economic Expansion (because of commercial involvement in space elements and crew transport)
- Global Partnerships (gained by extending the ISS)
- Public Engagement (by visiting more new locations, and doing so each year)
- Schedule (exploring beyond low-Earth orbit sooner)
- Life-Cycle Costs (due to commercial crew services)

6.6.2 Examination of the key question on exploration strategy

The fifth and final of the key questions guiding the decisions on the future of human spaceflight is: What is the most practicable strategy for exploration beyond low-Earth orbit? In Chapter 3, three exploration strategies were presented, but the choice of exploration of Mars First was found not to be viable at this time. The two remaining choices are:

- Moon First on the Way to Mars, with surface exploration focused on developing capability for Mars
- Flexible Path to Mars via the inner solar system objects and locations, with no immediate plan for surface exploration, then followed by exploration of the lunar and/or Martian surface



Figure 6.6.1-1. Relative evaluation of factors in Option 3 (Baseline - Program of Record) and Option 5A (Flexible Path - Ares V Lite), subject to less-constrained budget. Options closer to the center are of lesser value. Source: Review of U.S. Human Spaceflight Plans Committee

This contrast can be highlighted by comparison of the reference variant for Moon First (Option 4A) and Flexible Path (Option 5A), as shown in Figure 6.6.2-1. These two options differ only in exploration strategy. The Flexible Path equals or exceeds the ratings of the Moon First option in all areas. It has an advantage in: Science, Economic Expansion, Public Engagement and Schedule. These four distinctions will be examined below.

From the perspective of Science Knowledge, the Moon First approach would allow better understanding of the evolution of the Moon, and use the Moon's surface as a record of events in the evolution of the solar system. The Flexible Path would explore near-Earth objects, and also demonstrate the ability to service science observatories at the Lagrange points. The crews on such missions would potentially interact with robotic probes on the surface of Mars, returning samples as well. In some of its alternatives, including the one costed in Section 6.5, the Flexible Path also allows exploration of the Moon, though at a more limited scale than in the Moon First approach. Considering that we have visited and obtained samples from the Moon, but not near-Earth objects or Mars, and also that the Flexible Path develops the ability to service space observatories, the Science Knowledge criterion slightly favors the Flexible Path. Broadly, the more complex the environment, the more astronaut explorers are favored over robotic exploration. In practice, this means that astronauts will offer their greatest value-added in the exploration of the surface of Mars.

It is likely that the Flexible Path approach would engender more Public Engagement than the Moon First approach. In every flight, the Flexible Path voyages would visit places where humans have never been before, with each mission extending farther than the previous one, potentially leading

to a full dress rehearsal for a Mars landing. A potential liability of the Moon First option is that it could appear to some stakeholders as a modern repetition of exploration that was accomplished 50 years earlier.

Schedule also favors the Flexible Path scenario. The fundamental economics of the investment by NASA to begin flights on the Flexible Path and Moon First options are shown in Figure 6.6.2-2. Before lunar exploration can begin, NASA must complete four development programs: the heavy-lift launcher, the Orion capsule, the Altair lander, and at least some of the lunar surface systems. Even the well-funded Apollo Program only had to complete the first three of these. In contrast, exploration on the Flexible Path could begin with just the capsule and launcher, and then slowly develop much less costly in-space propulsion stages and habitats. After NASA explores on the Flexible Path for a half decade or so, it could then invest in the lunar lander and surface systems. In summary, the Flexible Path provides for exploration beyond low-Earth orbit several years earlier, and allows a less demanding programmatic investment profile.

Because the Flexible Path option contained a commercially developed lunar lander descent stage, it was evaluated more highly in Economic Expansion as well. The use of a commercial lander is not fundamental to the execution of the Flexible Path, but is more likely in this strategy. The lunar landing would be later, involve a simpler lander, and follow the development by NASA of the in-space re-startable engine, all of which would make a commercial system more viable in the Flexible Path than in the Moon First strategy.

Of the evaluation criteria on which the two strategies score equally, there are some distinctions. Under Human

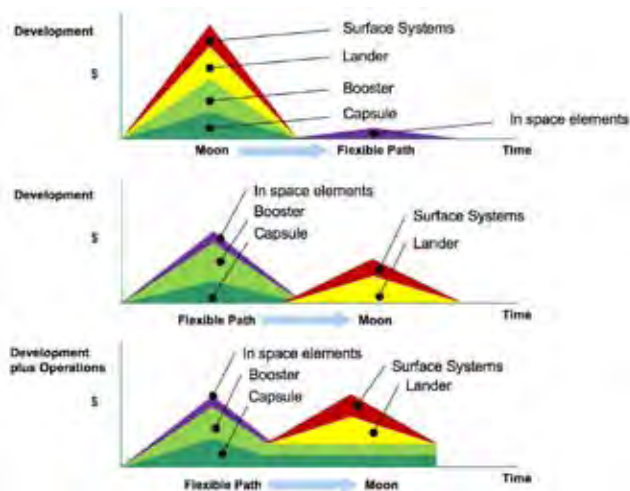


Figure 6.6.2-2. Notional development cost (top and middle) and total program costs (development and operations - bottom) suggesting that the phasing of Flexible Path followed by the Moon First produces a more level budgetary profile. Source: Review of U.S. Human Spaceflight Plans Committee

Civilization, both lead to better understanding of human adaptation to space, but the Flexible Path aids in the protection of Earth from near-Earth objects. From the viewpoint of Mission Safety Challenges, the two strategies are also about equal. Operations at the Moon are closer and allow return to the Earth more rapidly, but landing on and launching from a surface is a dynamic environment. In contrast, the Flexible Path missions are less dynamic, but occur farther from Earth.

There is no reason to believe that the remaining evaluation criteria favor one or the other strategy for exploration. They have more to do with how the strategy is implemented. For example, either the Moon First or Flexible Path could be the basis for a new or extended international partnerships in space.

In summary, 8 of the 12 criteria favor neither the Moon First nor Flexible Path strategies for exploration. However, of the four that do discriminate—Science Knowledge, Public Engagement, Schedule and Life-Cycle Costs—all slightly to moderately favor the Flexible Path.

Finding on Evaluation of Program Options:

Options for human spaceflight should be evaluated by a set of criteria that are consistent with goals. The Committee identified 12 criteria which measure the capability of an option to satisfy its stakeholders, along the motivations listed above, along with programmatic issues of safety, cost, schedule, sustainability and workforce impact. It is the role of decision-makers to prioritize these measures.



Figure 6.6.2-1. Relative evaluation of factors in Option 4A (Moon First – Ares V Lite) and Option 5A (Flexible Path – Ares V Lite), subject to the less-constrained budget. Options closer to the center are of lesser value. Source: Review of U.S. Human Spaceflight Plans Committee

Findings on Options for the Human Spaceflight Program:

The Committee developed five alternatives for the Human Spaceflight Program. In reviewing these, it found:

- Human exploration beyond low-Earth orbit is not viable under the FY 2010 budget guideline.
- Meaningful human exploration is possible under a less-constrained budget, ramping up to approximately \$3 billion per year in real purchasing power above the FY 2010 guidance in total resources.
- Funding at the increased level would allow either an exploration program to explore the Moon First or one that follows a Flexible Path of exploration. Either could produce results in a reasonable timeframe.

Critical Technologies for Sustainable Exploration

The Space Act of 1958 calls on NASA to preserve the U.S. position as a leader in space technology. Today, the alternatives available for exploration systems are severely limited because of the lack of a strategic investment in technology development in past decades. Looking forward, NASA has before it an unprecedented opportunity to make an effective strategic technology plan. With a 20-year roadmap of exploration laid before it, NASA can make wise technology investments that will enable new approaches to exploration. Two recent reports of the National Academies have made recommendations in this regard.

The investments should be designed to increase the capabilities and reduce the costs of future exploration. NASA has conducted studies to demonstrate the mass reduction, and therefore operational cost savings, that are achievable with investments in technology. (See Fig 7.1-1.) As an illustration, it indicates an almost ten-fold reduction in mass required in mass required for future missions to Mars. If appropriately funded, a technology development program would re-engage the minds at American universities, in industry and within NASA. This will benefit human and robotic exploration, the commercial space community, and other U.S. government users alike.

■ 7.1 FUNDAMENTAL UNKNOWNNS

Three factors affecting long-duration human space exploration are of central importance, yet do not lend themselves to definitive assessment based on the available data: (1) the effects of prolonged exposure to solar and galactic cosmic rays on the human body; (2) the impact on humans of prolonged periods of weightlessness followed by a sudden need to function, without assistance, in a relatively strong gravitational field; and (3) the psychological effects on individuals facing demanding tasks in extreme isolation for well over year with no possibility for direct outside human intervention.

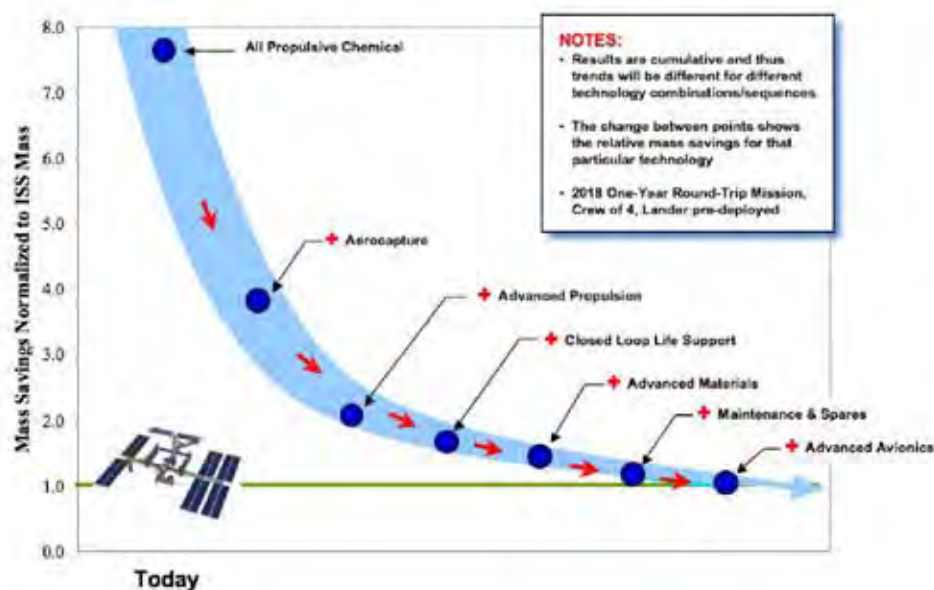


Figure 7.1-1. With technology investments, the mass required for a Mars exploration mission decreases from eight times the mass of the International Space Station to a mass comparable to the Station. Source: NASA

While the specific technologies to address needed capabilities can and will be debated, if the United States wishes to conduct more and more capable missions in the future with nearly constant budgets, it is essential to develop and bring to flight readiness the technologies required. This will not happen without a sustained plan in which needed capabilities are identified, multiple competing technologies to provide that capability funded, and the most mature of them demonstrated in flight so that exploration architectures can then depend on them. For many technologies, it is less expensive to design a flight demonstration using the facilities on ISS than it would be to design a free-flying mission for each and every technology demonstration.

Radiation effects on humans: Beyond the shielding influence of the Earth's magnetic field and atmosphere, ionized atoms that have been accelerated to extremely high speeds in interstellar space fill the solar system. The effects of such galactic cosmic radiation on crews on long-duration spaceflight far from the Earth are a significant concern. Additionally, normal solar flare activity also occasionally releases radiation potentially injurious to humans. On the Moon or on the surface of Mars, techniques are available to shield a human habitat from these sources of radiation, but the massive shielding is cost-prohibitive for a spacecraft. These radiation effects are insufficiently understood and remain a major physiological and engineering uncertainty in any human exploration program beyond low-Earth orbit. A 2008 report by the National Research Council concluded, "Lack of knowledge about the biological effects of and responses to space radiation is the single most important factor limiting the prediction of radiation risk associated with human space exploration." A robust research program in radiobiology is essential for human exploration. Research on these radiation effects on humans is limited on the ISS, since it is partly shielded by the Earth's magnetic field.

In addition to studying the effects of galactic cosmic radiation (GCR), there are mitigation strategies, such as more effective shielding techniques, or the use of high shielding mass on a reusable habitat that cycles between Earth and Mars without being accelerated for each mission (cyclor habitat), that need additional study.

Micro- and hypo-gravity effects on humans: While significant data on crew adaptation to micro-gravity now exists from extended ISS stays, there is a need to further develop countermeasures and build an understanding of the even longer profiles that will be encountered in exploration beyond low-Earth orbit. The ISS is a logical place to conduct such research, and the U.S. should obtain these data before the ISS is retired.

Psychological effects of extreme isolation on humans: While many experiments have been conducted on Earth to examine the effects of prolonged isolation on humans needing to continue to function at a high level, these experiments generally fall short of simulating the circumstance of extreme physical confinement in which the participant realizes there is no opportunity to "end the experiment." In this regard, missions to Mars would be far more demanding than those to the Moon. Mars, at its closest, is 56 million kilometers

from the Earth, whereas the Moon is 380,000 kilometers. In the latter case, return is generally possible within a few days. In contrast, Martian circumstances may require many months or years for an emergency return to Earth.

■ 7.2 PROPELLANT STORAGE AND TRANSFER IN SPACE

Wernher von Braun wrote of the significant benefits to be gained from propellant transfer and storage in space. Up to this time, the normal approach for inserting payloads on trajectories away from low-Earth orbit towards the Moon or Mars is to use an upper stage called an Earth Departure Stage (EDS). In the conventional scheme, the Earth departure stage burns some of its fuel on the way to orbit and arrives at low-Earth orbit partially full. The remainder of the fuel is expended injecting the payload toward its destination beyond low-Earth orbit. An alternative, discussed in Chapter 5, is to re-fuel in space, so that the EDS can arrive in orbit mostly empty, and be refilled. After leaving Earth, exploration systems will still need to make one, two or three propulsive maneuvers, often after months in space. Again past experience is to use storable propellants for these maneuvers, but in human exploration, the cost of doing this becomes large.

The benefit of in-space cryogenic transfer and storage is that it enables refueling in space and the use of high-energy fuels for in-space propulsion. Using propellant transfer for the EDS, for example, allows more mass to be injected from the Earth with a given launch vehicle, or a smaller launcher for a given payload.

Today, these technologies are considered ready for flight demonstration, according to both NASA and industry experts working in the field. (See Fig 7.2-1.) Nonetheless, legitimate questions remain as to the practical feasibility of the approach. These concerns generally center on cryogenic transfer and storage technology. Cryogenic transfer, storage and gauging in a micro-gravity environment create challenges that have been investigated by researchers in the laboratory for decades. Automated rendezvous and docking of delivery tankers has recently been demonstrated. Capabilities that remain to be demonstrated include:

- Long-term storage of very cold (cryogenic) propellant without excessive boil-off
- Transferring cryogenic propellant between tanks in a zero-g environment
- Making cryogenic fluid line connections
- Gauging the quantity of propellant in the tanks in a zero G environment

Fig 7.2-2 shows the maturity of various technologies based on the NASA Technology Readiness Level (TRL) system, summarizing the current assessment of capabilities required for propellant transfer and storage on orbit.

Technology	Most Mature Approach	Status
Liquid Acquisition	Surface tension device +settling	Ground Demonstrations; Needs Flight Test
Propellant Gauging	Capacitance probe +settling	Mature Technology with Settling
Ullage Gas Management	Settled vent	Mature Technology with Settling
Transfer Line Conditioning	Recirculation	Mature Technology with Settling
Automated Fluid Coupling	Extension of probe used for propellant couplings on Orbital Express	Flight Demonstrated with Storables; Needs Flight Test with Cryogenics
Insulation & Thermal Management	MLI is mature technology, vapor-cooled shield further lowers boil-off	Ground Demonstrations; Needs Flight Test

Figure 7.2-2. Technology Readiness of Components for In-Space Refueling. Source: Review of U.S. Human Spaceflight Plans Committee

These cryogenic technologies have reached the point where a flight demonstration is the next logical step in development before this capability can begin to be designed into systems. The two technologies of liquid acquisition, collecting fluid in micro-gravity near the point from which it will be withdrawn from a tank, and automated fluid coupling cannot be tested in a realistic environment without in-space demonstration. Cryogenic coolers are needed for true zero-boil indefinite storage of liquid hydrogen (LH₂), but other technologies listed in the table are intended to negate this problem for storage up to one year.

■ 7.3 IN SITU PROPELLANT PRODUCTION AND TRANSPORT

After mastering the technologies for storing and transferring propellant in space, the next step is to manufacture propellant from resources already there. The lunar surface and some near-Earth objects are the only known sources of suitable resources that can be brought feasibly to cislunar space, such as to the Earth-Moon Lagrange Point L1, which was identified as a promising candidate for a cislunar propellant depot in a 2004 NASA study.

In situ propellant production requires the combination of two unique capabilities: (1) producing the propellant, and (2) transporting it economically. Oxygen is abundant in all lunar rocks and regolith (dirt without organic material), and a variety of chemical processes to extract it have been demonstrated in Earth-based laboratories. These propellant production methods must next be demonstrated on the lunar surface through robotic missions. Collecting lunar material and bringing it to a lunar-based processing station presents a great challenge. Laboratory work has shown that this will likely require both robotic and human-tended missions to mature the technology.

In addition, it is also extremely important to produce hydrogen for fuel in space. If hydrogen can be economically extracted from the Moon, it will likely serve as a source of propellant for future exploration missions. If

it cannot be produced, the case for exporting propellant from the Moon becomes less compelling, and near-Earth objects would rise in importance. Discoveries in recent years do suggest the availability of significant hydrogen deposits at the lunar poles. In addition, Apollo samples showed useful hydrogen deposits from the solar wind implanted in the regolith. These regolith deposits would require processing large amounts of material, comparable to coal extraction on Earth. Robotic exploration of the Moon continues today, and further interesting sources of resources may well be found.

The composition of near-Earth objects is less well understood than the composition of the Moon because of the current dependence on telescope-based observations and inferences drawn from meteorites reaching Earth. These data suggest that almost any desirable resource can be found on near-Earth objects, but since each near-Earth object is a distinct body with its own orbit and properties, it is difficult to make generalizations about how resources would be extracted and returned to cislunar space. It is worth noting that in some cases, the energy required to return mass from a near-Earth object to near-Earth space is significantly less than to return mass from the lunar surface to Earth Moon L1 Lagrange Point. Therefore, further robotic exploration and human-tended pilot visits to near-Earth objects are particularly interesting subjects for future exploration.

Reusable chemical rockets might also be used to deliver *in situ* propellant from the Moon to the Earth Moon L1 Lagrange point. Space tugs carrying and using hydrogen are generally more compelling than tugs carrying and using other propellants. As an alternative, because of the Moon's low gravity, non-chemical propulsion should also be considered. Catapults, tethers and beamed energy are likely to become practicable for Lunar-to-L1 transport long before they become practicable for Earth-to-orbit launch, and should continue to be investigated. Nonetheless, their application appears to be far off.

Because about two-thirds of the mass on an Earth-to-Mars-to-Earth mission would be propellant, cost-effective lunar-produced propellant could decrease the mass that must be lifted from Earth by a factor of two to three. Further, achieving industrial levels of oxygen and hydrogen production on Mars would greatly simplify the challenge of transporting fuel for the return trip from Mars to Earth.

In situ propellant produced on Mars has been considered as well. Oxygen could be extracted from the carbon-dioxide-based Martian atmosphere, and both oxygen

and hydrogen could be extracted from Mars' ample ice. Although laboratory work for extracting resources from Mars is promising, the technology remains to be demonstrated under realistic circumstances.

■ 7.4 MARS ORBIT TO SURFACE TRANSPORTATION

The entry, descent and landing of cargo on Mars is difficult because Mars has sufficient atmosphere to drive the design of landing systems, but inadequate atmosphere for feasible parachutes or wings to safely land astronauts on the surface. Scientific probes landing on Mars have used a complex mix of aerodynamic braking and rocket propulsion. These techniques will have to be improved before larger robotic or crewed missions can be sent to Mars. This research and technology development program needs to be started soon, because it will require many iterations and increasingly larger missions before NASA is ready to demonstrate a safe, crewed Mars landing. Meanwhile, the intermediate results would greatly benefit future robotic missions.

Because of the unique landing challenges posed by Mars, a robust human presence will require an advanced Mars orbit to Mars transportation system, most likely a reusable system that could transport cargo and people between the Martian surface and a depot located nearby. Nuclear thermal rockets, using *in situ* Martian-produced propellant, would fly to Martian orbit, collect a payload, and then use aerodynamic braking for the initial descent, followed by nuclear rocketry to land. Alternately, a chemical rocket would need to refuel on both Mars and in near-Mars space, such as on the Martian moon Phobos. Use of Phobos for propellant production would benefit transportation both to and from the Martian surface and provide the propellant for astronauts to return from Mars to Earth.

A Phobos-based teleoperated exploration of the Martian surface, returning with samples from that surface, would likely precede a crewed Mars landing mission, and would provide dramatically more responsive remote control than with the communication delays incurred between Mars and Earth. The use of Phobos- and/or Mars-produced *in situ* propellant could likely reduce the flight cost of a crewed Mars landing expedition by a factor of two to three.

■ 7.5 ADVANCED SPACE PROPULSION

Since the 1950s, advanced space propulsion has been recognized as an extremely desirable technology for Mars missions, as is the possibility of aerocapture. (See Fig 7.1-1.) The application of advanced space propulsion to crewed missions could significantly reduce the amount of propellant used, while providing sufficient thrust to build up interplanetary velocities relatively quickly—over days or weeks instead of months or years. Unfortunately, the physics of the problem are such that the more a vehicle tries to minimize propellant usage, the more power it consumes, yet since the power-supply weight increases with power generation requirements, a more substantial power

supply can negate some or all of the benefits of lower propellant usage.

Two promising advanced space propulsion technologies are based on solar and nuclear energy sources.

- **Solar:** Current solar-power collectors are too heavy to deliver dramatic benefits in space propulsion. Solar-electric thrust is used today for some satellites and it may play a role in cargo transportation from LEO to, for example, L1 and back. Unfortunately, the acceleration it provides is so low that it would take months or years to get from Earth to the Moon, for example. As a result, for solar-powered advanced propulsion to provide revolutionary benefits, either far lighter, thin-film solar arrays must be matured, or the heavy solar power collector must be left off the space ship and the power from the collector beamed by lasers to lightweight collectors aboard the ship.

Theoretical studies indicate this latter technology is physically plausible, but it hasn't made it out of the laboratory. In addition, the beam can only be held together over distances up to a few hundred thousand kilometers with a reasonable-sized transmitter. Solar technology requires research and development and flight demonstrations, as well as a large investment to build the solar-power collector and beam transmitter in space. However, such an effort might pave the way for transmission of power to the Earth for domestic use, although it is not known whether such systems will prove economical even if they were to be technically feasible.

- **Nuclear:** A more mature technology, nuclear-thermal propulsion reached advanced ground-firing demonstrations during the 1960s before the program was cancelled. With nuclear-thermal propulsion a nuclear reactor heats hydrogen and then ejects it to provide thrust. A nuclear-electric thruster, on the other hand, produces electricity to run an electric thruster, such as high power Hall effect thrusters or the variable specific impulse magnetoplasma rocket (VASIMR) thruster NASA is currently funding.

Nuclear reactors today provide a substantial fraction of U.S. electricity production and other countries use nuclear reactors to produce an even larger fraction of their domestic power. For advanced space transportation, much lighter nuclear reactors are required. Although expensive to develop, this solution could cut the cost of missions from Earth by a factor of two or three. Alternately, it could be used to increase vehicle velocity for the same cost. Nuclear propulsion is probably essential for any crewed activity beyond Mars.

The space nuclear program is an excellent candidate for a multinational research effort because different countries have different capabilities and research interests. NASA would benefit from a coordinated multi-national research effort in this area.

■ 7.6 TECHNOLOGY SUMMARY

In order to give future designers a rich and effective set of technologies to draw from, an investment in a broad-based space technology program is prudent. This should be done in a focused but long-term manner, with a clear metric of enabling and reducing the cost of future exploration. There are a number of potential technologies and approaches to be examined, as indicated in Figures 7.6-1 and 7.6-2, which attempt to identify near- and longer-term benefits from the investment. Some of these technologies have been discussed above, and others throughout the report. NASA would not be the only beneficiary of these technologies. Other U.S. government and commercial users of space would benefit as well in terms of new capabilities or reduced cost. Consistent with administration planning, the Earth-based benefits to economic recovery, energy technology, biomedical science and health, and protection of our forces and homeland have been indicated.

FINDING ON TECHNOLOGY DEVELOPMENT

Technology development for exploration and commercial space: Investment in a well-designed and adequately funded space technology program is critical to enable progress in exploration. Exploration strategies can proceed more readily and economically if the requisite technology has been developed in advance. This investment will also benefit robotic exploration, the U.S. commercial space industry, the academic community and other U.S.-government users.

Capability	Approaches	Benefit to NASA	Other Benefits
Improved LEO launch	Higher volume production of expendables, or reusable	Lower cost to LEO	NSS, ComS Econ, Energy, Protect
Galactic Cosmic and Solar Radiation mitigation	Better risk assessment, mitigation, shielding	Lower mass systems with better human protection	Bio
Autonomous Rendezvous and Docking	Improved sensors, algorithms, system tests	Enables un-piloted assembly and fueling in orbit	NSS, ComS Econ, Protect
Cryogenic fuel transfer	Development and testing of fuel management and transfer technology	Enables smaller earth departure stages or makes planned ones more capable	NSS, ComS Econ, Protect
In-space re-startable engine and boil-off technology	Cycle and engine development of hydrogen or methane engines	Enables longer duration exploration to destinations needing return propulsion	NSS, ComS Econ, Energy, Protect
Crew autonomy and robotic support	Development of robotics to assist crew in space and on surfaces	Increases efficiency and focus of crew on tasks better done by humans	Bio, Protect
Advanced system design methodologies	Computational, simulation and decision support systems	Quicker, better documented and more thorough system designs	NSS, ComS Econ, Energy, Protect
Advanced Materials	High temperature and ramp-engineered	Improves durability and strength, lighter weight systems	NSS, ComS Econ, Energy, Bio, Protect
Closed-loop life support	Mechanical or biological systems	Lower mass for human duration	ComS Econ, Bio, Protect
Methane engine	Cycle and engine development of methane engines	Allows better packaged stages, that would use Mars ISRU products	NSS, ComS Econ, Energy, Protect
Aero-entry, descent and landing in atmospheres	Development of novel structures for aero-braking and entry	Lowest mass of systems, enables large masses on Mars	NSS, ComS Econ, Protect

Technologies are listed in an approximate order of decreasing importance and urgency. Other Benefits are to National Security Space, Commercial Space, Economic recovery, Energy technology, Biomedical science/health and Protection.

Figure 7.6-1. Technology opportunities to impact near- and mid-term exploration capabilities and sustainability. Source: Review of U.S. Human Spaceflight Plans Committee

Capability	Approaches	Benefit	Other Benefits
Surface systems	Asorbable or inflatable habitats and mobility systems	Lower mass to surface, more capable exploration	ComS Econ, Protect
Surface power	Advanced solar, isotope or fissile based	Lower mass to surface, more power for exploration and ISRU	NSS Econ, Energy, Protect
Lunar In Situ Resource Utilization	Regolith or "hydrogen" rich formations derived	Lower consumable delivery to surface	ComS Econ
Lunar In Situ Propellant Production & export	Reusable lunar to EML1 tug	Enabling of new Earth-Moon-Mars transportation architectures	ComS Econ
NEO based In Situ Resource Utilization	Based on initial sample assays and transportation network	Enabling of new inner solar system transportation architectures	ComS Econ
Advanced in-space propulsion	Beamed-power or nuclear	Smaller in-space propulsion systems, significantly lower mass to LEO	NSS, ComS Econ, Energy, Protect
Mars In Situ Propellant Production	Atmosphere or ice derived	Lower mass for human duration and enabling of lighter ascent vehicles	ComS Econ
Earth-Mars cycling spacecraft	Aldrin and Multi-synodic cyclers	Enabling of new mode of interplanetary travel	

Technologies are listed in an approximate order of importance and urgency. Other Benefits are to National Security Space, Commercial Space, Economic recovery, Energy technology, Biomedical science and Protection.

Figure 7.6-2. Technology opportunities impact longer-term capabilities and sustainability. Source: Review of U.S. Human Spaceflight Plans Committee

Partnerships

Various forms of partnerships have been discussed throughout the report. This section examines in greater detail international partnerships (Section 8.1), as well as partnerships among elements of the U.S. government (Section 8.2).

■ 8.1 INTERNATIONAL PARTNERSHIPS

The human exploration of space is historically intertwined with the recent evolution of America's international relationships. The U.S. has moved from an era of competing with the Soviet Union in the Apollo era, to collaborating with our historical allies in Space Station *Freedom*, to embracing the Russians in the International Space Station, and now to engaging many potential new partners.

Space exploration has become a global enterprise. Many nations have aspirations in space, and the combined annual budgets of the space programs of our principal partners are comparable to NASA's. (See Figure 8.1-1.) If the United States is willing to lead a global program of exploration, sharing both the burden and benefit of space exploration in a meaningful way, significant accomplishments could follow. Actively engaging international partners in a manner adapted to today's multi-polar world could strengthen geopolitical relationships, leverage global financial and technical resources, and enhance the exploration enterprise.

One means of reducing the funding demands of major human spaceflight programs is to join in partnerships with other nations that share common space goals. Thus far, three nations have by themselves placed astronauts in space: the U.S., Russia and China. International programs offer the added advantage of providing access to advanced technology not available in the U.S., an increasingly common circumstance (e.g., Russian-designed, hydrocarbon-(RP-1)-fueled liquid rocket motors). Such arrangements also facilitate cost sharing.

The principal disadvantage of international programs (excluding business-to-business arrangements based on en-

forceable contracts) is that nations are sovereign entities and, as such, can unilaterally change their plans—which can be very disruptive. Much of the international community, probably justifiably, faults the U.S. with regard to this practice. But perhaps an even greater impediment to U.S. involvement in international cooperative programs is the U.S. International Trafficking in Arms Regulations (ITAR). The Committee deems these laws to be outdated and overly restrictive for the realities of the current technological and international political environment.

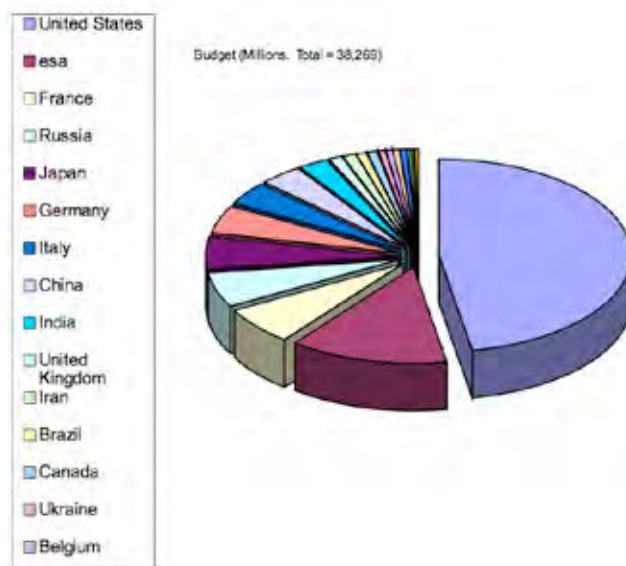


Figure 8.1-1. The annual budgets of civil space agencies in 2007. The sum of the budgets of potential partners is now comparable to NASA's budget. Source: Various Sources

International programs are generally more difficult to manage than national programs, in part because of the need for a greater degree of consensus and coordination; however, this can often be overcome when one of the participating nations serves in a leadership role—as distinct from a domineering role. The management structure that has evolved for the ISS has proven particularly effective and could serve as the basis for the next major international cooperative human venture into space. The Committee suggests that the President invite America’s international partners to join with America in discussions of this possibility.

The question occasionally arises whether the public would support space accomplishments shared among nations, as opposed to those representing purely “American” achievements. But the fact is that human spaceflight has always existed in an international context, first as competition and more recently as cooperation. It is difficult to argue against the latter. Moreover, the U.S. space program, at its height, had the self-confidence to emphasize that the United States was acting as a representative of all humanity. The Apollo 11 plaque left on the Moon reads: “Here men from the planet Earth first set foot upon the Moon July 1969, A.D. We came in peace for all mankind.”

If international programs are to succeed (i.e., where a true partnership that benefits all parties exists), there will inevitably be some measure of dependency of the member nations upon one another. In some cases this may imply dependency with regard to capabilities that lie on a mission-critical path—witness the impending launch gap. Nonetheless, if effective and meaningful partnerships are to be established, some degree of such dependency is simply the price of admission—a price, the Committee concludes, that is worth paying in most instances.

International cooperation has been fruitful for both robotic and human space missions. The U.S. has enjoyed long, positive space exploration partnerships with several countries. The U.S. has participated in cooperative efforts with Europe for many years, both through independent European country agencies and through the European Space Agency. Similarly, the U.S. has excellent historical space relationships with Japan and Canada.

The U.S.-Russian space partnership has roots in the Apollo-Soyuz Project of the early-to-mid 1970s. The current partnership began in the early 1990s with Phase I (Shuttle-Mir) and continues today with Phase II (ISS) programs. The advantages have been noteworthy: Mir was revitalized and extended because of the capabilities of the Space Shuttle, and Russian assets provided U.S. logistics and astronaut transport to the ISS following the Space Shuttle *Columbia* accident.

In addition to synergies in space exploration, international civil space cooperation has provided positive grounds for fostering understanding between peoples and governments of partner countries. This Committee believes that the existing partnerships should be continued, and it urges the U.S. to consider expanding partnership by forming cooperative relationships with other countries, doing so within the cur-

rent ISS partnership framework. This framework would serve as the foundation for international collaborations involving exploration beyond low-Earth orbit. This position is consistent with the recent National Research Council report “America’s Future in Space: Aligning the Civil Space Program with National Needs,” which calls for enhancement of overall U.S. global leadership through global leadership in civil space activities. Specific recommendations address aligning international cooperation with U.S. national interests, which include expanding international cooperation and partnerships for: the study of global climate change; the development of a body of law for a robust space-operating regime; rationalization of export controls; expansion of the ISS partnership; continuation of international cooperation for scientific research and human space exploration; engagement of nations in educating their citizens for sustainable space technology development; and support for interchange among international scholars and students. Partner countries could develop planetary landers, Earth departure stages, habitats, and other significant systems and sub-systems. As the lead partner, the U.S. could develop the heavy-lift launch vehicle. This scenario would help divide costs equitably and elevate the prominence of partner countries.

The Committee notes that China has had an operational human spaceflight program since 2003, and India has announced plans to launch astronauts into space using indigenous assets. The U.S. and India have already begun cooperative activities in space: the Chandrayaan-I spacecraft was flown to the Moon in October 2008 with U.S. instruments onboard. A number of nations are already developing capabilities that could significantly contribute to an international space exploration program. The U.S. has announced that it held preliminary discussions with China regarding joint space activities. It is the view of the Committee that China offers significant potential in a space partnership. China has a human-rated spacecraft and booster system and is only the third country to launch astronauts into space. It has demonstrated advanced capabilities, including extra-vehicular activity on a September 2008 mission. China plans to fly the heavy-lift Long March V vehicle before 2015, which it indicates will eventually be used to establish a space station, currently planned for initial launch in 2020.

8.1.1 International Space Capabilities. The following summarizes the extent of demonstrated activities in space by nations other than the U.S.

Canada. The Canadian Space Agency (CSA) is a partner in the ISS with significant experience in human operations in space. CSA has specialized in robotics and teleoperated systems, but also has extensive experience in remote sensing, radar, on-orbit servicing, communications and space science.

China. As the third nation in the world to launch humans into space, the People’s Republic of China (PRC) has developed an indigenous capability for the technical requirements associated with human spaceflight. The PRC has a stable of space launch vehicles up to medium-lift capability (9 mt to low-Earth orbit, with upgrade to 25 mt envisioned), including the upper stage capability for geo-transfer orbit and

interplanetary trajectories. China has launched one robotic probe to the Moon and has follow-on missions planned. The PRC has robust capabilities in communications, navigation and Earth-observation satellites. Next on its announced human spaceflight agenda are demonstrations of rendezvous and docking and the construction of an orbiting space laboratory. The PRC has demonstrated capabilities in life support, power generation and storage, pressurized module construction, in-space propulsion and attitude control, guidance and navigation, communications and computation.

India. The Indian Space Research Organization (ISRO) possesses two very capable launch vehicles, and an upgrade is underway to provide a medium-lift capability. India also has an indigenous capability to produce complex satellites and robotic scientific probes, as demonstrated by their first interplanetary robotic mission to the Moon in 2008. A human spaceflight program is being strongly recommended to the Indian government by ISRO, and is likely to be approved—which could lead to Indian human spaceflight capability as early as 2015. To date, the Indian space program has concentrated on telecommunications, Earth observation, and other low-Earth orbit satellite programs.

Japan. The Japan Aerospace Exploration Agency (JAXA) conducts a robust space program and is a partner in the ISS. Its workhorse launch vehicle, the H-II, has been upgraded to the H-II Transfer Vehicle for use as a logistics carrier to the ISS. While the Japanese space program has a lower launch tempo than other major space-faring nations, it has extensive capabilities, as demonstrated by the ISS Kibo laboratory, which includes teleoperated robotics. Japan has extensive experience in Earth- and space-science missions and telecommunications satellites, as well as in-ground-based facilities for astronaut training, mission operations, communications and tracking. Japan launched the very successful Kaguya lunar robotic mission in 2007 and has plans for follow-on lunar missions.

South Korea. The Republic of Korea has its first space launch vehicle, developed with Russian assistance, ready for flight. Korea has flown as a spaceflight participant to the ISS (via Russian launch and return), but has no other human spaceflight experience. The Republic of Korea has announced plans to develop a lunar orbiter by 2020.

Russia. Russia has a complete suite of space capabilities, from a robust launch vehicle stable to a broad spectrum of spacecraft design, production and operation capabilities. Russia fields a number of space launch vehicles of proven design from small through medium (25 metric tons to low-Earth orbit) with various upper-stage combinations that can provide payloads not only to low-Earth orbit but also to geotransfer orbit and to interplanetary trajectories. Russia is one of three nations to demonstrate the capability to launch humans into space. The highly evolved Soyuz spacecraft is currently programmed to become the linchpin of the ISS in the immediate future. Russia has also demonstrated capabilities in: large space structures; pressurized modules; life support; power generation and storage; communications; thermal control; propulsion and attitude control; guidance and navigation; remote sensing; computation equipment;

subsystems; and operations techniques. These are all elements necessary for both human and robotic space exploration. Currently, the Iran, North Korea and Syria Nonproliferation Act (INKSNA) has limited cooperation in space operations between Russia and the United States.

European Space Agency. The European Space Agency (ESA) and its member states possess very significant space capabilities. ESA is a partner in human spaceflight for the ISS and has demonstrated its ability to build large pressurized habitable modules for use as part of the ISS, as well as launch, rendezvous, and other critical capabilities. Through Arianespace (a French company owned by the French government), the Europeans possess the most active commercial space launch program in the world, with various launch vehicles up to medium capability (21 mt to low-Earth orbit). They have demonstrated the capacity to put significant payloads on interplanetary trajectories and have demonstrated space navigation and communications for both low-Earth orbit and interplanetary robotic probes. ESA possesses industrial and commercial capabilities to build complex spacecraft and robotic probes, including all subsystems. The Automated Transfer Vehicle has provided significant logistics support to the ISS and has the potential to be upgraded to a cargo return vehicle, and eventually a human-carrying spacecraft. Individual member states also have interest in and the capacity for cooperation outside the ESA structure. Cooperation with both ESA and individual European states allows access to significant technological capabilities.

Nations other than the above have very limited space programs, but could potentially play niche roles as their national industrial and technical capabilities allow.

■ 8.2 U.S. INTRA-GOVERNMENT PARTNERSHIPS

The Committee has examined issues about the space programs managed by U.S. government agencies besides NASA, such as the Department of Defense (DoD) and the Commercial Space office of the Federal Aviation Administration (FAA). Their focus includes the potential impacts of “human-rating” the current Evolved Expendable Launch Vehicles (EELV) as one option to reduce the post-Shuttle launch gap. The FAA concentrates on the role commercial space companies can play in the space exploration program.

A study sponsored by the Congress in July 2008 titled “Leadership, Management, and Organization for National Security Space” described the total U.S. space enterprise as including civil space, commercial space, and national security space (military and intelligence focused). All of these elements have overlapping capabilities, share technologies and depend on a common industrial base. As a result, any examination of the U.S. human space program must consider its impact on the efforts of agencies responsible for these other U.S. space activities and *vice versa*.

The most obvious concern has been expressed by the DoD, particularly the U.S. Air Force, because of its unique role

today as the single agent for government expendable launch capabilities. The family of Evolved Expendable Launch Vehicles (EELV)—Atlas V and Delta IV—represent the primary heavy-lift capability for the DoD and intelligence communities. Any changes in configuration to these EELV systems, such as incorporating crew escape systems in order to human-rate them, raise concerns about the potential impacts on cost and the ability to reassign vehicles from the EELV production line to high-priority national-security missions.

The Committee reviewed the technical changes required to human rate an EELV. It also met with the National Security Space leadership in the Office of the Secretary of Defense, the Air Force, and the National Reconnaissance Office. Their views on this matter were consistent. None of the national security organizations would formally object to human rating an EELV, if that option were indeed chosen by NASA for its space exploration requirements. However, the concerns noted above were expressed and would need to be managed.

The EELV program was initiated in 1995 in response to the Space Launch Modernization Plan and a subsequent National Space Transportation Policy PDD/NSTC-4. In 1998, development agreements were awarded to Lockheed Martin and Boeing to incorporate government requirements (both civil and national security) into their commercially developed variants of the Atlas and Delta launch systems. Since 2002, the Atlas V and Delta IV EELV vehicles have successfully demonstrated the ability to meet all of the key performance requirements of mass-to-orbit, reliability, and standardization of launch pads and payload interfaces. Because the low-Earth orbit commercial satellite market envisioned in the early-2000 time period never materialized, the U.S. government is still the primary customer for the EELV systems, which are now operated by United Launch Alliance, a joint venture of Lockheed Martin and Boeing. In 2005, an acquisition strategy change was made to maintain launch and launch-service capabilities for the Atlas and Delta, under a firm, fixed-price EELV launch services contract. This contract provides for a critical mass of sustaining engineering and launch production personnel, even when the launch tempo is low.

The DoD (Air Force) indicates that it is technically feasible to human-rate the EELV systems, as verified for the Committee by an independent Aerospace Corporation study. In doing so, there are several areas that must be addressed. These include:

- **Production Facilities** – United Launch Alliance is currently consolidating its manufacturing capabilities in Decatur, Alabama. The possibility of human-rating the EELV systems may add complexity to the planning for this consolidation, though the Committee notes that United Launch Alliance has the experience and motivation to mitigate any production conflicts.
- **Launch Processing** - The Aerospace study commissioned by NASA on Human Rating the Delta IV Heavy informed

the Independent Assessment of Launch System Alternatives conducted for the Committee. The study on human rating the Delta IV heavy presented several options to minimize conflict between civil and national security space launch demands. The Aerospace options include the possibility of utilizing the Orbiter Processing Facility and Space Launch Complex 39 at the Kennedy Space Center for processing a first-stage human-rated EELV. Such options need to be further evaluated.

- **Cost** – The increased production rates stemming from both a human-rated EELV and the national security systems variant of EELV should have a positive effect on United Launch Alliance hardware costs and reliability, as well as on the United Launch Alliance vendor industrial base. A more efficient procurement, surveillance, and mission assurance program should benefit both DoD and NASA programs. Further, the implementation of a human-rated EELV could accelerate the planned transition to a common upper stage for the Delta IV and Atlas V EELVs. Notwithstanding the cost opportunities, the implementation of a human-related EELV does introduce changes in the existing EELV baseline program. Therefore, a comprehensive management plan and structure, with clearly defined responsibilities, authorities and accountabilities, must be formulated if this option is pursued. A similar approach would be required for launch scheduling.
- **Industrial Base** – If a decision is made to human-rate the EELV systems and NASA were to abandon the Ares I system but retain the Ares V heavy-launch capability, the solid rocket motor industrial base would need to be sustained until the Ares V generated demand. The DoD may have to consider support to the solid rocket motor industrial base in recognition of both civil and NSS needs. If both the Ares I and Ares V programs were abandoned, a detailed civil and military analysis would need to be accomplished to ascertain the interdependence of technical and production capabilities between large solid rocket motors that are needed to support the nation's strategic strike arsenals and the large segmented solid-rocket motors supporting human-rated systems for NASA.

FINDINGS ON PARTNERSHIPS

International partnerships: The U.S. can lead a bold new international effort in the human exploration of space. If international partners are actively engaged, including on the “critical path” to success, there could be substantial benefit to foreign relations, and more overall resources could become available.

National security space: A desirable level of synergy between civil space efforts and national security space efforts should be reached, taking into account the efficient sharing of resources to develop high-value components, as well as the potential challenges in joint management of programs and reliance on a single family of launch vehicles in a class (for example, heavy lift).



Concluding Observations

No carefully considered human spaceflight plan, even when promulgated with the best intentions, is likely to produce a successful outcome unless certain principles are embraced in its formulation and execution. Some of the more important of these principles, generally derived from hard-earned experience, are summarized in this final chapter of the report. While not explicitly tasked to offer such observations, the Committee believes that it would be negligent in its duty were it not to do so.

■ 9.1 ESTABLISHING GOALS

Planning a human spaceflight program should start with agreement about the goals to be accomplished by that program—that is, agreement about its *raison d'être*, not about which object in space to visit. Too often in the past, planning the human spaceflight program has begun with “where” rather than “why.” This is undoubtedly at least in part attributable to the fact that many of the benefits of human spaceflight are intangible (e.g., the positive impact the Apollo 11 landing had during a time of great tribulation for America). But this makes such intangible benefits and activities no less significant—witness the importance assigned to great literature, music and art in our nation’s history.

■ 9.2 MATCHING RESOURCES AND GOALS

Perhaps the greatest contributor to risk in the space program, both human and financial, is seeking to accomplish extraordinarily difficult tasks with resources inconsistent with the demands of those tasks. This has undoubtedly been the greatest management challenge faced by NASA in recent decades—even given the magnitude of technological challenges it has confronted. Consider the Constellation Program as a case in point. While it is not clear to the Committee what exactly was the official status of the funding profile NASA assumed in planning the program—there are differing views on the subject—it is clear that

the amounts are smaller today by about one-third. It is also clear that when initiating decades-long projects of a demanding technical nature, some baseline funding profile needs to be agreed upon and sustained to the greatest extent practicable.

In the Constellation Program, the estimated cost of the Ares I launch vehicle development increased as NASA determined that the original plan to use the Space Shuttle main engines on the Ares I upper stage would be too costly, in part due to the need to add self-start. But the replacement engine had less thrust and inferior fuel economy, so the first-stage solid rockets had to be modified to provide more total impulse. This in turn contributed to a vibration phenomenon, the correction of which has yet to be fully demonstrated. This is the nature of complex development programs—with budgets that are far more likely to decrease than increase.

Complicating matters further, insofar as the Constellation Program is concerned, this Committee has concluded that the Shuttle Program will almost inevitably extend into FY 2011 in order to fly the existing manifest (the extension largely attributable to safety considerations), and that there are strong arguments for the extension of the International Space Station for another five years beyond the existing plan. These actions, if implemented, place demands of another \$1.1 billion and \$13.7 billion, respectively, on the NASA budget. In addition, adequate funds must eventually be provided to safely de-orbit the ISS—funds that were not allotted in the current or original program plans.

Shrinking budgets and inadequate reserves—the latter not only in dollars but also in time and technology—are a formula for almost certain failure in human spaceflight. If resources are not available to match established goals, new goals need to be adopted. Simply extending existing ambitious programs “to fit the money” is seldom a solution to the resource dilemma. The impact of fixed costs and technological obsolescence soon overwhelms any such strategy. In

the Committee's travels, it encountered widespread support for this policy of realism—although it is likely that most proponents were thinking of having more money, not less program. Should the latter turn out to be the case, much of that conviction is likely to vanish.

In the case of NASA, one result of this dilemma is that in order to pursue major new programs, existing programs have had to be terminated, sometimes prematurely. Thus, the demise of the Space Shuttle and the birth of “the gap.” Unless recognized and dealt with, this pattern will continue. When the ISS is eventually retired, will NASA have the capability to pursue exploration beyond low-Earth orbit, or will there be still another gap? When a human-rated heavy-lift vehicle is ready, will lunar systems be available? This is the fundamental conundrum of the NASA budget. Continuation of the prevailing program execution practices (i.e., high fixed cost and high overhead), together with flat budgets, virtually guarantees the creation of additional new gaps in the years ahead. Programs need to be planned, budgeted and executed so that development and operations can proceed in a phased, somewhat overlapping manner.

An additional action that would help alleviate the gap phenomenon is to reenergize NASA's space technology program, an important effort that has significantly atrophied over the years. The role of such a program is to develop advanced components (for example, new liquid rocket engines) that can later be incorporated into major systems. Developing components concurrently with, or as a part of, major system undertakings is a very costly practice. A technology development program closely coordinated with major ongoing programs, but conducted independently of them, is preferable.

■ 9.3 NASA MANAGEMENT CHALLENGES

In planning to reach these lofty objectives with constrained resources, the question arises how NASA might organize to explore. The NASA Administrator, who has been assigned responsibility for the management of NASA, needs to be given the authority to manage NASA. This includes the ability to restructure resources, including workforce and facilities, to meet mission needs. Likewise, managers of programs need clear lines of responsibility and authority. Management of unprecedented and complex international technological developments is particularly challenging, and even the best-managed human spaceflight programs will encounter developmental problems. Such activities must be adequately funded, including reserves to account for the unforeseen and unforeseeable. Good management is especially difficult when funds cannot be moved from one human spaceflight budget line to another, and where new funds can ordinarily be obtained only after a two-year budgetary delay (if at all). In short, NASA should be given the flexibility allowed under the law to acquire and manage its programs.

Fixed overhead and carrying costs at NASA are currently helping to undermine what might be accomplished in new space endeavors. A significant fraction of what appear to be program-related costs in fact cover fixed and carrying

costs of employees, facilities and, in some cases, contractors. This reality affects NASA in several ways. When a program such as the Shuttle is terminated, not all of the program funds actually become available to new programs. In fact, the fixed costs often simply move to the new program, where they continue to accumulate. When discretionary resources comprise a limited portion of overall resources, even modest program disruptions can have greatly magnified impacts.

Significant space achievements require continuity of support over many years. One way to assure that *no* successes are achieved is to continually introduce change. Changes to ongoing programs should be made only for compelling reasons. NASA and its human spaceflight program are in need of stability, having been redirected several times in the last decade. On the other hand, decisions about the future should be made by assessing marginal costs and marginal benefits. Sunk costs can never be used as a reason *not* to change. The nation should adopt a long-term strategy for human spaceflight, and changes should be made only for truly compelling reasons. This report describes the advantages and disadvantages of each program option offered. The determination of whether, in balance, these exceed the “compelling reason” threshold is, of course, the essence of making a decision with regard to the future of the human spaceflight program.

There is an often-overlooked but vitally important part of the human spaceflight program that takes place here on Earth. This includes the contributions of the myriad engineers, technicians, scientists and other personnel who work in NASA and industry. As Buzz Aldrin famously said, “It’s amazing what one person can do, along with 10,000 friends.” Special attention needs to be devoted to assuring the vitality of those portions of the workforce that represent critical and perishable skills that are unique to the space program. One example is the design and manufacturing of very large, solid-propellant motors. At the same time, it is demeaning to NASA’s professionalism to treat the human spaceflight effort as a “jobs” program. Only a modest fraction of jobs generally fits the “critical, perishable and unique” criterion. The NASA Administrator needs to be given the authority to tailor the size of the NASA workforce and the number of Centers employing that workforce to match foreseeable needs, much as is routinely done in the private sector under the pressure of competition. For example, when the end of the Cold War changed the role of the aerospace industry, some 640,000 jobs were terminated. Work should be allocated among centers to reflect their legitimate ability to contribute to the tasks to be performed, not simply to maintain a fixed workforce.

NASA’s relationship with the private sector requires particularly thoughtful attention. The two entities should not be in competition. NASA is generally at its best when innovating, creating and managing challenging new projects—not when its talents are devoted to more routine functions. Industry is generally at its best when it is developing, constructing and operating systems.

■ 9.4 SYSTEMS ENGINEERING

If NASA is to successfully execute the complex undertakings to which it aspires, it must maintain a world-class systems engineering capability, a capability that this and other reviews have deemed to be marginal in its current embodiment. The dilemma is that the best systems engineers are often those with a great deal of experience—“scar tissue,” as it is often called by those in the aerospace industry. But how can one get scar tissue if one is confined to studying, analyzing and overseeing the work of others? The answer, by and large, is that one cannot.

One of NASA’s answers to this dilemma—which has generated criticism in the past—has been to assume responsibility for developing selected major items of hardware internally (e.g., the Ares I upper stage). This of course places the institution in the hazardous position of serving at once as judge, jury and potential defendant, as well as being in competition with those it manages in other arenas. Thus, NASA finds itself in the position of designing hardware, the engineering drawings for which are being produced by subcontractors to NASA to be handed over to a prime contractor to produce. This sort of formulation warrants exceptionally careful monitoring: it is fraught with opportunities for managerial conflict and technical incompatibility.

A preferred approach for NASA to acquire a strengthened systems engineering capability would be to encourage, or at least permit, the movement of particularly talented individuals back and forth between government and industry, as often occurred during the Apollo Program. This, however, is now discouraged or even precluded by today’s government personnel policies (e.g., the long time needed for hiring, well-intentioned but prohibitive conflict of interest policies, etc.). Given this circumstance, the Committee sees no ideal solution for maintaining a strong systems engineering capability at NASA. Perhaps the best among a generally limited array of choices is for NASA occasionally to take direct responsibility for relatively modest pieces of hardware, a responsibility that would not include making or subcontracting engineering or shop drawings for major items to be produced by others. It is noteworthy that the technology development program cited elsewhere in this report could be an effective training ground for systems engineers (as well as program managers), all while maintaining risk at a manageable level.

■ 9.5 PROCURING SYSTEMS

The Committee has examined various future NASA system options and has observed that in many instances, one of the more significant discriminators in development and operations costs is neither what NASA procures nor who supplies it—but rather how NASA procures and operates a system. The way NASA specifies, acquires, and uses systems; the tools NASA uses to manage its workforce; and the agency’s authority to make purchase commitments: all have a very large impact on what NASA can achieve for a given budget.

Currently, NASA labors under many restrictions and practices that impair its ability to make effective use of the nation’s industrial base. For example:

- NASA is commonly not allowed to change the size and composition of its workforce or facilities, which limits its ability to save money through the purchase of commercially available products.
- NASA has limited ability to shift funds between related projects to adapt to technical challenges without a protracted approval process.
- NASA is not permitted to make loan guarantees or employ other mechanisms by which it could create a market for commercial providers that might otherwise invest private funds in meeting some of NASA’s needs. (The Department of Defense has procurement rules that allow this.) For example, NASA could very likely acquire propellant depots by making a “bankable” commitment to purchase propellant from such a depot; but depending on a “promise” from NASA today would almost certainly not be viewed as a reasonable risk by private investors.
- NASA is expected to undertake long-term projects with little hope of budget stability.

With regard to human spaceflight, it is the Committee’s view that NASA can and should be the source of:

- Research and technology
- Technology maturation
- System requirements
- Systems architecture
- Procurement oversight
- Exploration operations
- Expensive, multiple-user facilities

NASA generally should not be its own supplier. Numerous studies have shown that any organization, public or private, that is its own supplier lacks much of the incentive to deliver the most cost-efficient product. Today NASA has many options available to procure systems innovatively. These include (but are not limited to): commercial purchases; Space Act agreements; COTS-like cost-sharing agreements; prizes for innovative technologies; and others.

Determining the requirements for an engineering project while it is being built inevitably leads to a very expensive result. Requirements should be clearly established prior to beginning engineering development. Work that contains significant risk or for which scope cannot be accurately defined is generally best performed under cost-reimbursable contracts. Work with scope that can be accurately defined should generally be conducted under fixed-price contracts.

The Committee is convinced that NASA can substantially increase the opportunities for entrepreneurial, commercial involvement in its space programs by more aggressively utilizing the commercial authorities already granted to the agency, and by adopting benchmarks in commercial practices utilized in other federal agencies.

■ 9.6 MANAGING THE BALANCE OF HUMAN AND ROBOTIC SPACEFLIGHT

Although the Committee was tasked only to address the human spaceflight program, including robotic missions that are specifically encompassed within that program, it is appropriate to comment about the role and synergy of human and robotic exploration as a whole. The Committee believes that America is best served by a complementary and balanced space program involving both a robotic component and a human component. The robotic portion is often but not exclusively associated with science missions. Without a strong and sustainable science program—the means of acquiring fundamental new knowledge—any space program would be hollow. The same can be said of the absence of a human spaceflight program. Humans in space, on new and exciting missions, inspire the public. But so do the spectacular accomplishments of such robotic spacecraft as the Hubble Space Telescope, the Mars rovers, the Earth Observing System satellites, or the twin Voyager spacecraft that are poised to reach interstellar space. This is to suggest that both the human spaceflight program and the science program are key parts of a great nation's space portfolio.

Needless to say, robotic spaceflight should play an important role in the human spaceflight program itself, reconnoitering scientifically important destinations, surveying future landing sites, providing logistical support and more. Correspondingly, humans can play an important role in science missions, particularly in field geology, exploration, and the maintenance and enhancement of robotic systems in space. (See Figure 9.6-1.) It is in the interest of both science and human spaceflight that a credible and well-rationalized strategy of coordination between the two types of pursuit be developed—without forcing unwarranted intermingling in areas where each would better proceed on its own.

Robotic activity in space is generally much less costly than human activity and therefore offers a major inherent advantage. Of even greater importance, it does not place human lives at risk. Astronauts provide their greatest advantage in the most complex or novel environments or circumstances. This will be the case in the exploration of planetary surfaces and in repair or servicing missions of the type undertaken for the Hubble Space Telescope's primary mirror. In contrast, the value of humans in space is usually at its minimum when they are employed transporting cargo. The bottom line is that there are important roles to be played by both humans and robots in space, and America should strive to maintain a balanced program incorporating the best of both kinds of explorers.

That said, there are nonetheless inevitable conflicts—conflicts that arise from the competition among programs for resources, particularly financial resources. It is therefore of the utmost importance, if balance is to be maintained, that neither the human program nor the robotic spaceflight program be permitted to cannibalize the other. This has been a significant concern in the past, particularly given the size of the human spaceflight program. Difficulties in the human space program too often swallowed resources that had been planned for the robotic program (as well as for aeronautics and space technology). Robotics are generally, although not exclusively, considered to be of greater interest to the scientific community. It is essential that *budgetary* firewalls be built between these two broad categories of activity. In the case of the International Space Station, one firewall should be the establishment of an organizational entity to select endeavors to be pursued aboard the Space Station. Without such a mechanism, turmoil is assured and program balance endangered.



Figure 9.6-1. Experience with the Hubble Space Telescope (shown here being placed onto orbit) and Space Shuttle offers an example of the potential synergy of human and robotic spaceflight. Source: NASA (STS-31 Mission Onboard photograph)

FINDINGS

The right mission and the right size: NASA's budget should match its mission and goals. Further, NASA should be given the ability to shape its organization and infrastructure accordingly, while maintaining facilities deemed to be of national importance.

Robotic program coordination: The robotic and human explorations of space should be synergistic, both at the program level (e.g., science probes to Mars and humans to Mars) and at the operational level (e.g., humans with robotic assistants on a spacewalk). Without burdening the space science budget or influencing its process of peer-based selection of science missions, NASA should proceed to develop the robotic component of its human exploration program.

Management authority: The NASA Administrator and program managers need to be given the responsibility and authority to manage their endeavors. This includes providing flexibility to tailor resources, including people, facilities and funds, to fit mission needs.

Stability in programs: In the most recent decade, NASA has spent about 80 percent of the GDP-deflated budget that it had in the decade of Apollo. Recurring budget ambiguities and reductions and redirections of policy, coupled with the high fixed-cost structure of NASA, have not optimized the return on that investment.

Right job for the NASA workforce: NASA has a talented (but aging) workforce. NASA should focus on the challenging, long-term tasks of technology development, cutting-edge new concepts, system architecture development, requirements definition, and oversight of the development and operation of systems.

Fixed operating costs at NASA: There are significant fixed costs in the NASA system. Given that reality, reducing the funding profile much below the optimum for the development of a given program has an amplified effect of delaying benefits and increasing total program cost.

NASA's fundamental budgetary conundrum: Within the current structure of the budget, NASA essentially has the resources either to build a major new system or to operate one, but not to do both. This is the root cause of the gap in capability of launching crew to low-Earth orbit under the current budget and will likely be the source of other gaps in the future.

Commercial involvement in exploration: NASA has considerable flexibility in its acquisition activities due to special provisions of the Space Act. NASA should exploit these provisions whenever appropriate, and in general encourage more engagement by commercial providers, allocating to them tasks and responsibilities that are consistent with their strengths.

■ 9.7 CONCLUDING SUMMARY

NASA is the most accomplished space organization in the world. Its human spaceflight activities are nonetheless at a tipping point, primarily due to a mismatch of goals and resources. Either additional funds need to be made available or a far more modest program involving little or no exploration needs to be adopted. Various options can be identified that offer exciting and worthwhile opportunities for the human exploration of space if appropriate funds can be made available. Such funds can be considerably leveraged by having NASA attack its overhead costs and change some of its traditional ways of conducting its affairs—and by giving its management the authority to bring about such changes. The American public can take pride in NASA's past accomplishments; the opportunity now exists to provide for the future human spaceflight program worthy of a great nation.

Committee Member Biographies

CHAIRMAN

Norman R. Augustine



Norman R. Augustine graduated from Princeton University where he was awarded Bachelor's and Master's degrees in aeronautical engineering and was elected to Phi Beta Kappa, Tau Beta Pi and Sigma Xi. He is a retired Chairman and CEO of Lockheed Martin Corporation and has served as Assistant Secretary, Under Secretary and Acting Secretary of the Army and as an Assistant Director of Defense Research and Engineering. He has served as Chairman of the National Academy of Engineering, the Defense Science Board and the Aerospace Industries Association, and as President of the American Institute of Aeronautics and Astronautics. A 16-year member of the President's Council of Advisors on Science and Technology, Mr. Augustine chaired the Aeronautics Committee of the NASA Advisory Council and served on the Air Force Scientific Advisory Board. He is an Honorary Fellow of the American Institute of Aeronautics and Astronautics and a Fellow of the American Society of Mechanical Engineers, the Institute of Electrical and Electronic Engineers and the International Academy of Astronautics. A former member of the faculty of the Princeton University Department of Mechanical and Aerospace Engineering, he has received the National Medal of Technology, awarded by the President of the United States, and holds 23 honorary degrees.

Wanda M. Austin



Wanda M. Austin earned a Bachelor's Degree in mathematics from Franklin & Marshall College, Master's degrees in systems engineering and mathematics from the University of Pittsburgh, and a Ph.D. in systems engineering from the University of Southern California. She is President and CEO of The Aerospace Corporation, a leading architect for the nation's national security space programs. She is a member of the National Academy of Engineering, a Fellow of the American Institute of Aeronautics and Astronautics, and a member of the International Academy of Astronautics. She was a member of the National Research Council's Committee on the Rationale and Goals of the U.S. Civil Space Program. She previously served on the NASA Advisory Council and the NASA Aerospace Safety Advisory Panel. Dr. Austin has received the National Intelligence Medallion for Meritorious Service, the Air Force Scroll of Achievement, the National Reconnaissance Office Gold Medal, the U.S. Air Force Meritorious Civilian Service Medal and the NASA Public Service Medal. She was inducted into the "Women in Technology International" Hall of Fame, and she has been named the 2009 Black Engineer of the Year. Dr. Austin is internationally recognized for her work in satellite and payload system acquisition, systems engineering and system simulation.

Bohdan I. Bejmuk

Bohdan (Bo) I. Bejmuk is an aerospace consultant with in-depth knowledge of space systems and launch vehicles. He is Chairman of the Standing Review Board for the NASA Constellation Program. Mr. Bejmuk retired from the Boeing Company in 2006, where he was the Space Shuttle Orbiter Program Director, responsible for all Orbiter engineering efforts. During Space Shuttle development and early operations, he served as Program Manager for system engineering and integration. On Sea Launch, an international joint venture, he was the Executive Vice President and Chief Engineer, directing all aspects of the company's development and leading a multinational workforce of several thousand engineers and shipyard workers. After completion of Sea Launch development, he was manager of operations at the California home port and at the Pacific Ocean launch region. Mr. Bejmuk also served in numerous senior positions at Rockwell International and Martin Marietta. He received a B.S. and M.S. in mechanical engineering from the University of Colorado. A member of the International Academy of Astronautics, he received the Lloyd V. Berkner Award, the Aviation Week Laurels Award, the National Public Service Medal, awarded twice by NASA, and the Rockwell International Presidents Award. He was also recognized as an Eminent Engineer by the California Institute of Technology.



Leroy Chiao

In 15 years with NASA, astronaut Leroy Chiao logged more than 229 days in space, including 36 hours in extra-vehicular activity. A veteran of four space missions, Dr. Chiao most recently served as Commander and NASA Science Officer of Expedition 10 aboard the International Space Station. He was a Space Shuttle Mission Specialist and also certified as a copilot of the Russian Soyuz spacecraft. Since leaving NASA in 2005, Dr. Chiao has worked with entrepreneurial business ventures in the U.S., China, Japan and Russia. Among these, he is Executive Vice President and a Director of Excalibur Almaz, a private manned spaceflight company. He serves as Chairman of the National Space Biomedical Research Institute User Panel and advisor and spokesman for the Heinelein Prize Trust. Dr. Chiao is a Director of the Challenger Center for Space Science Education and of the Committee of 100, an organization of prominent U.S. citizens of Chinese descent. Dr. Chiao earned a B.S. from the University of California at Berkeley and his M.S. and Ph.D. at the University of California at Santa Barbara, all in chemical engineering. Prior to joining NASA, he worked as a research engineer at Hexcel Corp. and then at the Lawrence Livermore National Laboratory.



Christopher Chyba

Christopher Chyba is Professor of Astrophysical Sciences and International Affairs at Princeton University. He previously was Associate Professor of Geological and Environmental Sciences at Stanford University, and held the Carl Sagan Chair at the SETI Institute. Dr. Chyba served at the White House from 1993 to 1995, entering as a White House Fellow on the National Security Council staff, and then in the Office of Science and Technology Policy. In 1996, Dr. Chyba received the Presidential Early Career Award for Scientists and Engineers. In 2001, he was named a MacArthur Fellow for his work in both international security and planetary science. Dr. Chyba serves on the National Academy of Sciences Committee on International Security and Arms Control and is past Chair of the National Research Council Committee on Preventing the Forward Contamination of Mars. He has served on NASA's Space Science Advisory Committee, for which he chaired the Solar System Exploration Subcommittee, and he chaired the Science Definition Team for NASA's Europa Orbiter mission. A physics graduate of Swarthmore College, Dr. Chyba holds an M.Phil. from Cambridge University, where he was a Marshall Scholar, and a Ph.D. in astronomy and space sciences from Cornell University. In 2009, President Obama appointed him to the President's Council of Advisors on Science and Technology.



Edward F. Crawley



Edward F. Crawley is the Ford Professor of Engineering at MIT, and is a Professor of Aeronautics and Astronautics and of Engineering Systems. He received an S.B. and Sc.D. in aerospace engineering from MIT, and he holds an honorary Doctorate from Chalmers University. He has served as the Head of the MIT Department of Aeronautics and Astronautics, the Director of the Cambridge – MIT Institute, and the Director of the Bernard M. Gordon – MIT Engineering Leadership Program. His research has focused on the domain of architecture, design and decision support in complex technical systems. Dr. Crawley is a Fellow of the AIAA and the Royal Aeronautical Society (UK), and is a member of three national academies of engineering: in Sweden, the UK and the United States. Dr. Crawley served as Chairman of the NASA Technology and Commercialization Advisory Committee, and he was a member of the 1993 Presidential Advisory Committee on the Space Station Redesign. He recently co-chaired the committee of the NRC reviewing the NASA Exploration Technology Development Program. He served as a lecturer at the Moscow Aviation Institute, and as a Guest Professor at Tsinghua University in Beijing. In 1980 he was a finalist in the NASA astronaut selection. He has founded three entrepreneurial companies and currently sits on several corporate boards.

Jeff Greason



Jeff Greason, CEO of XCOR Aerospace, has 18 years of experience managing innovative, leading-edge technical project teams at XCOR Aerospace, Rotary Rocket and Intel Corporation. At XCOR, he leads a team that designs, builds and operates long-life, low-cost, reusable rocket engines and rocket-powered vehicles for government and private markets. During his work at XCOR, Mr. Greason has had final go/no-go authority on more than 20 manned rocket flights and hundreds of rocket engine tests. The company has won and successfully completed government contracts for NASA, the U.S. Air Force and DARPA. A recognized expert in reusable launch vehicle regulations of the Federal Aviation Administration's Office of Commercial Space Transportation, he testified before the joint House/Senate subcommittee hearings on Commercial Human Spaceflight, which led to the Commercial Space Launch Amendments Act of 2004. He serves on the Commercial Space Transportation Advisory Committee (COMSTAC) and is a co-founder and Vice Chairman of the Personal Spaceflight Federation, a trade association for innovative launch companies. Mr. Greason was cited by Time magazine in 2001 as one of the "Inventors of the Year" for his team's work on the EZ-Rocket. At Intel, he developed leading-edge processor design techniques and received the coveted Intel Achievement Award. He holds 18 U.S. patents and graduated with honors from the California Institute of Technology.

Charles F. Kennel



Charles F. Kennel earned his A.B. at Harvard University and his Ph.D at Princeton University, studying space plasma physics and astrophysics. After three years at the Avco-Everett Research Laboratory, he joined the UCLA Physics Department, eventually chairing the department, and TRW Systems. He served as: NASA Associate Administrator for Mission to Planet Earth; UCLA Executive Vice Chancellor; Director of the Scripps Institution of Oceanography; and Vice Chancellor of Marine Sciences, University of California, San Diego. Dr. Kennel is a member of the National Academy of Sciences, the American Academy of Arts and Sciences, the American Philosophical Society and the International Academy of Astronautics. He has chaired the National Academy's Solar and Space Physics, Global Change Research, Fusion Sciences, and Beyond Einstein committees, as well as its Board on Physics and Astronomy. He was a member of the Pew Oceans Commission and both member and Chair of the NASA Advisory Council. He now chairs the California Council on Science and Technology and the NRC Space Studies Board, and is a Board member of the Bermuda Institute of Ocean Sciences. Dr. Kennel has received prizes from the American Physical Society, the European Geophysical Union and the Italian Academy, both the NASA Distinguished Service and Distinguished Public Service medals, and an honorary degree from the University of Alabama.

Lester L. Lyles

Lester L. Lyles, a retired U.S. Air Force four-star general, graduated from Howard University with a Bachelor's Degree in mechanical engineering. He began his 35-year career in the Air Force as a space vehicle engineer, after earning a Masters Degree in mechanical/nuclear engineering from New Mexico State University. Following the Shuttle Challenger accident, General Lyles directed the recovery operations conducted by the Air Force Space-Launch Systems Office. For this effort, the National Space Club recognized him as the Astronautics Engineer of the Year. General Lyles commanded the Ogden Air Logistics Center and the Space and Missile Systems Center, and he directed the Ballistic Missile Defense Organization. He served as the 27th Vice Chief of Staff of the U.S. Air Force and Commander of the Air Force Materiel Command. He served as a member of the President's Commission on the Implementation of the U.S. Space Exploration Vision, and he chaired the National Research Council study on the Rationale and Goals of the U.S. Civil Space Program. He chairs the Aeronautics Committee of the NASA Advisory Council, and he is the Vice Chair of the Defense Science Board. His numerous honors include the Black Engineer of the Year Lifetime Achievement Award, as well as two honorary doctoral degrees.



Sally K. Ride

Sally K. Ride earned a B.S. in physics and B.A. in English, followed by her M.S. and Ph.D. in physics, all at Stanford University. She is a Professor of Physics (Emerita) at the University of California, San Diego, and the CEO of Imaginary Lines, Inc. Dr. Ride, the first American woman in space, was an astronaut for more than 10 years, flying on two Space Shuttle missions. She was NASA's first Director of Exploration and first Director of Strategic Planning, and she is the only person to serve on both the Space Shuttle Challenger and Columbia accident investigation boards. A Fellow of the American Physical Society and Board Member of both the California Institute of Technology and the Aerospace Corporation, she has also served on the Space Studies Board, the Board of the Congressional Office of Technology Assessment, the President's Commission on White House Fellows and the President's Committee of Advisors on Science and Technology. Dr. Ride has received the Jefferson Award for Public Service, the Von Braun Award and the Lindbergh Eagle, and she has twice been awarded the National Spaceflight Medal. She is a member of the Aviation Hall of Fame and the Astronaut Hall of Fame.



Committee Staff

Designated Federal Official/ Executive Director

**Philip McAlister, Special Assistant for Program Analysis,
NASA Headquarters**

NASA

NASA Chief of Staff

George Whitesides, NASA Headquarters

NASA Review Team

Study Team

Dr. W. Michael Hawes, HQ - Team Lead

Brent Jett, JSC - Deputy

Tricia K. Mack, HQ - Executive Officer

Program Risk Assessment Team

David Radzanowski, HQ - Team Lead

Frank H. Bauer, HQ

Glenn Butts, KSC

Melek Ferrara, SAIC

Eameal Holstien, JSC

Charlie Hunt, HQ

Mahmoud R. Naderi, MSFC

Josh Manning, HQ

Stanley McCaulley, MSFC

Carey McCleskey, KSC

Arlene Moore, HQ

Krista Paquin, HQ

Tom Parkey, GRC

Frank A. (Andy) Prince, MSFC

Patrick Scheuermann, SSC

Daniel Schumacher, MSFC

Robert Sefcik, GRC

Christian Smart, Ph.D., SAIC

Edgar Zapata, KSC

Engineering Analysis

Ralph Roe, HQ - Team Lead

Mike Kirsch, LaRC - Deputy

Dawn Schaible, LaRC - Deputy

Reginald Alexander, MSFC

John D. Baker, JPL

Keith Brock, SSC

William M. Cirillo, LaRC

Scott Colloredo, KSC

John Connolly, JSC

Terri Derby, ATK Space Systems

Bret Drake, JSC

Dennis Hines, DFRC

Eric Isaac, GSFC

Michael A. Johnson, GSFC

Kent Joosten, JSC

Dave Korsmeyer, ARC

Steven Labbe, JSC

Rick Manella, GRC

Roland Martinez, JSC

Paul McConnaughey, MSFC

Pat Troutman, LaRC

Engineering Analysis Support

Dan Adamo (ret'd), JSC

Rob Adams, MSFC

Faye Allen, Futron Corp.

David Anderson, MSFC

Mark Andraschko, LaRC

Michael Baine, JSC

Stanley Borowski, GRC

Robert Bruce, SSC

Sue Burns, JSC

Robert Cataldo, GRC

Grant R. Cates, Ph.D., SAIC

David Chato, GRC

Robert Christy, GRC

Kristine Collins, LaRC

John Cornwell, JSC

Nicholas Cummings, KSC

Dominic DePasquale, SEI

Ian Dux, GRC

Kevin Earle, LaRC

Walt Engelund, LaRC

Engineering Analysis Support (cont)

Robert Falck, GRC
 James Fincannon, GRC
 Brad Flick, DFRC
 Joseph R. Fragola, Valador Inc
 Joseph Gaby, ASRC
 Ricardo Galan, SAIC
 Dr. David M Garza, Aerospace
 Leon Gefert, GRC
 James Geffre, JSC
 Justin Gelito, SAIC
 Patrick George, GRC
 John C. Goble, Aerospace
 Reynaldo Gomez, JSC
 Kandyce Goodliff, LaRC
 Joe Grady, GRC
 Brand Griffin, Gray Research
 John Gruener, JSC
 Gene Grush, JSC
 Kurt Hack, GRC
 Leon Hastings, MSFC
 Edward Henderson, JSC
 Stephen Hoffman, SAIC
 Dr. Robert L. Howard, Jr., JSC
 A. Scott Howe, JPL
 Eric Hurlbert, JSC
 Daniel C. Judnick, Aerospace
 Kriss Kennedy, JSC
 D.R. Komar, LaRC
 Larry Kos, MSFC
 Rob Landis, ARC
 Jeffrey A. Lang, Aerospace
 Roger Lepsch, LaRC
 Dr. Marcus A. Lobbia, Aerospace
 Gaspare Maggio, ISL
 Richard Mattingly, JPL
 Nicholas E. Martin, Aerospace
 Daniel Mazanek, LaRC
 Dave McCurdy, ARSC
 Melissa L. McGuire, GRC
 Pat McRight, MSFC
 Raymond (Gabe) Merrill, LaRC
 Michael Meyer, GRC
 Glenn Miller, JSC
 Dr. Inki A. Min, Aerospace
 Nathaniel Morgan, LaRC
 Jack Mulqueen, MSFC
 Tri X. Nguyen, JSC
 Steven Oleson, GRC
 Tom Packard, Analox Corp.
 Bill Pannell, MSFC
 Robert Phillips, Futron Corp.
 Charles Pierce, MSFC
 Blake F. Putney Jr., Valador, Inc.
 Shawn Quinn, KSC
 David Reeves, LaRC
 J.D. Reeves, LaRC
 Joe Roche, GRC
 Marianne Rudisill, LaRC
 Joshua Sams, AMA, Inc.
 Rudolph Saucillo, LaRC
 Larry Schultz, KSC
 Hilary Shyface, AMA, Inc.
 Gary Spexarth, JSC
 Jonette Stecklein, JSC
 Chel Stromgren, SAIC
 Phil Sumrall, MSFC

Ted Sweetser, JPL
 Ted Talay, JF&A
 William Taylor, GRC
 Chris Teixeira, SAIC
 Andrew Thomas, JSC
 Ed Threet, MSFC
 Larry Touns, JSC
 Stephen Tucker, MSFC
 Eugene Ungar, JSC
 Timothy Verhey, GRC
 Phil Weber, KSC
 Robert Werka, MSFC
 Charles Whetsel, JPL
 Doug Whitehead, JSC
 Jeff Woytach, GRC

Strategic Analysis and Collaboration

Tom Cremins, HQ - Team Lead

Dave Bartine, KSC
 Dennis Boccippio, MSFC
 John Casani, JPL
 Doug Comstock, HQ
 Jason Derleth, HQ
 Karen Feldstein, HQ
 Chris Ferguson, JSC
 Lee Graham, JSC
 Richard B. Leshner, HQ
 Neal Newman, HQ
 Mark Uhman, HQ

Science and Technology

Michael Meyer, HQ – Team Lead

Ariel Anbar, AZ State University
 Dave Beaty, JPL
 Francis A. Cucinotta, JSC
 Jeffrey R. Davis, JSC
 James Head, Brown University
 Terri Lomax, NC State University
 John Mustard, Brown University
 Clive Neal, Univ. of Notre Dame
 Edward Semones, JSC
 Mark Sykes, Planetary Sci. Inst.
 Meenakshi Wadhwa, AZ State Univ.

Programs, Legislative Affairs, Legal Counsel, Public Affairs, External Affairs

Susan Burch, HQ
 Rebecca Gilchrist, HQ
 Bill Hill, HQ
 Margaret Kieffer, HQ
 Mary D. Kerwin, HQ
 Doc Mirelson, HQ
 Diane Rausch, HQ
 Kirk Shireman, JSC
 Kathleen Teale, HQ

Astronaut Representatives

Chris Ferguson, JSC
 Don Pettit, JSC
 Andy Thomas, JSC

NASA Television

Fred A. Brown, Team Lead

Allard Beutel, KSC
Cliff Feldman
Mark Hailey
Cedric Harris
Bill Hubscher, MSFC
John Malechek
Bob Moder, MSFC
Anthony Stewart
Jennifer Tharpe, KSC
Robert Williams

Committee Site Visit Support

Sandra H. Turner MSFC
Patsy H. Fuller, MSFC

Valador, Inc. Support

Donna Connell, Team Lead

Dennis R. Bonilla
Lisa S. Connell
James Convy IV
Kathleen Gallagher
Sandra L. George
Matthew Kohut
Erek Korgan
Stephen F. Spiker
Kelly E. Stocks
Lester A. Reingold
Tate S. Srey
Patricia D. Trenner
Joseph A. Valinotti III

Executive Assistant to Norman R. Augustine

Laura J. Ahlberg

Aerospace Corporation Support

Gary P. Pulliam - Vice President

Matthew J. Hart - Team Lead

John P. Skratt - Team Lead

Dr. Inki A. Min - Team Lead

Debra L. Emmons - Team Lead

Dr. David A. Bearden - Team Lead

David S. Adlis
Joseph A. Aguilar
Dr. Kristina Alemany
Violet Barghe-Sharghi
Stephanie E. Barr
Robert E. Bitten
Garry H. Boggan
John J. Bohner
Daniel W. Bursch
Vincent M. Canales
Dr. Shirin Eftekhardezeh
Debra L. Emmons
Dr. Robert W. Francis
Jonathan P. French
Gregory Fruth
Larcine Gantner
Alisa M. Hawkins
Thanh D. Hoang
Thomas P. Jasin
Ray F. Johnson
Daniel C. Judnick
Randolph L. Kendall
Dr. Robert J. Kinsey
Jeffrey A. Lang
Dr. Tung T. Lam
Glenn W. Law
Dr. Austin S. Lee
Jeffrey R. Lince
Dr. Marcus A. Lobbia
Nicholas E. Martin
John P. Mayberry
Shannon P. McCall
Michael R. Moore
Elisha B. Murrell
Dr. Jon M. Neff
Daniel A. Nigg
Thomas Paige
Jay P. Penn
Dr. Torrey O. Radcliffe
Ernest Y. Robinson
Louis H. Sacks
Felix T. Sasso
Maria E. Sklar
Morgan W. Tam
Paul R. Thompson
E. J. Tomei, Jr.
Dr. Leslie A. Wickman
Justin S. Yoshida
Dr. Albert H. Zimmerman

Review of U.S. Human Space Flight Plans

Statement of Task

This Statement of Task establishes and informs a review to be conducted in support of planning for U.S. human space flight activities beyond the retirement of the Space Shuttle. The purpose of this effort is to develop suitable options for consideration by the Administration regarding a human space flight architecture that would:

- Expedite a new U.S. capability to support utilization of the International Space Station
- Support missions to the Moon and other destinations beyond low Earth orbit (LEO)
- Stimulate commercial space flight capability
- Fit within the current budget profile for NASA exploration activities

The review will be led by an independent, blue-ribbon panel of experts who will work closely with a NASA team and will report progress on a regular basis to NASA leadership and the Executive Office of the President. This independent review will provide options and related information to involved Administration agencies and offices in sufficient time to support an August 2009 decision on the way forward. As necessary and appropriate, the team may seek early decisions from the Administration on some of these options. A final report containing the options and supporting analyses from this review also will be released.

Scope

The review should:

- Evaluate the status and capabilities of the agency's current human space flight development program;
- Evaluate other potential architectures that are capable of supporting the mission areas described above;
- Evaluate what capabilities and mission scenarios would be enabled by the potential architectures under consideration, including various destinations of value beyond LEO;
- Consider options to extend International Space Station operations beyond 2016;
- Examine the appropriate degree of R&D and complementary robotic activities necessary to make human space flight activities affordable and productive over the long term;
- Examine appropriate opportunities for international collaboration; and
- Not rely upon extending Space Shuttle operations in assessing potential architectures.

The review may evaluate architectures that build on current plans, existing launch vehicles and infrastructure, Space Shuttle-related components and infrastructure, the two Evolved Expendable Launch Vehicle (EELV) families, and emerging capabilities. It may also consider architectures that vary in terms of the capability that would be delivered beyond low Earth orbit (e.g., the number of crew and the duration of these missions), while describing the implications of such choices for possible mission goals and scenarios. In addition to new analyses required in support of this effort, the review team should consider, where appropriate, other studies and reports relating to this subject.

Evaluation Parameters

The review should examine potential architectures relative to the following key evaluation parameters:

- Crew (and overall mission) safety;
- Overall architecture capability (e.g., mission duration, mass delivered to low Earth orbit and other selected destinations, flexibility);
- Life-cycle costs (including operations costs) through 2020;
- Development time;
- Programmatic and technical risk;
- Potential to spur innovation, encourage competition, and lower the cost of space transportation operations in the existing and emerging aerospace industry;
- Implications for transition from current human space flight operations;
- Impact on the nation's industrial base and competitiveness internationally;
- Potentially expanded opportunities for science;
- Potential for enhanced international cooperation as appropriate;
- Potential to enhance sustainability of human space activities;
- Potential for inspiring the nation, and motivating young people to pursue careers in science, technology, engineering and mathematics subjects;
- Benefit to U.S. Government defense and intelligence space-related capabilities; and
- Contractual implications.

Budget

Budget options considered under the review must address the development of a human space flight architecture, robotic spacecraft to support and complement human activities, and R&D to support future activities. The review should assume the following 2010-2014 budget profile for these activities:

2010	2011	2012	2013	2014
3,963.1	6,092.9	6,077.4	6,047.7	6,274.6

(\$ in millions)

Based on the results of this review, the Administration will notify Congress of any needed changes to the FY2010 President's Budget Request.

Charter of the Review of U.S. Human Space Flight Plans Committee

1. Official Designation: Review of U.S. Human Space Flight Plans Committee (“The Committee”)

2. Authority: Having determined that it is in the public interest in connection with the performance of Agency duties under law, and in consultation with the U.S. General Services Administration, the NASA Administrator hereby establishes the Review of U.S. Human Space Flight Plans Committee pursuant to the Federal Advisory Committee Act (FACA), as amended, 5 U.S.C. App.

3. Scope and Objectives: The Committee shall conduct an independent review of ongoing U.S. human space flight plans and programs, as well as alternatives, to ensure the nation is pursuing the best trajectory for the future of human space flight – one that is safe, innovative, affordable, and sustainable. The Committee should aim to identify and characterize a range of options that spans the reasonable possibilities for continuation of U.S. human space flight activities beyond retirement of the Space Shuttle. The identification and characterization of these options should address the following objectives: a) expediting a new U.S. capability to support utilization of the International Space Station (ISS); b) supporting missions to the Moon and other destinations beyond low Earth orbit (LEO); c) stimulating commercial space flight capability; and d) fitting within the current budget profile for NASA exploration activities.

In addition to the objectives described above, the review should examine the appropriate amount of R&D and complementary robotic activities needed to make human space flight activities most productive and affordable over the long term, as well as appropriate opportunities for international collaboration. It should also evaluate what capabilities would be enabled by each of the potential architectures considered. It should evaluate options for extending International Space Station operations beyond 2016.

4. Description of Duties: The Committee will provide advice only.

5. Official to Whom the Committee Reports: The Committee reports to the NASA Administrator and the Director of the Office of Science and Technology Policy (OSTP), Executive Office of the President. The Committee will submit its report within 120 days of the first meeting of the Committee.

6. Support: The NASA Office of Program Analysis and Evaluation shall provide staff support and operating funds for the Committee.

7. Estimated Annual Operating Costs and Staff Years: The operating cost associated with supporting the Committee’s functions is estimated to be approximately \$3 million, including all direct and indirect expenses. It is estimated that approximately 8 full-time equivalents will be required to support the Committee.

8. Designated Federal Officer: The Executive Director of the Committee shall be appointed by the NASA Administrator and shall serve as the Designated Federal Official (DFO). The DFO must be either a full-time or a permanent part-time employee, who must call, attend, and adjourn committee meetings; approve agendas; maintain required records on costs and membership; ensure efficient operations; maintain records for availability to the public; and provide copies of committee reports to the NASA Committee Management Officer (CMO) for forwarding to the Congress.

9. Estimated Number and Frequency of Meetings: The Committee shall conduct meetings as appropriate at various locations throughout the United States. Meetings shall be open to the public unless it is determined that the meeting, or a portion of the meeting, will be closed in accordance with the Government in the Sunshine Act.

10. Duration: The Committee will exist for 180 days, unless earlier renewed.

11. Termination: The Committee shall terminate within 60 days after submitting its report.

12. Membership and Designation: The Committee shall consist of members to be appointed by the NASA Administrator. The Administrator shall ensure a balanced representation in terms of the points of view represented and the functions to be performed. Each member serves at the pleasure of the Administrator. The Committee shall consist of approximately 5-10 members. It is anticipated that the members will serve as Special Government Employees for the duration of the Committee, renewable at the discretion of the NASA Administrator. The NASA Administrator shall designate the chair of the Committee.

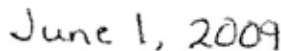
13. Subcommittees: Subcommittees, task forces, and/or work groups may be established by NASA to conduct studies and/or fact-finding requiring an effort of limited duration. Such subcommittees, task forces and work groups will report their findings and recommendations directly to the Committee. However, if the Committee is terminated, all subcommittees, task forces and work groups will also terminate.

14. Recordkeeping: The records of the Committee, formally and informally established subcommittees, or other subgroups of the Committee, shall be handled in accordance with General Records Schedule 26, Item 2, or other approved agency records disposition schedule. These records shall be available for public inspection and copying, subject to the Freedom of Information Act, 5 U.S.C. 552.

15. Charter Filing Date: This charter shall become effective upon the filing of this charter with the appropriate U.S. Senate and House of Representatives oversight committees.



Christopher J. Scolese
NASA Administrator (Acting)



Date



List of Full Committee Meetings and Locations

DATE	MEETING
June 9, 2009	Preparatory Meeting (Teleconference)
June 16, 2009	Preparatory Meeting (Washington, D.C.)
June 17, 2009	Public Meeting (Washington, D.C.)
June 18, 2009	Site Visit (Dulles, VA)
June 24-25, 2009	Site Visit (Huntsville and Decatur, AL; and Michoud, LA)
July 2, 2009	Preparatory Meeting (Teleconference)
July 8-9, 2009	Site Visit (Hawthorne, CA) and Fact-Finding Meetings (El Segundo, CA)
July 14, 2009	Preparatory Meeting (Teleconference)
July 21-23, 2009	Fact-Finding Meetings (Denver, CO)
July 28, 2009	Public Meeting (Houston, TX)
July 29, 2009	Public Meeting (Huntsville, AL)
July 30, 2009	Public Meeting (Cocoa Beach, FL)
August 5, 2009	Public Meeting (Washington, D.C.)
August 5, 2009	Preparatory Meeting (Washington, D.C.)
August 12, 2009	Preparatory Meeting (Washington, D.C.)
August 12, 2009	Public Meeting (Washington, D.C.)
October 8, 2009	Public Meeting (Teleconference)

Briefers and Committee Contacts

The following is a list of individuals who briefed the Committee or responded to its requests for information:

E.C. “Pete” Aldridge
Buzz Aldrin
Brett Alexander
Reginald Alexander
John D. Baker
Frank H. Bauer
Jeanne L. Becker
James M. Beggs
Dallas Bienhoff
Jack Bullman
Jack O. Burns
Frank Buzzard
Bob Cabana
Elizabeth Cantwell
Frank Chandler
Jim Chilton
Lynn Cline
Mike Coats
Cassie Conley
Doug Cooke
Ed Cortwright
Dick Covey
William M. Cirillo
Steve Creech
Chris Culbert
Danny Davis
Jean-Jacques Dordain
Bret Drake
Joseph Dyer
Antonio Elias
Bob Ess

Kevin Eveker
Andrew Falcon
Kenneth Ford
Joseph R. Fragola
Louis Friedman
Robert E. Fudickar
Peter Garretson
Michael Gass
Bill Gerstenmaier
Mark Geyer
John Glenn
Mike Gold
Dan Goldin
Michael D. Griffin
Gene Grush
Jim Halsell
Jeff Hanley
Scott Horowitz
Matthew Isakowitz
Anthony Janetos
Tom Jasin
Chip Jones
Tom Jones
Tony Jones
Kent Joosten
John Karas
Mark Kinnersley
D.R. Komar
Dave Korsmeyer
Jeff Kottkamp
Donald Latham

Joo-Jin Lee
Matt Leonard
Dan Lester
Robert Lightfoot
Steve Lindsay
John M. Logsdon
Steve MacLean
Joanne Maguire
Ed Mango
John Marburger
Roland Martinez
James Maser
Steve Metschan
George E. Mueller
Elon Musk
Jack Mustard
Clive Neal
Scott Neish
Benjamin J. Neumann
Mike O’Brien
Sean O’Keefe
John Olson
Scott Pace
Anatoly Perminov
Pepper Phillips
Carle Pieters
Charles Precourt
Gary P. Pulliam
David Radzanowski
John Rather
Diane Rausch

Keith Reiley
Marcia Rieke
Joe Roche
Harrison “Jack” Schmitt
John Schumacher
John Shannon
Brewster Shaw
Milt Silvera
S. Fred Singer
George Sowers
Jim Spann
Paul Spudis
Steve Squyres
Thomas Stafford
Szymon Suckewer
Mike Suffredini
Phil Sumrall
Jeffrey P. Sutton
Mark Sykes
Keiji Tachikawa
Harley Thronson
Pat Troutman
Mark Uhran
Julie Van Kleeck
Zack Warfield
Johann-Dietrich Woerner
Tom Young
Robert Zubrin

Members of Congress

Representative Robert Aderholt
Representative John Culberson
Representative Davis
Representative Bart Gordon
Representative Parker Griffith
Representative Ralph Hall
Representative Suzanne Kosmas
Representative Dennis Kucinich

Representative Kendrick Meek
Representative Alan Mollohan
Representative Pete Olson
Representative Bill Posey
Senator John Cornyn
Senator Orrin Hatch
Senator Kay Hutchison
Senator Mel Martinez

Senator Barbara Mikulski
Senator Bill Nelson
Senator Jeff Sessions
Senator Richard Shelby
Senator David Vitter

Members of the Public

During the course of the Committee's inquiry and deliberations, more than 1,000 members of the public submitted comments, suggestions and questions, as well as documents for the Committee's consideration.

The Committee wishes to thank all who provided this valuable input.

Communications and Public Engagement

The Committee undertook its task with a strong emphasis on receiving input from, and communicating openly with, the American public, the media, and a broad range of stakeholders in the spaceflight community. The Committee employed both traditional outreach activities as well as an extensive array of Web-based and social media technologies in its efforts to facilitate maximum public engagement.

The Committee Chairman held seven press conferences at various locations throughout the United States. Two of these were teleconferences, enabling members of the media to dial in and participate from anywhere in the world. Transcripts and/or video of these press conferences were posted on the Committee's website.

Prior to beginning work, the Chairman also met individually with seven members of Congress—both Senators and Representatives, Republicans and Democrats, authorizers and appropriators. Members of the Committee participated in two hearings, one in the Senate and one in the House. In addition, many Members of Congress submitted written, oral, and videotaped statements to the Committee, which were subsequently posted on its website.

The Committee held seven public meetings: three in Washington, D.C.; one in Houston, TX; one in Huntsville, AL; one in Cocoa Beach, FL; and one via teleconference. Attendance ranged from 100-300 people at these events. At all but the August 12 public meeting and the October 8 public teleconference, the Committee reserved time for members of the public to make comments and ask questions. All public meetings were videotaped and aired live on NASA TV, and the Committee subsequently posted the videos to its website. All public meetings were also transcribed, with the transcripts also subsequently posted to the website. In addition to the public meetings, the committee held a series of closed preparatory meetings, fact-finding meetings, and site visits.

The Chairman and the Executive Director/Designated Federal Official from NASA provided periodic progress reports to senior officials from NASA, the Office of Science and Technology Policy (OSTP), and the Office of Management and Budget (OMB). Weekly teleconferences were also held with staff members from NASA, OSTP and OMB to provide status reports.

The Committee's primary communications tool was its website: <http://hsf.nasa.gov>. The website enabled anyone with Internet access to interact with the Committee in a variety of ways. The site provided ready access to information about the Committee and its activities, including: meeting presentations; videos and transcripts of public meetings; and background and related documents. These documents included



Figure G-1. The homepage of <http://hsf.nasa.gov> included several tools to enable public engagement with the Committee. Source: Review of U.S. Human Spaceflight Plans Committee

the Committee Charter; Statement of Task; the Federal Register notices; press releases; meeting agendas; Congressional statements; and documents and comments submitted by the public.

The home page of the website also prominently featured a number of tools that enabled members of the public to contact and/or interact with the committee (see Figure G-1):

The homepage of <http://hsf.nasa.gov> included several tools to enable public engagement with the Committee.

- “Provide a Comment or Suggestion” – This enabled members of the public to submit a 500-character comment or suggestion to the committee. Committee staff received more than 1,500 comments and/or suggestions during its activities.
- “Provide a Question and Get an Answer” – This provided a means for members of the public to submit questions to the Committee. The Committee screened questions for general appropriateness and then posted them to the website. Members of the public could then vote on questions that were posed. The Committee received over 250 questions, for which it developed answers that it then posted to the website. The Committee added a search capability to this feature to enable users to search for their questions and answers.
- “Follow the Committee’s Recent Updates (Twitter)” – The Twitter “micro-blog” website provided a means for the Committee staff to send short, informal messages to members of the public who signed up to receive updates from the Committee. The Committee had over 2,000 “followers” through Twitter who elected to receive updates from the Committee. All public meetings were “live-tweeted,” meaning that the Committee posted real-time public updates during presentations.
- “View our Photo Gallery (Flickr)” – The Committee shared pictures and images related to its work through Flickr, a photo-sharing website. The public could make comments on the photos and share images of the Committee’s activities on their own Flickr accounts. Pictures of previous human spaceflight endeavors were also posted. The public viewed an average of about 500 pictures per day on the Committee’s Flickr account. (See Figure G-2.)
- “Share Your Opinion on Topics” – The Committee posed three topics to stimulate public comment:
 - What do you find most compelling about NASA’s human spaceflight activities and why? (147 comments received)
 - What role should international partners play in future U.S. spaceflight plans, and why? (98 comments received)

– To what extent should NASA rely on the private sector for human spaceflight-related products and services? (147 comments received)

- “Subscribe to the Committee’s Updates via RSS” – Like many online publications, the Committee used Real Simple Syndication (RSS) feeds as another means of keeping the public informed about the Committee’s activities and progress. The Committee staff posted RSS updates, each of which were approximately three to five sentences in length.
- “Join Us on Facebook” – The Committee staff developed a Facebook Fan page, which it filled with Committee information, pictures, and resources similar to the overall Committee website, as well as a Facebook Fan page public comment area, or “wall.” The “wall” was used to disseminate daily information and answer general questions regarding the events, documents, and videos posted to the Committee website. The Committee had approximately 2,100 Facebook “Fans.”
- “E-mail a Document” – Members of the public could e-mail files to the Committee, a public engagement feature that had never previously been used on a NASA website. The Committee received over 200 files through this channel. When the sender indicated that a particular file could be shared with the public, the Committee posted it to its website.
- The individual “Meetings” pages allowed the public to view and share the videos of all the public meetings. Internet users could also “favorite” and comment on the videos as well.

The Committee’s goal in employing this broad spectrum of communication avenues was to set a new standard for openness and public interaction for endeavors of the type it was undertaking.

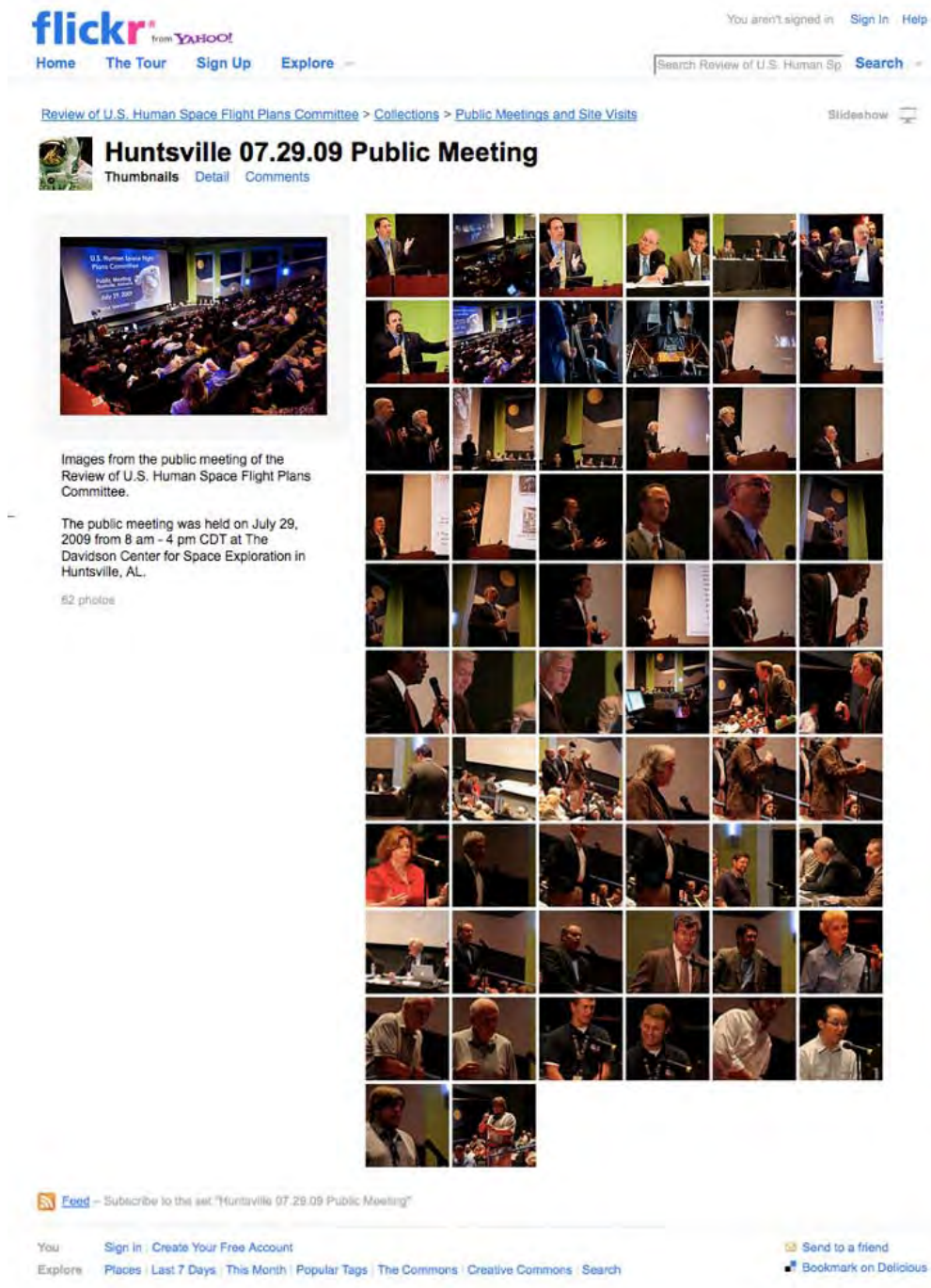


Figure G-2. The Committee's Flickr account enabled it to share photos of its activities with the public. Source: Review of U.S. Human Spaceflight Plans Committee



Bibliography

- Aderholt, Robert, Representative, video statement to the Committee, United States House of Representatives, 29 July 2009
- Advisory Committee on the Future of the U.S. Space Program [Augustine Committee], “Report of the Advisory Committee on the Future of the U.S. Space Program Executive Summary”, December 1990
- Aerospace Safety Advisory Panel, “Annual Report for 2008”, 2008
- Altair Project Office, “Altair Project Status”, NASA Johnson Space Center, 24 June 2009
- Altair Project Office, “Appendix A—Altair LDAC-3 Spacecraft Configuration”, NASA Johnson Space Center, 6 July 2009
- Altair Project Office, “Appendix B—Altair Configuration and Design Development”, NASA Johnson Space Center, 6 July 2009
- Altair Project Office, Appendix C—Altair Operations Concept and Design Reference Missions, Requirements Analysis Cycle 1 Baseline, NASA Johnson Space Center, 31 March 2009; International Traffic in Arms Regulations (ITAR) controlled
- Altair Project Office, “Appendix D—Altair Operations Timeline Document”, NASA Johnson Space Center, 6 July 2009
- Altair Project Office, Ares V Mission Planner’s Guide (Draft), NASA Marshall Space Flight Center, November 2008
- Ares I Crew Launch Vehicle System Description Preliminary Design Review (PDR) Submittal, NASA, Sensitive But Unclassified
- Ares I Integrated Vehicle Design Definition Document CxP 72070 Rev B, 2 March 2009, Sensitive But Unclassified and International Traffic in Arms Regulations (ITAR) controlled
- Ares V Integrated Vehicle Design Definition Document, Phase A, Cycle 1 Configuration, CxP 72359, NASA, 27 April 2009; Sensitive But Unclassified
- Ares V Operational Concepts Document Draft, CxP 72336 and Errata Sheet, NASA Marshall Space Flight Center, 27 April 2009
- Ares V Phase A Cycle 1 System Description Document (SDD), Baseline Draft, NASA, 27 April 2009; Sensitive But Unclassified and International Traffic in Arms Regulations (ITAR) controlled
- Astronaut Office, “Radiation and Astronaut Health Risk”, NASA Johnson Space Center
- “ATK Presentation to the Review of U.S. Human Space Flight Plans Committee”, ATK Space Systems, 21 July 2009
- Baccus, Ronald, NASA Johnson Space Center, Corliss, Jim, NASA Langley Research Center, Hixson, Robert, Lockheed Martin, “Landing Assessments, Establishing the DAC-3 Landing Architecture”
- Bearden, David and Hart, Matthew, NASA Advanced Programs Directorate, Skrat, John, Space Launch Projects, Human Rated Delta IV Heavy Study Constellation Architecture Impacts, NASA and The Aerospace Corporation, 1 June 2009
- Cabana, Robert, NASA Kennedy Space Center Director, “Human Spaceflight Review Kennedy Space Center”, NASA, 30 July 2009

- Cantwell, Elizabeth, Deputy Associate Laboratory Director, National Security, “Life and Physical Sciences in Microgravity and Partial Gravity”, Oak Ridge National Laboratory, 5 August 2009
- Chilton, Jim, Vice President and Program Manager, Exploration Launch Systems, “Boeing Human Spaceflight Capability Overview”, Boeing, 24 June 2009
- Cline, Lynn, Deputy Associate Administrator of Space Operations Mission Directorate, “NASA Expendable Launch Services Current Use of EELV”, NASA Headquarters, 17 June 2009
- Cline, Lynn, “Space Operations Mission Directorate Program Review”, NASA Headquarters, 20 May 2009
- Coats, Mike, NASA Johnson Space Center Director, “Johnson Space Center Perspectives for Augustine Review Panel” [White Paper], NASA Johnson Space Center, July 2009
- Coats, Mike, “Johnson Space Center Perspectives, Augustine Review Panel”, NASA Johnson Space Center, 28 July 2009
- Collection of 10 papers on Constellation Program Near Earth Object (NEO) Mission Study Collection of 33 papers on in-space propellant transfer
- Collection of 64 published papers on Other Microgravity Research Facilities
- Collection of 72 International Space Station published papers
- Columbia Accident Investigation Board (CAIB), CAIB Report Volume 1, August 2003
- Commercial Spaceflight Federation, Commercial Spaceflight in Low Earth Orbit is the Key to Affordable and Sustainable Exploration Beyond . . . , 29 June 2009
- Committee on the Evaluation of Radiation Shielding for Space Exploration, National Research Council, Managing Space Radiation Risk in the New Era of Space Exploration, The National Academies, 2008
- Committee on the Rationale and Goals of the U.S. Civil Space Program, National Research Council, America’s Future in Space: Aligning the Civil Space Program with National Needs, The National Academies, 2009
- Committee to Review NASA’s Exploration Technology Development Program, Aeronautics and Space Engineering Board, National Research Council, A Constrained Space Exploration Technology Program: A Review of NASA’s Exploration Technology Development Program, The National Academies, 2008
- Committee on the Scientific Context for Exploration of the Moon, National Research Council, Scientific Context for the Exploration of the Moon Final Report, The National Academies, 2007
- Congressional Budget Office, “The Budgetary Implications of NASA’s Current Plan for Space Exploration”, April 2009
- Conley, Cassie, Planetary Protection Officer, “Planetary Protection and Human Exploration”, NASA, 9 July 2009
- “Constellation Alternate Scenario Early Ares V Dual Launch Options”, NASA, 28 July 2009
- “Constellation Launch Vehicles Overview Part 1”, NASA, 29 July 2009
- Constellation Program System Requirements for the Orion System, CxP 72000, Rev D, Errata Revision and History Page, NASA, 17 June 2009
- Constellation Program System Requirements for the Orion System, CxP 72000, Rev D—Pre DQA, NASA, 17 June 2009
- Cooke, Doug, Associate Administrator of Exploration Systems Mission Directorate, “Commercial Crew and Cargo Program Overview”, NASA, 17 June 2009
- Cooke, Doug, “Exploration Systems Mission Directorate: FY 2010 Budget Request to Congress”, NASA Headquarters, 14 May 2009
- Cooke, Doug, “Global Exploration Strategy (GES): Highlights on Progress and Future Opportunities”, NASA, 16 June 2009
- Cooke, Doug and Hanley, Jeff, “Review of Human Spaceflight Plans Architectural Drivers”, NASA, 16 June 2009
- Cooke, Doug and Hanley, Jeff, “Review of Human Spaceflight Plans Constellation Overview”, NASA, 17 June 2009
- Cooke, Doug and Hanley, Jeff, “Review of Human Spaceflight Plans Implementation”, NASA, 17 June 2009
- Coonce, Tom and Gunderson, Johanna, Office of Program Analysis and Evaluation, “Cost and Schedule Growth and Containment at NASA”, NASA Headquarters, June 2009
- Cornyn, John, Senator, video statement to the Committee, United States Senate, 28 July 2009

- Covey, Dick, President and CEO, United Space Alliance, "United Space Alliance (USA), Response to the Review of the U.S. Human Space Flight Plans Committee", USA, 21 July 2009
- Craig, Douglas, "ESR&T Support of the Assessment of Alternatives (AOA) - Development of a Modular Reusable Advanced Technology Architecture", NASA, March 2005; For NASA Internal Use Only—Pre-Decisional
- Crew Exploration Vehicle Project Flight Dynamics Team, Trajectory Design and Performance Requirements for Human Lunar Missions Using the Mission Assessment Post-Processor (MAPP) Final Report, NASA Johnson Space Center, February 2009; Export controlled information
- Cross, Robert and Turner, John, "Constellation Program LOC/LOM [Loss of Crew/Loss of Mission] Process and Status", NASA, 6 April 2009
- Cucinotta, Francis, "Radiation Protection Assessment for One-Year ISS Missions", NASA
- Cucinotta, Francis, Cloudsley, Martha, Kim, Myung-hee, Qualls, Garry, Simonsen, Lisa, Sulzman, Frank, Zapp, Neal, "Phase III Constellation Architecture Trade Study Space Radiation Analyses for Lunar Outpost Variations in Risk Postures to Enable Exploration Final Data Package", NASA, 12-14 January 2008
- Cucinotta, Francis, Kim, Myung-Hee, Willingham, Veronica, George, Kerry Physical and Biological Organ Dosimetry Analysis for International Space Station Astronauts, Radiation Research Society, 2008
- Culberson, John, video statement to the Committee, United States House of Representatives, 28 July 2009
- Culbertson, Frank "Oribtal's ISS Commercial Resupply Service", Orbital, 17 June 2009
- Defense Contract Management Agency Industrial Analysis Center, Liquid Rocket Engines Industrial Capability Assessment, Department of Defense, 25 September 2006, Business Sensitive, Government Proprietary—For Official Use Only
- "Depot Architecture for Lunar Exploration and Beyond", NASA, 9 July 2009
- Dordain, Jean-Jacques to the Augustine Committee, "Statement by Jean-Jacques Dordain, Director General of the European Space Agency", ESA, 17 June 2009
- Drake, Bret, Mars Design Reference Architecture 5.0 Study Executive Summary, NASA, 4 December 2008
- Dyer, Joseph, NASA Aerospace Safety Advisory Panel (ASAP) Chair, "ASAP Puts and Takes", NASA, 14 July 2009
- Ess, Bob, "Ares I-X Status", NASA, 30 July 2009
- Evans, Cynthia and Robinson, Julie, Office of the International Space Station Program Scientist, NASA Johnson Space Center; Tate-Brown, Judy, Thuman, Tracy and Crespo-Richey, Jessica, Engineering and Science Contract Group; Bauman, David and Rhatigan, Jennifer, NASA Johnson Space Center, International Space Station Science Research Accomplishments During the Assembly Years: An Analysis of Results from 2000-2008 Executive Summary, Houston, Texas, December 2008
- Eveker, Kevin, "The Budgetary Implications of NASA's Current Plans for Space Exploration", Congressional Budget Office, 16 June 2009
- Exploration Systems Architecture Study (ESAS) Team, "ESAS Executive Summary", NASA, November 2005
- Exploration Systems Mission Directorate, Lunar Architecture Focused Trade Study Final Report, Rev A, ESMD-RQ-0005, NASA Headquarters, 4 February 2005
- Exploration Systems Mission Directorate, Lunar Architecture Broad Trade Study Final Report, Rev A, ESMD-RQ-0006, NASA Headquarters, 4 February 2005
- Exploration Systems Mission Directorate, "Lunar Vehicle/ Lunar Architecture Analysis of Alternatives (AoA)", NASA, 8 February 2005, Pre-Decisional, NASA Internal Use Only
- Exploration Transportation System Strategic Roadmap Committee, Final Report, NASA, May 2005
- Fragola, Joseph, "The Path to a Safer Crew Launch Vehicle, The Ares I Story", Valador, 29 July 2009
- Fragola, Joseph, "White Paper: Ares I, the Crew Launcher with Crew Safety Designed In", Valador, Inc., June 2009
- Friedman, Louis, Roadmap Team, "Beyond the Moon, A New Roadmap for Human Space Exploration in the 21st Century", The Planetary Society, November 2008
- Gass, Michael, President and CEO of United Launch Alliance (ULA), "Briefing to the Review of U.S. Human Space Flight Plans Committee", ULA, 16 June 2009, ULA Proprietary Information
- Gass, Michael, President and CEO of United Launch Alliance (ULA), "Briefing to the Review of U.S. Human Space Flight Plans Committee", ULA, 17 June 2009

- Gerstenmaier, Bill, Associate Administrator for Space Operations Mission Directorate, “International Space Station Extension and Utilization Planning”, NASA Headquarters, 9 June 2009
- Geyer, Mark, Orion Project Office Manager, “Review of Human Spaceflight Plans Orion Crew Exploration Vehicle”, NASA, 28 July 2009
- “Global Exploration Strategy (GES) Framework: Executive Summary”, NASA and Other Space Agencies, May 2007
- Gordon, Bart, Representative, letter to Mr. Norm Augustine, United States House of Representatives, 17 June 2009
- Griffith, Parker, Representative, video statement to the Committee, United States House of Representatives, 29 July 2009
- Griffin, Michael, NASA Administrator, and Sega, Ronald, Department of Defense Executive Agent for Space, to John Marburger III, Director, Office of Science and Technology Policy, Washington, DC, 5 August 2005
- Hall, Ralph, Representative, “Statement of the Honorable Ralph Hall (R-TX), Ranking Member, U.S. House Committee on Science and Technology”, United States House of Representatives, 17 June 2009
- Hanley, Jeff, Constellation Program Manager, “NASA Constellation Projects Closing Comments”, NASA, 30 July 2009
- Hanley, Jeff, “NASA Constellation Projects Introduction”, NASA, 28 July 2009
- Hawes, W. Michael, “Summary Description of Previous Studies”, NASA, 17 June 2009
- “Human Exploration of Mars, Design Reference Architecture 5.0”, NASA, 29 July 2009
- “Human Research Program Utilization Plan for the International Space Station”, NASA
- “Human Space Flight Capabilities, pursuant to Section 611(a) of the NASA Authorization Act of 2008 (P.L. 110-422)”, NASA, April 2009
- Hutchison, Kay Bailey, Senator, “Statement by Senator Kay Bailey Hutchison for Submission to Augustine Review Panel”, United States Senate, 17 June 2009
- Hutchison, Kay Bailey, Senator, “Statement by Senator Kay Bailey Hutchison for Submission to Augustine Review Panel Tuesday, July 28, 2009”, United States Senate, 28 July 2009
- Impacts of Shuttle Extension, pursuant to Section 611(e) of the NASA Authorization Act of 2008 (P.L. 110-422), NASA, April 2009
- Innovative Partnerships Program, Spinoff: 50 Years of NASA-Derived Technologies (1958-2008), NASA, 2008
- “International Space Station, Research Facilities on ISS, International Partner Plans for Research”, NASA, June 2009
- Janetos, Anthony, “Earth Science and Applications from Space, National Imperatives for the Next Decade”, 5 August 2009
- Jones, Tom, “The Asteroid Opportunity: Human Exploration of Near Earth Objects”, Association of Space Explorers and NASA Advisory Council, 9 July 2009
- Joosten, Kent, “Constellation Lunar Architecture Overview”, NASA, 9 July 2009
- Kinnersley, Mark, Director of Business Development, Orbital System and Exploration Division, “EADS Astrium Statement to the Review of U.S. Human Spaceflight Plans Committee”, EADS Astrium, 5 August 2009
- Kinnersley, Mark, “Statement by EADS Astrium”, EADS Astrium, 5 August 2009
- Kosmas, Suzanne, Representative, “Statement of Congresswoman Suzanne Kosmas (FL-24), The Review of U.S. Human Space Flight Plans Committee”, United States House of Representatives, 30 July 2009
- Kottkamp, Jeff, Lieutenant Governor of Florida, statement in person to the Committee, 30 July 2009
- Kucinich, Dennis, Representative, letter to Mr. Norm Augustine, United States House of Representatives, 4 August 2009
- Le Gall, Jean-Yves, Chairman and CEO of Arianespace, “Presentation to the United States Human Space Flight Plans Review Committee”, Arianespace, 5 August 2009
- Leonard, Matt, Lunar Surface Systems, Deputy Project Manager, “Lunar Surface Systems (LSS) Briefing to Augustine Committee”, 9 July 2009
- Lester, Dan, “Future of Human Space Flight and Astronomy”, the University of Texas, 9 July 2009
- Lightfoot, Robert, NASA Marshall Space Flight Center (MSFC) Acting Center Director, “Marshall Space Flight Center Launching the Future of Exploration and Science”, NASA MSFC, 29 July 2009

- Lindsey, Steve, Chief of the Astronaut Office, “Human Spaceflight Review Astronaut Office Perspective”, NASA Johnson Space Center, 28 July 2009
- MacLean, Steve, President, Canadian Space Agency (CSA), “CSA-NASA Human Space Flight Cooperation”, CSA, 16 June 2009
- Manella, Rick “Shuttle Derived Heavy Lift Launch Vehicle”, NASA, 25 June 2009
- Maguire, Joanne, Executive Vice President, “Review of the U.S. Human Space Flight Plans Committee, Committee Discussion”, Lockheed Martin Space Systems Company, 22 July 2009
- Mango, Ed, “Constellation Space Transportation Planning Office”, NASA, 30 July 2009
- Marburger, John, former Science Advisor to President George W. Bush and Director, Office of Science and Technology Policy (2001-2009), “Review of U.S. Human Space Flight Plans Committee Remarks on the Background for the Vision for Space Exploration”, 5 August 2009
- Mars Architecture Steering Group, Human Exploration of Mars Design Reference Architecture 5.0, NASA/SP-2009-566, NASA, July 2009
- Mars Architecture Steering Group, Human Exploration of Mars Design Reference Architecture 5.0 Addendum, NASA/SP-2009-566-ADD, NASA, July 2009
- Martinez, Mel, Senator, “Testimony of U.S. Senator Mel Martinez” [and accompanying video], United States Senate, 30 July 2009
- Maser, James, President, Pratt & Whitney Rocketdyne, “Briefing to the Review of U.S. Human Space Flight Plans Committee”, 8 July 2009
- Meek, Kendrick, Representative, “Statement of U.S. Representative Kendrick Meek (FL-17)”, United States House of Representatives, 30 July 2009
- Metschan, Steve, “DIRECT—Safer, Simpler and Sooner”, DIRECT, 17 June 2009
- Multilateral Coordination Board, International Space Station Lessons Learned as Applied to Exploration, NASA, Canadian Space Agency (CSA), European Space Agency (ESA), Japanese Aerospace Exploration Agency (JAXA), Roscosmos, 22 July 2009
- Musk, Elon, “COTS Status Update & Crew Capabilities”, SpaceX, 16 June 2009
- Musk, Elon, “COTS Status Update & Crew Capabilities”, SpaceX, 17 June 2009
- Mustard, Jack, Mars Exploration Program Analysis Group Chair, “Human and Robotic Science on Mars”, NASA, 9 July 2009
- National Academy of Sciences, “The Scientific Context for the Exploration of the Moon Executive Summary”, Washington, DC, 2007
- NASA Authorization Act of 2005 (Senate Resolution 1281), 109th Congress, December 2005
- NASA Authorization Act of 2008 (House Resolution 6063), 110th Congress, October 2008
- NASA Office of External Relations, Background on NASA International Cooperation in Human Space Flight Activities, NASA Headquarters, June 2009
- NASA Office of External Relations, “Non-NASA Government Activities and Needs Bearing on Human Space Flight Planning”, NASA Headquarters, June 2009
- NASA Organizational Model Evaluation Team, Process, Analysis and Recommendations, NASA March 2005
- NASA Procedural Requirements 8705.2B, Subject: Human-Rating Requirements for Space Systems, May 2008-May 2013
- NASA Procedural Requirements 8715.3, Subject: General Safety Program Requirements, April 2009 - April 2014
- “NASA Report to Congress Regarding a Plan for the International Space Station National Laboratory”, NASA, May 2007
- “NASA Research and Utilization Plan for the International Space Station Executive Summary”, NASA
- NASA Space Shuttle Workforce Transition Strategy pursuant to FY 2008 Consolidated Appropriations Act (P.L. 110-161), July 2009 Update
- NASA Strategic Roadmap Summary Report, NASA, 22 May 2005
- “NASA Technology Development, Supporting the Mission and the Planet”, NASA, 31 July 2009
- “NASA Workforce Transition Strategy, Space Shuttle and Constellation Workforce Focus, Biannual Report—Second Edition”, NASA, October 2008
- National Council on Radiation Protection and Measurements, Information Needed to Make Radiation Protection Recommendations for Space Missions Beyond Low-Earth Orbit NCRP Report No. 153, 15 November 2006

- Nature Review Cancer, “Heavy Ion Carcinogenesis and Human Space Exploration”, Advance Online Publication, 2 May 2008
- Neal, Clive, Lunar Exploration Analysis Group Chair, “Lunar Studies w/Astronauts and/or Robots”, University of Notre Dame, 9 July 2009
- Nelson, Bill, Senator, video statement to the U.S. Human Space Flight Plans Committee, United States Senate, 30 July 2009
- O’Brien, Michael, Assistant Administrator for External Affairs, “Overview of International Partnerships”, NASA, 16 June 2009
- Olson, John, “Dual Ares V Lunar Architecture Option: A Quick Look at a Blue Sky Derived Concept”, NASA, 9 July 2009
- Office of Biological and Physical Research, “Requirements for Human Subjects in Exploration Research Workshop”, NASA Headquarters, 12-13 May 2004
- Office of Safety and Mission Assurance, Human-Rating Requirements for Space Systems, NASA Procedural Requirements (NPR) 8705.2B, NASA, 6 May 2008
- Office of Under Secretary of Defense, Acquisition, Technology and Logistics Industrial Policy, Draft Solid Rocket Motor (SRM) Industrial Capabilities Report to Congress, Department of Defense, February 2009, Proprietary Business Sensitive Information—Not Releasable Outside the Government
- Olson, Pete, Representative, “The Honorable Pete Olson (R-TX) Ranking Member, U.S. House Space and Aeronautics Subcommittee, Remarks to the Augustine Panel”, United States House of Representatives, 17 June 2009
- Olson, Pete, Representative, video statement to the Committee, United States House of Representatives, 28 July 2009
- OSP [Orbital Space Plane]—ELV [Expendable Launch Vehicle] Human Flight Safety Certification Study Report, Volume 1, NASA, March 2004, International Traffic in Arms Regulations (ITAR) and Export controlled
- OSP [Orbital Space Plane]—ELV [Expendable Launch Vehicle] Human Flight Safety Certification Study Report Data Appendices, NASA, March 2004, Proprietary, International Traffic in Arms Regulations (ITAR) and Export controlled
- Patton, Jeff, “Program P401 Launch Vehicle Overview TIM [Technical Interchange Meeting] #1”, United Launch Alliance (ULA), 5 May 2008, Bigelow Aerospace Proprietary Information and ULA Proprietary Information
- Perminov, Anatolii, Head of the Russian Federation Space Agency, “ISS Program International Cooperation “, Russian Federation Space Agency (Roscosmos), 17 June 2009
- Phillips, Pepper, “Ground Operations”, NASA, 30 July 2009
- Posey, Bill, Representative, “Statement of U.S. Representative Bill Posey (FL-15)”, United States House of Representatives, 30 July 2009
- President’s Commission on Implementation of United States Space Exploration Policy [Aldridge Report], “A Journey to Inspire, Innovate and Discover Executive Summary”, Executive Office of the President, June 2004
- “Project 401 Technical Interchange Meeting #1”, Lockheed Martin, 5 May 2008, Bigelow Aerospace Proprietary Information and Lockheed Martin Proprietary/Export Controlled Data
- “Project 401 Technical Interchange Meeting #2”, Lockheed Martin, 5 May 2008, Bigelow Aerospace Proprietary Information and Lockheed Martin Proprietary/Export Controlled Data
- Pulliam, Gary, Vice President of Civil and Commercial Operations, The Aerospace Corporation, “Initial Summary of Human Rated Delta IV Heavy Study”, The Aerospace Corporation, 17 June 2009
- Radzanowski, David, Deputy Associate Administrator, Program Integration, “Background on the Budget, Review of Human Space Flight Plans”, NASA Headquarters, 9 June 2009
- Rieke, Marcia, Professor of Astronomy, “Opportunities and Challenges in Astronomy and Astrophysics”, University of Arizona, 5 August 2009
- Rutkowski, Brian, Office of Program Analysis and Evaluation, “Schedules: Predicting the Next KDP Milestone (Plans vs. Actuals), June 2009”, NASA Headquarters, June 2009
- Sessions, Jeff, Senator, letter to the U.S. Human Space Flight Plans Committee, United States Senate, 21 July 2009
- Shannon, John, Manager, Space Shuttle Program, “Shuttle-Derived Heavy Lift Launch Vehicle”, NASA, 17 June 2009
- Shannon, John, “Space Shuttle Program Overview”, NASA, 16 June 2009
- Shelby, Richard, Senator, letter to the U.S. Human Space Flight Plans Committee, United States Senate, 29 July 2009

- Sowers, George, Vice President, Business Development and Advanced Programs, United Launch Alliance (ULA), “Atlas and Delta Human Rating Overview”, 24 June 2009, ULA Proprietary Information
- Space and Life Sciences Directorate, Net Habitable Volume Verification Method, JSC 63557, NASA Johnson Space Center, 9 April 2009
- Space and Life Sciences Directorate, “Space Radiation and Exploration—Information for the Augustine Committee Review”, NASA Johnson Space Center, 14 July 2009
- Space and Life Sciences Directorate, “Space Radiation and Exploration—Information for the Augustine Committee Review, Supplementary Material on Lunar Regolith Shielding”, NASA Johnson Space Center, 14 July 2009
- Spann, James, “Science from the Moon”, NASA Marshall Space Flight Center, 9 July 2009
- Sponable, Jesse, “Reusable Space Systems, 21st Century Challenges”, Defense Advanced Research Projects Agency, 17 June 2009
- Strategy and Architecture Office, ESA Integrated Exploration Architecture Study, European Space Agency (ESA)
- Squyres, Steven, “Briefing to the NASA Review of U.S. Human Space Flight Plans Committee”, 5 August 2009
- Suffredini, Michael, International Space Station Program Manager, “ISS Commercial Resupply Services”, NASA, 17 June 2009
- Suffredini, Michael, “International Space Station Presentation to Augustine Commission”, NASA, 22 July 2009
- Sykes, Mark, Director, Planetary Science Institute and Chair, NASA Small Bodies Assessment Group, “NEO Science and Human Space Activity”, Planetary Science Institute and NASA, 9 July 2009
- Synthesis Group, “America at the Threshold: America’s Space Exploration Initiative Executive Summary”, Executive Office of the Vice-President, May 1991
- Tachikawa, Keiji, President, Japanese Aerospace Exploration Agency (JAXA), “Statement of Dr. Keiji Tachikawa, President, Japanese Aerospace Exploration Agency (JAXA) before the Review of U.S. Human Space Flight Plans Committee”, JAXA, 16 June 2009
- “Technical Infusion for Exploration”, NASA, 7 August 2009
- “Technology Development for Cryogenic Propellant Depots, Information for the Review of U.S. Human Space Flight Plans Committee”, NASA, 4 August 2009
- Thronson, Harley, Associate Director for Advanced Concepts and Planning, Astrophysics Science Division, “On-Orbit Satellite Upgrade and Maintenance: Astronauts and Robots”, NASA Goddard Space Flight Center, 9 July 2009
- “U.S. National Space Policy”, Executive Office of the President, 31 August 2006
- “U.S. Space Transportation Policy Fact Sheet”, Executive Office of the President, 6 January 2005
- The Vision for Space Exploration [also included: “Background”, “Bringing the Vision to Reality”, “Goals and Objectives”, the speech and “White House Fact Sheet: A Renewed Spirit of Discovery”], Executive Office of the President, February 2004
- Vitter, David, Senator, “Statement of Senator David Vitter”, United States Senate, 17 June 2009
- Yoder, Geoffrey, “COTS Updated Status”, NASA, 29 July 2009, Sensitive But Unclassified—For NASA Internal Use Only
- Zapata, Edgar, Operations Analysis, “NASA’s Historical Moment- Goals, Budgets, Improvement & Safety”, NASA Kennedy Space Center, 11 May 2009
- Zapata, Edgar, Operations Analysis, “NASA Re-booted”, NASA Kennedy Space Center, 12 May 2009
- Zapata, Edgar, Operations Analysis, “NASA Strategic Scenario Analysis”, NASA Kennedy Space Center, 10 June 2009
- Zubrin, Robert, “Accepting the Challenge Before Us”, Pioneer Astronautics, 5 August 2009
- Zubrin, Robert, “Mars Direct: Humans to the Red Planet within a Decade”, Pioneer Astronautics, 5 August 2009

Acronyms and Abbreviations

CAIB:

Columbia Accident Investigation Board

COTS:

Commercial Orbital Transportation Services

CSA:

Canadian Space Agency

DDT&E:

Design, Development, Test, & Evaluation

DOD (or DoD):

Department of Defense

EDL:

Entry, Descent and Landing

EDS:

Earth Departure Stage

EELV:

Evolved Expendable Launch Vehicle

ESA:

European Space Agency

ESAS:

Exploration Systems Architecture Study

ESMD:

Exploration Systems Mission Directorate

EVA:

extra-vehicular activity (spacewalk)

FAA:

Federal Aviation Administration

FY:

Fiscal Year

GDP:

Gross Domestic Product

GES:

Global Exploration Strategy

INKSNA:

Iran, North Korea, and Syria Nonproliferation Act

ISS:

International Space Station

ISRO:

Indian Space Research Organisation

ITAR:

International Traffic in Arms Regulations

JAXA:

Japan Aerospace Exploration Agency

KSC:

Kennedy Space Center

KM:

Kilometers

LEO:

low-Earth orbit

LH2:

liquid hydrogen

LOX:

liquid oxygen

mt: metric ton	SEI: Space Exploration Initiative
NASA: National Aeronautics and Space Administration	SRB: Solid Rocket Booster
NEO: near-Earth object	SRM: Solid Rocket Motor
NSS: national security space	SSME: Space Shuttle Main Engine
NTR: nuclear thermal rocket	STEM: Science, Technology, Engineering and Mathematics
OMB: Office of Management and Budget	STS: Space Transportation System (Shuttle)
OSTP: Office of Science & Technology Policy	TLI: Trans Lunar Injection
PDR: Preliminary Design Review	TPS: Thermal Protection System
PRA: probabilistic risk assessment	TRL: Technology Readiness Level
PRC: People's Republic of China	ULA: United Launch Alliance
SDR: System Design Review	VSE: Vision for Space Exploration

